



(REFERENCE COPY - Not for submission)

Transfers

Lead File Number: **BTCCT-20011221AAS** | Submit Date: **12/21/2001** | Lead Call Sign: **KNTV** | FRN: **0019509470**

Service: **Analog TV legacy** | Purpose: **Transfer of Control** | Status: **Granted** | Status Date: **04/10/2002** | Filing Status: **Active**

General Information

Section	Question	Response
Attachments	Are attachments (other than associated schedules) being filed with this application?	

Fees, Waivers, and Exemptions

Section	Question	Response
Fees	Is the applicant exempt from FCC application Fees?	No
	Indicate reason for fee exemption:	
Waivers	Does this filing request a waiver of the Commission's rule(s)?	
	Total number of rule sections involved in this waiver request:	

Transfer Type

Question	Response
Is this application a pro forma Transfer of Control?	No
By answering "Yes" the Applicant certifies that the use of short form pro forma application is appropriate for this transaction?	
Is the Transfer Voluntary or Involuntary:	

Authorizations to be Transferred

Call Sign	Facility ID	File Number	Service	City, State
KNTV	35280	BTCCT-20011221AAS	TV	SAN JOSE, CA
K56BR	35279	BTCTT-20011221AAT	LPA	PALO ALTO, ETC., CA

Transfer Questions

Question	Response
Were any of the authorizations that are the subject of this application obtained through the Commission's competitive bidding procedures (see 47 C.F.R. Sections 1.2111(a) and 73.5000)?	No
Were any of the authorizations that are the subject of this application obtained through the Commission's point system for reserved channel noncommercial educational stations (see 47 C.F.R. Sections 73.7001 and 73.7003)?	No
Have all such stations operated for at least 4 years with a minimum operating schedule since grant pursuant to the point system?	
Were any of the authorizations that are the subject of this application obtained after award of a dispositive Section 307(b) preference using the Tribal Priority, through Threshold Qualifications procedures, or through the Tribal Priority as applied before the NCE fair distribution analysis set forth in 47 C.F.R. § 73.7002(b)?	
Have all such stations operated for at least 4 years with a minimum operating schedule since grant?	

Do both the transferor and transferee qualify for the Tribal Priority in all respects?	
LPFM Licenses Only: Has it been at least 18 months since the initial construction permit for the LPFM station was granted?	
LPFM Licenses Only: Does the assignment of the LPFM authorization satisfy the consideration restrictions of 47 CFR Section 73.865(a)(1)?	
LPFM Licenses Only: Were any of the LPFM authorizations that are subject to this application obtained through the Commission’s point system for low power FM stations (see 47 CFR Section 73.872)?	
If yes to question above, have all such LPFM stations operated for at least four years since grant pursuant to the point system?” (options – Y/N. If Yes, nothing further required. No requires attachment as follows)“If no to new sub question, list pertinent authorizations in an Exhibit and include in the Exhibit a showing that the transaction is consistent with the requirements of 47 CFR Section 73.865(a)(3).	

**Licensee
/Permittee
Information**

Licensee/Permittee Name, Type, and Contact Information

Licensee/Permittee	Address	Phone	Email	FRN
KNTV, INC. Applicant Doing Business As: KNTV LICENSE, INC.	C/O GRANITE BROADCASTING CORPORATION 767 THIRD AVENUE, 34TH FLOOR NEW YORK, NY 10017 United States	+1 (202) 826- 2530		0004536785

**Licensee
/Permittee
Contact
Representatives
(1)**

Contact Name	Address	Phone	Email	Contact Type
TOM W. DAVIDSON, ESQ. AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.	1333 NEW HAMPSHIRE AVE., N.W. SUITE 400 WASHINGTON, DC 20036	+1 (202) 887-4011	TDAVIDSON@AKINGUMP. COM	

**Licensee
/Permittee Legal
Certifications**

Section	Question	Response
Agreements for Transfer Control of Station	Licensee/Permittee certifies that: (i) it has placed its public inspection file(s) and submitted to the Commission as an Exhibit to this application copies of all agreements for the transfer of the station(s); (ii) these documents embody the complete and final understanding between Transferor and Transferee; and (iii) these agreements comply fully with the Commission's rules and policies	Yes
Other Authorizations	Please upload an attachment detailing the call signs, locations, and facility identifiers of all other broadcast stations in which Licensee/Permittee or any party to the application has an attributable interest.	
Character Issues	Licensee/Permittee certifies that neither licensee/permittee nor any party to the application has or has had any interest in, or connection with: (a) any broadcast application in any proceeding where character issues were left unresolved or were resolved adversely against the applicant or any party to the application or (b) any pending broadcast application in which character issues have been raised	Yes

Adverse Findings	Licensee/Permittee certifies that, with respect to the Licensee/Permittee and each party to the application, no adverse finding has been made, nor has an adverse final action been taken by any court or administrative body in a civil or criminal proceeding brought under the provisions of any law related to any of the following: any felony; mass media-related antitrust or unfair competition; fraudulent statements to another governmental unit; or discrimination.	Yes
Local Public Notice	Licensee/Permittee certifies that it has or will comply with the public notice requirements of 47 C.F.R. Section 73.3580.	Yes
Auction Authorization	Licensee/Permittee certifies that more than five years have passed since the issuance of the construction permit for the station being assigned, where that permit was acquired in an auction through the use of a bidding credit or other special measure.	N/A
Anti-Discrimination Certification	Licensee/Permittee certifies that neither licensee/permittee nor any party to the application have violated the Commission's prohibition against discrimination on the basis of race, color, religion, national origin or sex in the sale of commercially operated AM, FM, TV, Class A TV or international broadcast stations.	

Transferor Information

Transferor Name, Type, and Contact Information

Transferor	Type	Address	Phone	Email	FRN
GRANITE BROADCASTING CORPORATION Assignor Doing Business As: GRANITE BROADCASTING CORPORATION	Other	767 THIRD AVENUE 34TH FLOOR NEW YORK, NY 10017	+1 (212) 826-2530		0004536785

Transferor Contact Representatives (1)

Contact Name	Address	Phone	Email	Contact Type
TOM W. DAVIDSON AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.	1333 NEW HAMPSHIRE AVE., N.W. SUITE 400 WASHINGTON, DC 20036	+1 (202) 887-4011	TDAVIDSON@AKINGUMP.COM	

Transferor Legal Certifications

Section	Question	Response
Agreements for Transfer Control of Station	Transferor certifies that: (i) it has placed in Transferor's public inspection file(s) and submitted to the Commission as an Exhibit to this application copies of all agreements for the assignment /transfer of the station(s); (ii) these documents embody the complete and final understanding between Transferor and Transferee; and (iii) these agreements comply fully with the Commission's rules and policies	
	If the transaction is involuntary, the Transferor certifies that court orders or other authorizing documents have been issued and that it has placed in the licensee's/permittee's public inspection file(s) and submitted to the Commission copies of such court orders or other authorizing documents.	

Character Issues	Transferor certifies that neither transferor nor any party to the application has or has had any interest in, or connection with: (a) any broadcast application in any proceeding where character issues were left unresolved or were resolved adversely against the applicant or any party to the application or (b) any pending broadcast application in which character issues have been raised	
Adverse Findings	Transferor certifies that, with respect to the Transferor and each party to the application, no adverse finding has been made, nor has an adverse final action been taken by any court or administrative body in a civil or criminal proceeding brought under the provisions of any law related to any of the following: any felony; mass media-related antitrust or unfair competition; fraudulent statements to another governmental unit; or discrimination.	
Local Public Notice	Transferor certifies that it has or will comply with the public notice requirements of 47 C.F.R. Section 73.3580.	
Auction Authorization	Transferor certifies that more than five years have passed since the issuance of the construction permit for the station being assigned, where that permit was acquired in an auction through the use of a bidding credit or other special measure.	
Anti-Discrimination Certification	Transferor certifies that neither licensee/permittee nor any party to the application have violated the Commission's prohibition against discrimination on the basis of race, color, religion, national origin or sex in the sale of commercially operated AM, FM, TV, Class A TV or international broadcast stations.	

Transferee Information

Transferee Name, Type, and Contact Information

Transferee	Type	Address	Phone	Email	FRN
NATIONAL BROADCASTING COMPANY, INC. Assignee Doing Business As: NATIONAL BROADCASTING COMPANY, INC.	Other	30 ROCKEFELLER PLAZA NEW YORK, NY 10112	+1 (212) 664-4444		

Transferee Contact Representatives (1)

Contact Name	Address	Phone	Email	Contact Type
DIANE ZIPURSKY NATIONAL BROADCASTING COMPANY, INC.	1299 PENNSYLVANIA AVENUE, NW 11TH FLOOR WASHINGTON, DC 20004	+1 (202) 637-4535	DIANE.ZIPURSKY@NBC.COM	

Changes in Interest (0)

Party Name	Citizenship	Address	Phone	Email	Interest Before Transfer	Interest After Transfer
Empty						

Changes in Interest Certification

Question	Response
Applicant certifies that equity and financial interests not set forth by the transferee are nonattributable.	Yes

Parties to the
Application (75)

Party Name	Citizenship	Address	Phone	Email	Positional Interest
WILLIAM BOLSTER CNBC	United States	2200 FLETCHER AVENUE FORT LEE NJ NJ 07024			Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
WILLIAM A. WOODBURN GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431			Positional Interest: SENIOR VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
THOMAS O'BRIEN KXAS-TV	United States	3900 BARNETT STREET FORT WORTH TX TX 76101			Positional Interest: VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
SUSAN WEINER NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112			Positional Interest: ASSISTANT SECRETARY Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

SILAS S. CATHCART ILLINOIS TOOL WORKS	United States	222 WISCONSIN AVE. LAKE FOREST IL 60045	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
SCOTT SASSA NATIONAL BROADCASTING COMPANY INC.	United States	3000 W. ALAMEDA AVENUE BURBANK CA CA 91523	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
SCOTT G. MCNEALY SUN MICROSYSTEMS INC.	United States	901 SAN ANTONIO ROAD PALO ALTO CA 94303	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
SCOTT C. DONNELLY GE CORP. RESEARCH & DEVELOPMENT	United States	P.O. BOX 8 BLDG. K-1 SCHENECTADY NY NY 12301	Positional Interest: SENIOR VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
SARI GREENBERG NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: ASSISTANT SECRETARY Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

SAM NUNN KING & SPALDING	United States	191 PEACHTREE ST. NE ATLANTA GA NE 30303	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
ROGER S. PENSKE PENSKE CORPORATION	United States	13400 OUTER DRIVE WEST DETROIT MI MI 48239	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
ROCHELLE B. LAZARUS OGILVIE & MATHER WORLDWIDE	United States	309 WEST 49TH STREET NEW YORK NY 10019	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
ROBERT W. NELSON GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431	Positional Interest: VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
ROBERT C. WRIGHT NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: VICE CHAIRMAN & EXECUTIVE DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

ROBERT C. WRIGHT NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY 1011	Positional Interest: VICE CHAIRMAN & DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
RICHARD COTTON CNBC EUROPE	United States		Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
RANDEL A. FALCO NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
PHILIP D. AMEEN GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431	Positional Interest: VICE PRESIDENT & COMPTROLLER Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

PAULA MADISON KNBC(TV)	United States	3000 W. ALAMEDA AVENUE BURBANK CA CA 91523	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
PAOLO FRESCO FIAT SPA VIA NIZZA	Italy		Positional Interest: DIRECTOR Citizenship: Italy Percentage of Votes: 0% Percentage of Total Assets: 0%
PAMELA THOMAS-GRAHAM CNBC	United States	2200 FLETCHER AVENUE FORT LEE NJ NJ 07024	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
NEAL SHAPIRO NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: SOLE SHAREHOLDER Citizenship: United States Percentage of Votes: 100% Percentage of Total Assets: 100%

MATTHEW J. ESPE GE LIGHTING NELA PARK CLEVELAND OH	United States	44112 OH 44112	Positional Interest: SENIOR VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
MARTIN YUDKOVITZ NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
MARK BEGOR NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY 1011	Positional Interest: CHIEF FINANCIAL OFFICER, TREASURER & EXECUTIVE V. P. Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
LLOYD G. TROTTER GE INDUSTRIAL SYSTEMS	United States	41 WOODFORD AVENUE PLAINVILLE CT CT 06062	Positional Interest: SENIOR VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

LISA CHURCHVILLE WJAR(TV)	United States	23 KENNEY DRIVE CRANSTON RI RI 02920	Positional Interest: VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
LINDA SULLIVAN WRC-TV	United States	4001 NEBRASKA AVENUE NW WASHINGTON DC DC 20016	Positional Interest: VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
LAWRENCE P. TU NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
LARRY WERT WMAQ-TV	United States	454 NORTH COLUMBUS DRIVE CHICAGO IL 6061 IL -5555	Positional Interest: VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
KENNETH G. LANGONE INVEMED ASSOCIATES INC.	United States	375 PARK AVENUE NEW YORK NY 10152	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

KEITH S. SHERIN GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431	Positional Interest: SENIOR VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
KASSIE CANTER NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: SENIOR VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
JOSEPH M. HOGAN GE MEDICAL SYSTEMS	United States	3000 N. GRANDVIEW BLVD. WAUKESHA WI 53188	Positional Interest: SENIOR VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
JOHN KRENICKI JR. GE TRANSPORTATION SYSTEMS	United States	2901 EAST LAKE ROAD ERIE PA PA 16531	Positional Interest: VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
JOHN G. RICE GE POWER SYSTEMS	United States	1 RIVER ROAD SCHENECTADY NY NY 12345	Positional Interest: SENIOR VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

JOHN ECK NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
JEFFREY ZUCKER NATIONAL BROADCASTING COMPANY INC.	United States	3000 W. ALAMEDA AVENUE BURBANK CA CA 91523	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
JEFFREY R. IMMELT GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431	Positional Interest: CHAIRMAN, CEO & DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
JEFFREY IMMELT GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431	Positional Interest: CHAIRMAN & DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

JAY IRELAND NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
JAMES R. BUNT GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431	Positional Interest: VICE PRESIDENT & TREASURER Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
JAMES P. CAMPBELL GE APPLIANCES APPLIANCE PARK LOUISVILLE KY	United States	40225 KY 40225	Positional Interest: SENIOR VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
JAMES I. CASH HARVARD GRADUATE SCHOOL OF BUSINESS BOSTON MA	United States	02163 MA 02163	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
GERTRUDE G. MICHELSON FEDERATED DEPARTMENT STORES	United States	151 WEST 34TH STREET NEW YORK NY 10001	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

GENERAL ELECTRIC COMPANY	United States		Positional Interest: SOLE SHAREHOLDER Citizenship: United States Percentage of Votes: 100% Percentage of Total Assets: 100%
GARY M. REINER GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431	Positional Interest: SENIOR VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
GARY L. ROGERS GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
FRANK H.T. RHODES CORNELL UNIVERSITY	United States	3104 SNEE BLDG. ITHACA NY NY 14853	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
ELIZABETH A. NEWELL NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: ASSISTANT SECRETARY Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

EDWARD SCANLON NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
DUNCAN EBERSOL NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
DOUGLAS A. WARNER III J.P. MORGAN CHASE & CO. INC.	United States	270 PARK AVENUE NEW YORK NY CO 10917	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
DONALD BROWNE WTVJ(TV)	United States	15000 SW 27TH STREET MIRAMAR FL FL 33027	Positional Interest: VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
DIANE ZIPURSKY NATIONAL BROADCASTING COMPANY INC.	United States	1299 PENNSYLVANIA AVENUE NW WASHINGTON DC DC 20004	Positional Interest: ASSISTANT SECRETARY Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

DENNIS SWANSON WNBC(TV)	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
DENNIS D. DAMMERMAN GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
DENNIS BIANCHI WCAU(TV)	United States	10 MONUMENT ROAD BALA CYNWYD PA PA 19004	Positional Interest: VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
DAVID ZASLAV NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: EXECUTIVE VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
DAVID STERNLICHT NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: ASSISTANT SECRETARY Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

DAVID L. CALHOUN GE AIRCRAFT ENGINES 1 NEUMANN WAY	United States	P.O. BOX 156301 CINCINNATI OH OH 45215	Positional Interest: SENIOR VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
CLAUDIO X. GONZALEZ KIMBERLY- CLARK DE MEXICO S.A. DE C.V.	United States	11510 MEXICO CITY MEXICO DE	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
CHARLES FOURNIER NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: ASSISTANT SECRETARY Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
BRIAN O'LEARY NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: ASSISTANT TREASURER Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

BENJAMIN W. HEINEMAN JR. GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431	Positional Interest: EXECUTIVE VICE PRESIDENT & SECRETARY Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
ANN M. FUDGE GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
ANDREW LACK NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: CHIEF OPERATING OFFICER & PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
ANDREW C. SIGLER CHAMPION INTERNATIONAL CORP.	United States	1 CHAMPION PLAZA STAMFORD CT CT 06921	Positional Interest: DIRECTOR Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%

ANDREA ROSE HARTMAN NATIONAL BROADCASTING COMPANY INC.	United States	3000 W. ALAMEDA AVENUE BURBANK CA CA 91523	Positional Interest: ASSISTANT SECRETARY Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
ANDREA MAYA WINDHOLZ NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: ASSISTANT SECRETARY Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
ANDREA JUNG AVON PRODUCTS INC.	Canada	1345 AVENUE OF THE AMERICAS NEW YORK NY NY 10105	Positional Interest: DIRECTOR Citizenship: Canada Percentage of Votes: 0% Percentage of Total Assets: 0%
ALISA SHUDOFSKY NATIONAL BROADCASTING COMPANY INC.	United States	30 ROCKEFELLER PLAZA NEW YORK NY NY 10112	Positional Interest: ASSISTANT SECRETARY Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
YOSHIAKI FUJIMORI GENERAL ELECTRIC COMPANY		1 PLASTICS AVENUE PITTSFIELD MA MA 01201	Positional Interest: SENIOR VICE PRESIDENT Citizenship: Percentage of Votes: 0% Percentage of Total Assets: 0%

WILLIAM J. CONATY GENERAL ELECTRIC COMPANY	United States	3135 EASTON TURNPIKE FAIRFIELD CT CT 06431	Positional Interest: SENIOR VICE PRESIDENT Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
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Parties to the
Application
Certification

Question	Response
Applicant certifies that equity and financial interests not set forth by the transferee are nonattributable.	

Transferee Legal
Certifications

Section	Question	Response
Agreements for Sale	Transferee certifies that: (a) the written agreements in the Transferee's public inspection file and submitted to the Commission embody the complete and final agreement for the sale or transfer of the station(s); and (b) these agreements comply fully with the Commission's rules and policies.	Yes
Other Authorizations	Please upload an attachment detailing the call signs, locations, and facility identifiers of all other broadcast stations in which Transferee or any party to the application has an attributable interest.	
Broadcast Incubator Program	Is the proposed facility the subject of an incubation proposal or a 'reward' waiver request under the Commission's Broadcast Incubator Program?	
Multiple Ownership	Is the Transferee or any party to the application the holder of an attributable radio joint sales agreement or an attributable radio or television time brokerage agreement with the station (s) subject to this application or with any other station in the same market as the station(s) subject to this application?	
	Transferee certifies that the proposed assignment complies with the Commission's multiple ownership rules.	
	Transferee certifies that the proposed assignment: (1) does not present an issue under the Commission's policies relating to media interests of immediate family members; (2) complies with the Commission's policies relating to future ownership interests; and (3) complies with the Commission's restrictions relating to the insulation and nonparticipation of non-party investors and creditors.	

	<p>Does the Transferee claim status as an "eligible entity," that is, an entity that qualifies as a small business under the Small Business Administration's size standards for its industry grouping (as set forth in 13 C.F.R. § 121-201), and holds</p> <p>(1) 30 percent or more of the stock or partnership interests and more than 50 percent of the voting power of the corporation or partnership that will own the media outlet; or</p> <p>(2) 15 percent or more of the stock or partnership interests and more than 50 percent of the voting power of the corporation or partnership that will own the media outlet, provided that no other person or entity owns or controls more than 25 percent of the outstanding stock or partnership interests; or</p> <p>(3) More than 50 percent of the voting power of the corporation that will own the media outlet (if such corporation is a publicly traded company)?</p>	
	Does this transfer include a grandfathered cluster of stations?	
	<p>Applicant certifies that it will come in compliance by divesting the necessary station(s) within 12 months of the consummation of this transaction to:</p> <p>A) An Eligible Entity (as defined in Item 6d, above).</p>	
	B) An Irrevocable Trust that will assign the station(s) to an Eligible Entity.	
Acquisition of Control	Please upload an attachment listing the file number and date of grant of FCC Form 301, 314, or 315 application by which the Commission approved the qualifications of the individual or entity with a pre-existing interest in the licensee/permittee that is now acquiring control of the licensee/permittee as a result of the grant of this application.	
Character Issues	<p>Transferee certifies that neither transferee nor any party to the application has or has had any interest in, or connection with:</p> <p>(a) any broadcast application in any proceeding where character issues were left unresolved or were resolved adversely against the applicant or any party to the application; or</p> <p>(b) any pending broadcast application in which character issues have been raised.</p>	Yes
Adverse Findings	Transferee certifies that, with respect to the transferee and each party to the application, no adverse finding has been made, nor has an adverse final action been taken by any court or administrative body in a civil or criminal proceeding brought under the provisions of any law related to any of the following: any felony; mass media-related antitrust or unfair competition; fraudulent statements to another governmental unit; or discrimination.	Yes
Financial Qualifications	Transferee certifies that sufficient net liquid assets are on hand or are available from committed sources to consummate the transaction and operate the station(s) for three months.	Yes
Program Service Certification	Transferee certifies that it is cognizant of and will comply with its obligations as a Commission licensee to present a program service responsive to the issues of public concern facing the station's community of license and service area.	Yes

Auction Authorization	Transferee certifies that where less than five years have passed since the issuance of the construction permit and the permit had been acquired in an auction through the use of a bidding credit or other special measure, it would qualify for such credit or other special measure.	
Equal Employment Opportunity (EEO)	If the applicant proposes to employ five or more full-time employees, applicant certifies that it is filing simultaneously with this application a Model EEO Program Report on FCC Form 396-A.	

**Tranferee Alien
Ownership**

Question	Response
1) Is the applicant a foreign government or the representative of any foreign government as specified in Section 310(a) of the Communications Act?	
2) Is the applicant an alien or the representative of an alien? (Section 310(b)(1))	
3) Is the applicant a corporation, or non-corporate entity, that is organized under the laws of any foreign government? (Section 310(b)(2))	
4) Is the applicant an entity of which more than one-fifth of the capital stock, or other equity or voting interest, is owned of record or voted by aliens or their representatives or by a foreign government or representative thereof or by any entity organized under the laws of a foreign country? (Section 310(b)(3))	
5) Is the applicant directly or indirectly controlled by any other entity of which more than one-fourth of the capital stock, or other equity or voting interest, is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof, or by any entity organized under the laws of a foreign country? (Section 310(b)(4))	
6) Has the applicant received a declaratory ruling(s) under Section 310(b)(4) of the Communications Act?	
6a) Enter the citation of the applicable declaratory ruling by DA/FCC number, FCC Record citation, release date, or any other identifying information.	
7) Has there been any change in the applicant's foreign ownership since issuance of the declaratory ruling(s) cited in response to Question 6?	
8) Does the applicant certify that it is in compliance with the terms and conditions of the foreign ownership declaratory ruling(s) cited in response to Question 6?	
9) In connection with this application, is the applicant filing a foreign ownership Petition for Declaratory Ruling pursuant to Section 310(b)(4) of the Communications Act?	

**Licensee
/Permittee
Certification**

Section	Question	Response
General Certification Statements	Licensee/Permittee certifies that it has answered each question in this application based on its review of the application instructions and worksheets. Licensee/Permittee further certifies that where it has made an affirmative certification below, this certification constitutes its representation that the application satisfies each of the pertinent standards and criteria set forth in the application instructions and worksheets.	

	<p>The Licensee/Permittee certifies that neither the Licensee /Permittee nor any other party to the application is subject to a denial of Federal benefits pursuant to §5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. This certification does not apply to applications filed in services exempted under §1.2002(c) of the rules, 47 CFR . See §1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification § 1.2002(c). The Licensee/Permittee certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.</p>	
Authorized Party to Sign	<p>FAILURE TO SIGN THIS APPLICATION MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID</p> <p>Upon grant of this application, the Authorization Holder may be subject to certain construction or coverage requirements. Failure to meet the construction or coverage requirements will result in automatic cancellation of the Authorization. Consult appropriate FCC regulations to determine the construction or coverage requirements that apply to the type of Authorization requested in this application.</p> <p>WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND /OR IMPRISONMENT (U.S. Code, Title 18, §1001) AND/OR REVOCATION OF ANY STATION AUTHORIZATION (U.S. Code, Title 47, §312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, §503).</p>	
	<p>I certify that this application includes all required and relevant attachments.</p>	
	<p>I declare, under penalty of perjury, that I am an authorized representative of the above-named applicant for the Authorization(s) specified above.</p>	

**Transferee
Certification**

Section	Question	Response
General Certification Statements	<p>Transferee certifies that it has answered each question in this application based on its review of the application instructions and worksheets. Transferee further certifies that where it has made an affirmative certification below, this certification constitutes its representation that the application satisfies each of the pertinent standards and criteria set forth in the application instructions and worksheets.</p>	
	<p>The Transferee certifies that neither the Transferee nor any other party to the application is subject to a denial of Federal benefits pursuant to §5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. This certification does not apply to applications filed in services exempted under §1.2002(c) of the rules, 47 CFR . See §1. 2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification § 1.2002 (c). The Transferee certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.</p>	

Authorized Party to Sign	<p>FAILURE TO SIGN THIS APPLICATION MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID</p> <p>Upon grant of this application, the Authorization Holder may be subject to certain construction or coverage requirements. Failure to meet the construction or coverage requirements will result in automatic cancellation of the Authorization. Consult appropriate FCC regulations to determine the construction or coverage requirements that apply to the type of Authorization requested in this application.</p> <p>WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND /OR IMPRISONMENT (U.S. Code, Title 18, §1001) AND/OR REVOCATION OF ANY STATION AUTHORIZATION (U.S. Code, Title 47, §312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, §503).</p>	
	I certify that this application includes all required and relevant attachments.	
	I declare, under penalty of perjury, that I am an authorized representative of the above-named applicant for the Authorization(s) specified above.	<p>DIANE ZIPURSKY ASSISTANT SECRETARY</p> <p>12/21/2001</p>

Transferor Certification

Section	Question	Response
General Certification Statements	Transferor certifies that it has answered each question in this application based on its review of the application instructions and worksheets. Transferor further certifies that where it has made an affirmative certification below, this certification constitutes its representation that the application satisfies each of the pertinent standards and criteria set forth in the application instructions and worksheets.	
	The Transferor certifies that neither the Transferor nor any other party to the application is subject to a denial of Federal benefits pursuant to §5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. This certification does not apply to applications filed in services exempted under §1.2002(c) of the rules, 47 CFR . See §1. 2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification § 1.2002 (c). The Transferor certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.	

Authorized Party to Sign	<p>FAILURE TO SIGN THIS APPLICATION MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID</p> <p>Upon grant of this application, the Authorization Holder may be subject to certain construction or coverage requirements. Failure to meet the construction or coverage requirements will result in automatic cancellation of the Authorization. Consult appropriate FCC regulations to determine the construction or coverage requirements that apply to the type of Authorization requested in this application.</p> <p>WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND /OR IMPRISONMENT (U.S. Code, Title 18, §1001) AND/OR REVOCATION OF ANY STATION AUTHORIZATION (U.S. Code, Title 47, §312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, §503).</p>	
	I certify that this application includes all required and relevant attachments.	
	I declare, under penalty of perjury, that I am an authorized representative of the above-named applicant for the Authorization(s) specified above.	<p>LAWRENCE I. WILLS <i>SENIOR VICE-PRESIDENT</i></p> <p>12/21/2001</p>

Attachments

File Name	Uploaded By	Attachment Type	Description	Upload Status
<u>590244_54795.txt</u>	Applicant	All Purpose	SECTION II - QUESTION 4	Done with Virus Scan and /or Conversion
<u>590244_54796.txt</u>	Applicant	All Purpose	SECTION III - QUESTION 3	Done with Virus Scan and /or Conversion
<u>590244_54797.txt</u>	Applicant	All Purpose	SECTION III - QUESTION 4	Done with Virus Scan and /or Conversion
<u>590244_54798.txt</u>	Applicant	All Purpose	GE DIRECTORS: JAMES I. CASH AND CLAUDIO X. GONAZALEZ	Done with Virus Scan and /or Conversion
<u>590244_54799.txt</u>	Applicant	All Purpose	ATTRIBUTABLE INTERESTS IN BROADCAST STATIONS	Done with Virus Scan and /or Conversion
<u>590244_72457.pdf</u>	Applicant	All Purpose	Signature Pages for Stock Purchase Agreement	Done with Virus Scan and /or Conversion
<u>590244_72458.pdf</u>	Applicant	All Purpose	Signature Pages for Transition Services Agreement	Done with Virus Scan and /or Conversion
<u>590244_72459.pdf</u>	Applicant	All Purpose	Signature Pages for Separation and Services Agreement	Done with Virus Scan and /or Conversion
<u>590244_72460.pdf</u>	Applicant	All Purpose	Signature Pages for Letter	Done with Virus Scan and /or Conversion