

Commission

#### (REFERENCE COPY - Not for submission)

## Assignments

Waivers

Lead File Number: BALH-19970422GH		Submit Date: 04/22/1997	Lead Call Sign: WXZZ		FRN: 0002834810	
Service: Full Power FM	Purpose: Assignment of Authorization		Status: Granted	Status Date: 06/30/1997		Filing Status:
Active						

Does this filing request a waiver of the Commission's rule(s)?

Total number of rule sections involved in this waiver request:

General Information	Section	Question	Response
	Attachments	Are attachments (other than associated schedules) being filed with this application?	
Fees, Waivers, and Exemptions	Section	Question	Response
	Fees	Is the applicant exempt from FCC application Fees?	No
		Indicate reason for fee exemption:	
	Fees		

Assignments	Question	Response
Туре	Is this application a pro forma Assignment of Authorization?	
	By answering "Yes" the Applicant certifies that the use of short form pro forma application is appropriate for this transaction?	
	Is the Assignment Voluntary or Involuntary:	

### **Selected Call Signs** Authorizations to be Assigned **Call Sign Facility ID File Number** Service City, State Question Response Assignment Questions Were any of the authorizations that are the subject of this application obtained through the Commission's competitive bidding procedures (see 47 C.F.R. Sections 1.2111(a) and 73.5000)? Were any of the authorizations that are the subject of this application obtained through the Commission's point system for reserved channel noncommercial educational stations (see 47 C.F.R. Sections 73.7001 and 73.7003)? Have all such stations operated for at least 4 years with a minimum operating schedule since grant pursuant to the point system? Were any of the authorizations that are the subject of this application obtained after award of a dispositive Section 307(b) preference using the Tribal Priority, through Threshold Qualifications procedures, or through the Tribal Priority as applied before the NCE fair distribution analysis set forth in 47 C.F.R. § 73.7002(b)? Have all such stations operated for at least 4 years with a minimum operating schedule since grant? Do both the assignor and assignee qualify for the Tribal Priority in all respects?

	was granted?							
	LPFM Licenses Only: Do CFR Section 73.865(a)(1	-	PFM authorization satisfy the	consideration restr	ictions of	147		
			zations that are subject to th tions (see 47 CFR Section 7		ed throu	igh		
	point system?" (options – sub question, list pertiner	- Y/N. If Yes, nothing further	s operated for at least four y required. No requires attack it and include in the Exhibit 73.865(a)(3).	nment as follows)"If	no to ne	w		
Assignor	Assignor Name, Type	e, and Contact Informat	tion					
Information	Assignor	Туре	Address		Phon	e Email	FR	
	CITICASTERS CO. Assignor Doing Business As: CITIC	Other	50 EAST RIVERCENTE SUITE 1200 COVINGTON, KY 41011 United States					
Assigner Contact	Contact Name	Address		Phone E	Email	Contact T	vpe	
Assignor Contact Representatives (1)	DAVID S. DANTZIC	1001 PENNSYLVANIA	AVE., N.W. STE-1300					
Assignor Legal	Section	Question		Res	ponse			
Assignor Legal Certifications	Agreements for Sale /Transfer of Station	submitted to the Cor application copies of /transfer of the static (ii) these documents understanding betwe	ssignor's public inspection fil nmission as an Exhibit to thi f all agreements for the assig	e(s) and s gnment inal and				
		court orders or other issued and that it ha public inspection file	nvoluntary, the Assignor cer authorizing documents hav s placed in the licensee's/pe (s) and submitted to the Cor orders or other authorizing	e been rmittee's nmission				
	Other Authorizations	locations, and facility	tachment detailing the call si ( identifiers of all other broad signor or any party to the appest.	lcast				
	Character Issues	party to the applicati connection with: (a) any broadcast ap character issues we	at neither licensee/permittee on has or has had any intere oplication in any proceeding re left unresolved or were re e applicant or any party to th	est in, or where solved				

Adverse Findings	Assignor certifies that, with respect to the Assignor and each party to the application, no adverse finding has been made, nor has an adverse final action been taken by any court or administrative body in a civil or criminal proceeding brought under the provisions of any law related to any of the following: any felony; mass media-related antitrust or unfair competition; fraudulent statements to another governmental unit; or discrimination.	
Local Public Notice	Assignor certifies that it has or will comply with the public notice requirements of 47 C.F.R. Section 73.3580.	
Auction Authorization	Assignor certifies that more than five years have passed since the issuance of the construction permit for the station being assigned, where that permit was acquired in an auction through the use of a bidding credit or other special measure.	
Anti-Discrimination Certification	Assignor certifies that neither licensee/permittee nor any party to the application have violated the Commission's prohibition against discrimination on the basis of race, color, religion, national origin or sex in the sale of commercially operated AM, FM, TV, Class A TV or international broadcast stations.	

# Assignee

## Assignee Name, Type, and Contact Information

Information	Assignee			Address	Ph	one Email FRN
	REGENT COMMUNICATIONS, INC. Assignee Doing Business As: REGENT COMMUNICATIONS, INC.			50 E. RIVERCENTER BLVD. 180 COVINGTON, KY 14011 United States	., SUITE	
	Section	Question			Response	File Number
	Radio Station Applicants Only	identified here by file qualified as a noncom qualifying educational use the station(s) to a	the Assi viously gr number, mercial of program			
Assignee Contact	Contact Name	Address		Phone	Email Cor	ntact Type
Representatives (1)	MARISSA G. REPP555 THIRTEENTH ST., N.W.WASHINGTON, DC 20004			Ι.		
Changes in	Party Name Citizenship	Address Phone E	mail In	terest Before Assignment	Interest Afte	r Assignment
Interest (0)			En	npty		
Changes in	Question					Response
Interest Certification	Applicant certifies that equity	and financial interests no	ot set fort	h by the assignee are nonattrib	utable.	

	arty Name	Citizenship	Address	Phone	Email	Positional Interest	
plication (0)			E	mpty			
	uestion						Response
oplication A ertification	pplicant certifies that	at equity and financi	al interests not set fo	orth by the assig	nee are nonat	tributable.	
signee Legal	ection	Question	n			Response	
ertifications A	greements for Sal	<ul> <li>(a) the winspection</li> <li>complete</li> <li>station(station)</li> <li>(b) these</li> </ul>	e certifies that: written agreements in on file and submitted e and final agreemer s); and e agreements comply d policies.	to the Commiss of for the sale or	sion embody the transfer of the		
C	Other Authorization	locations	upload an attachmen s, and facility identifie in which Assignee o attributable interest.	ers of all other b	roadcast		
	Broadcast Incubato Program	or a 'rew	oposed facility the su vard' waiver request ust Incubator Program	under the Comr		al	
N	Iultiple Ownership	an attrib radio or (s) subje	ssignee or any party utable radio joint sale television time broke ect to this application arket as the station(s	es agreement o rage agreemen or with any oth	r an attributabl t with the statio er station in the	e on	
		-	e certifies that the pr Commission's multip			N/A	
		<ul> <li>(1) does</li> <li>policies</li> <li>member</li> <li>(2) comp</li> <li>ownersh</li> <li>(3) comp</li> </ul>	blies with the Commi hip interests; and blies with the Commi lation and nonpartici	e under the Con erests of immed ssion's policies ssion's restrictic	nmission's iate family relating to futu ons relating to	N/A re	
		is, an en Small Be industry holds (1) 30 pe and mor corporat (2) 15 pe and mor corporat provided more tha interests (3) More	e Assignee claim sta atity that qualifies as usiness Administration grouping (as set forther ercent or more of the re than 50 percent of sion or partnership the re than 50 percent of that no other person an 25 percent of the s; or e than 50 percent of the s; or	a small busines on's size standa h in 13 C.F.R. § stock or partne the voting powe at will own the n stock or partne the voting powe at will own the n n or entity owns outstanding stoc	s under the rds for its 121-201), and rship interests er of the nedia outlet; or ership interests er of the nedia outlet, or controls ck or partnersh	t r	

	Does this assignment include a grandfathered cluster of stations?	N/A
	Applicant certifies that it will come in compliance by divesting the necessary station(s) within 12 months of the consummation of this transaction to:	
	A) An Eligible Entity (as defined in Item 6d, above).	
	B) An Irrevocable Trust that will assign the station(s) to an Eligible Entity.	
	NCE Diversity of Ownership Points. Does the assignee or any party to the application have an attributable interest in an NCE FM or NCE TV station received through the award of "diversity of ownership" points in the point system analysis?	N/A
	If 'Yes,' the assignee certifies that (1) its attributable NCE FM or NCE TV station has been on the air for at least four years; and/or (2) none of the proposed assigned stations overlap the principal community contour of the NCE FM or NCE TV station received through the award of diversity points in the point system analysis (see 47 CFR Section 73.7005(c)).	
Acquisition of Control	Please upload an attachment listing the file number and date of grant of FCC Form 301, 314, or 315 application by which the Commission approved the qualifications of the individual or entity with a pre-existing interest in the licensee/permittee that is now acquiring control of the licensee/permittee as a result of the grant of this application.	
Character Issues	Assignee certifies that neither assignee nor any party to the application has or has had any interest in, or connection with: (a) any broadcast application in any proceeding where character issues were left unresolved or were resolved adversely against the applicant or any party to the application; or (b) any pending broadcast application in which character issues have been raised.	
Adverse Findings	Assignee certifies that, with respect to the assignee and each party to the application, no adverse finding has been made, nor has an adverse final action been taken by any court or administrative body in a civil or criminal proceeding brought under the provisions of any law related to any of the following: any felony; mass media-related antitrust or unfair competition; fraudulent statements to another governmental unit; or discrimination.	
Financial Qualifications	Assignee certifies that sufficient net liquid assets are on hand or are available from committed sources to consummate the transaction and operate the station(s) for three months.	
Program Service Certification	Assignee certifies that it is cognizant of and will comply with its obligations as a Commission licensee to present a program service responsive to the issues of public concern facing the station's community of license and service area.	
Auction Authorization	Assignee certifies that where less than five years have passed since the issuance of the construction permit and the permit had been acquired in an auction through the use of a bidding credit or other special measure, it would qualify for such credit or other special measure.	

Equal Employment	If the applicant proposes to employ five or more full-time	
Opportunity (EEO)	employees, applicant certifies that it is filing simultaneously	
	with this application a Model EEO Program Report on FCC	
	Form 396-A.	

# Assignee Alien Ownership

Question	Resp
<ol> <li>Is the applicant a foreign government or the representative of any foreign government as specified in Section 310(a) of the Communications Act?</li> </ol>	
2) Is the applicant an alien or the representative of an alien? (Section 310(b)(1))	
3) Is the applicant a corporation, or non-corporate entity, that is organized under the laws of any foreign government? (Section 310(b)(2))	
4) Is the applicant an entity of which more than one-fifth of the capital stock, or other equity or voting interest, is owned of record or voted by aliens or their representatives or by a foreign government or representative thereof or by any entity organized under the laws of a foreign country? (Section 310(b)(3))	
<b>5)</b> Is the applicant directly or indirectly controlled by any other entity of which more than one-fourth of the capital stock, or other equity or voting interest, is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof, or by any entity organized under the laws of a foreign country? (Section 310(b)(4))	
6) Has the applicant received a declaratory ruling(s) under Section 310(b)(4) of the Communications Act?	
<b>6a)</b> Enter the citation of the applicable declaratory ruling by DA/FCC number, FCC Record citation, release date, or any other identifying information.	
7) Has there been any change in the applicant's foreign ownership since issuance of the declaratory ruling(s) cited in response to Question 6?	
8) Does the applicant certify that it is in compliance with the terms and conditions of the foreign ownership declaratory ruling(s) cited in response to Question 6?	
<b>9)</b> In connection with this application, is the applicant filing a foreign ownership Petition for Declaratory Ruling pursuant to Section 310(b)(4) of the Communications Act?	

### Assignee Certification

Section	Question	Response
General Certification Statements	Assignee certifies that it has answered each question in this application based on its review of the application instructions and worksheets. Assignee further certifies that where it has made an affirmative certification below, this certification constitutes its representation that the application satisfies each of the pertinentstandards and criteria set forth in the application instructions and worksheets.	
	The Assignee certifies that neither the Assignee nor any other party to the application is subject to a denial of Federal benefits pursuant to §5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. This certification does not apply to applications filed in services exempted under §1.2002(c) of the rules, 47 CFR . See §1. 2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification § 1.2002 (c). The Assignee certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.	

Authorized Party to Sign	FAILURE TO SIGN THIS APPLICATION MAY RESULT IN	
	DISMISSAL OF THE APPLICATION AND FORFEITURE	
	OF ANY FEES PAID	
	Upon grant of this application, the Authorization Holder may	
	be subject to certain construction or coverage requirements.	
	Failure to meet the construction or coverage requirements	
	will result in automatic cancellation of the Authorization.	
	Consult appropriate FCC regulations to determine the	
	construction or coverage requirements that apply to the type	
	of Authorization requested in this application.	
	WILLFUL FALSE STATEMENTS MADE ON THIS FORM	
	OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND	
	/OR IMPRISONMENT (U.S. Code, Title 18, §1001) AND/OR	
	<b>REVOCATION OF ANY STATION AUTHORIZATION (U.S.</b>	
	Code, Title 47, §312(a)(1)), AND/OR FORFEITURE (U.S.	
	Code, Title 47, §503).	
	I certify that this application includes all required and	
	relevant attachments.	
	I declare, under penalty of perjury, that I am an authorized	
	representative of the above-named applicant for the	
	Authorization(s) specified above.	

Assignor Certification	Section	Question	Response
	General Certification Statements	Assignor certifies that it has answered each question in this application based on its review of the application instructions and worksheets. Assignor further certifies that where it has made an affirmative certification below, this certification constitutes its representation that the application satisfies each of the pertinentstandards and criteria set forth in the application instructions and worksheets.	
		The Assignor certifies that neither the Assignor nor any other party to the application is subject to a denial of Federal benefits pursuant to §5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. This certification does not apply to applications filed in services exempted under §1.2002(c) of the rules, 47 CFR . See §1. 2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification § 1.2002 (c). The Assignor certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.	
	Authorized Party to Sign	<ul> <li>FAILURE TO SIGN THIS APPLICATION MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID</li> <li>Upon grant of this application, the Authorization Holder may be subject to certain construction or coverage requirements.</li> <li>Failure to meet the construction or coverage requirements will result in automatic cancellation of the Authorization.</li> <li>Consult appropriate FCC regulations to determine the construction or coverage requirements that apply to the type of Authorization requested in this application.</li> <li>WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND /OR IMPRISONMENT (U.S. Code, Title 18, §1001) AND/OR REVOCATION OF ANY STATION AUTHORIZATION (U.S. Code, Title 47, §312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, §503).</li> </ul>	

I certify that this applicative relevant attachments.	ation includes all required a	and
	v of perjury, that I am an a pove-named applicant for the ed above.	

Attachments

Information not provided.