



(REFERENCE COPY - Not for submission)

Noncommercial Broadcast Stations Biennial  
Ownership Report (FCC Form 323-E)

File Number: 0000103009 | Submit Date: 2020-01-30 | FRN: 0007228299

Purpose: Noncommercial Broadcast Stations Biennial Ownership Report | Status: Received | Status Date: 01/30/2020

Filing Status: Active

Section I - General Information

1. Respondent

| FRN        |  | Entity Name  |  |  |  |
|------------|--|--|--|--|--|
| 0007228299 |  | The Board of Trustees, The University of Connecticut |  |  |  |

| Street Address  | City (and Country if non U.S. address) | State ("NA" if non-U.S. address) | Zip Code   | Phone             | Email                     |
|---|--|----------------------------------|------------|-------------------|---------------------------|
| Board of Trustees, Gulley Hall, 352 Mansfield Road, Unit 1048 | Storrs                                 | CT                               | 06269-1048 | +1 (860) 486-2333 | boardoftrustees@uconn.edu |

2. Contact Representative

| Name           |  | Organization                         |  |  |  |
|----------------|--|--------------------------------------|--|--|--|
| Jason McMullan |  | University of Connecticut WHUS Radio |  |  |  |

| Street Address   | City (and Country if non U.S. address) | State | Zip Code | Phone             | Email                       |
|--|--|-------|----------|-------------------|-----------------------------|
| University of Connecticut, WHUS Radio, Suite 412 2110 Hillside Road, Unit 3008 | Storrs                                 | CT    | 06269    | +1 (860) 486-1843 | programcoordinator@whus.org |

3. Application Filing Fee

Not Applicable

4. Control of Respondent

(a) Provide the following information about the Respondent:

|   |          |
|---|----------|
| Relationship to stations/permits  | Licensee |
| Is the Respondent's governing board (or other governing entity) directly or indirectly under the control of another entity? | No       |

(b) Provide the following information about this report:

|         |          |
|---------|----------|
| Purpose | Biennial |
|---------|----------|

|              |   |
|--------------|---|
| "As of" date | 10/01/2019<br><br>When filing a biennial ownership report or validating and resubmitting a prior biennial ownership report, this date must be Oct. 1 of the year in which this report is filed. |
|--------------|---|

5. Licensee(s) and Station(s)

Respondent is filing this report to cover the following Licensee(s) and station(s):

| Licensee/Permittee Name                              | FRN        |
|--|------------|
| The Board of Trustees, The University of Connecticut | 0007228299 |

| Fac. ID No. | Call Sign | City   | State | Service |
|-------------|-----------|--------|-------|---------|
| 65451       | WHUS      | STORRS | CT    | FM      |

Section II – Biennial Ownership Information

1. 47 C.F.R. Section 73.3613 Documents

Licensee Respondents that hold authorizations for one or more full power television, AM, and/or FM stations should list all contracts and other instruments set forth in 47 C.F.R. Section 73.3613(a) through (c) for the facility or facilities listed on this report. If the agreement is a network affiliation agreement, check the appropriate box. Otherwise, select “Other.” Non-Licensee Respondents should select “Not Applicable” in response to this question.

Not Applicable.

2. Ownership Interests

(a) Ownership Interests. This Question requires Respondents to enter detailed information about ownership interests by generating a series of subforms. Answer each question on each subform. The first subform listing should be for the Respondent itself. If the Respondent is not a natural person, also list each of the officers, members of the governing board (or other governing entity), stockholders, and any other persons or entities with a direct attributable interest in the Respondent pursuant to the standards set forth in 47 C.F.R. Section 73.3555. (A “direct” interest is one that is not held through any intervening companies or entities.) List each interest holder with a direct attributable interest in the Respondent separately.

Leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the Respondent solely on the basis of the Commission’s Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i).

In the case of vertical or indirect ownership structures, list only those interests in the Respondent that also represent an attributable interest in the Licensee(s) for which the report is being submitted.

Entities that are part of an organizational structure that includes holding companies or other forms of indirect ownership must file separate ownership reports. In such a structure do not report, or file a separate report for, any interest holder that does not have an attributable interest in the Licensee(s) for which the report is being submitted.

Please see the Instructions for further detail concerning interests that must be reported in response to this question.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

| Ownership Information |  |                                 |
|-----------------------|--|---------------------------------|
| FRN                   | 0007228299   |                                 |
| Entity Name           | The Board of Trustees, The University of Connecticut |                                 |
| Address               | PO Box   |                                 |
|                       | Street 1   | Board of Trustees, Gulley Hall, |
|                       | Street 2   | 352 Mansfield Road, Unit 1048   |
|                       | City   | Storrs                          |
|                       |  |                                 |

|  |   |               |  |
|--|---|---------------|--|
|  | <b>State ("NA" if non-U.S. address)</b>                 | CT            |  |
|  | <b>Zip/Postal Code</b>                                  | 06269-1048    |  |
|  | <b>Country (if non-U.S. address)</b>                    | United States |  |
| <b>Listing Type</b>  | Respondent  |               |  |
| <b>Positional Interests</b><br>(check all that apply)  | Respondent  |               |  |
| <b>Tribal Nation or Tribal Entity</b>  | Interest holder is not a Tribal nation or Tribal entity |               |  |
| <b>Interest Percentages</b><br>(enter percentage values from 0.0 to 100.0)   | <b>Voting</b>   | 0.0%          |  |
|  | <b>Equity</b>   | 0.0%          |  |
|  | <b>Total assets (Equity Debt Plus)</b>                  | 0.0%          |  |
| <b>Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?</b> |   | No            |  |

| Ownership Information  |  |                               |
|--|--|-------------------------------|
| <b>FRN</b>   | 9990120017   |                               |
| <b>Name</b>  | Andy F. Bessette   |                               |
| <b>Address</b>   | <b>PO Box</b>  |                               |
|  | <b>Street 1</b>  | The Travelers Companies, Inc. |
|  | <b>Street 2</b>  | One Tower Square, 2MS         |
|  | <b>City</b>  | Hartford                      |
|  | <b>State ("NA" if non-U.S. address)</b>                        | CT                            |
|  | <b>Zip/Postal Code</b>   | 06183                         |
|  | <b>Country (if non-U.S. address)</b>                           | United States                 |
| <b>Listing Type</b>  | Other Interest Holder  |                               |
| <b>Positional Interests</b><br>(check all that apply)                              | Officer, Member of Governing Board (or other governing entity) |                               |
| <b>Principal Profession or Occupation</b>  | Executive, Vice President and Chief Administrative Officer     |                               |
| <b>By Whom Appointed or Elected</b>  | Governor Appointment   |                               |
| <b>Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)</b> | <b>Citizenship</b>   | US                            |
|  | <b>Gender</b>  | Male                          |
|  | <b>Ethnicity</b>   | Not Hispanic or Latino        |
|  | <b>Race</b>  | White                         |
| <b>Interest Percentages</b><br>(enter percentage values from 0.0 to 100.0)         | <b>Voting</b>  | 5.0%                          |
|  |  |                               |

|  |  |      |
|--|--|------|
|  | <b>Equity</b>                          | 0.0% |
|  | <b>Total assets (Equity Debt Plus)</b> |      |
| <b>Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?</b> |  | No   |

| Ownership Information   |  |  |
|---|--|--|
| FRN   | 9990140943   |  |
| Name  | Ned Lamont   |  |
| Address   | PO Box   |  |
|   | Street 1   | The Honorable Ned Lamont Governor of Connecticut |
|   | Street 2   | 210 Capitol Avenue                               |
|   | City   | Hartford   |
|   | State ("NA" if non-U.S. address)                               | CT   |
|   | Zip/Postal Code  | 06106  |
|   | Country (if non-U.S. address)                                  | United States                                    |
| Listing Type  | Other Interest Holder  |  |
| Positional Interests<br>(check all that apply)  | Officer, Member of Governing Board (or other governing entity) |  |
| Principal Profession or Occupation  | Governor of the State of Connecticut                           |  |
| By Whom Appointed or Elected  | Ex officio   |  |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)   | Citizenship  | US   |
|   | Gender   | Male   |
|   | Ethnicity  | Not Hispanic or Latino                           |
|   | Race   | White  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)   | Voting   | 5.0%   |
|   | Equity   | 0.0%   |
|   | Total assets (Equity Debt Plus)                                |  |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |  | No   |

| Ownership Information |               |                   |
|-----------------------|---------------|-------------------|
| FRN                   | 9990120018    |                   |
| Name                  | Mark L. Boxer |                   |
| Address               | PO Box        |                   |
|                       | Street 1      | Cigna Corporation |
|                       |               |                   |

|   |  |                              |  |
|---|--|------------------------------|--|
|   | Street 2   | 900 Cottage Grove Road W2SLT |  |
|   | City   | Bloomfield                   |  |
|   | State ("NA" if non-U.S. address)                               | CT                           |  |
|   | Zip/Postal Code  | 06002                        |  |
|   | Country (if non-U.S. address)                                  | United States                |  |
| Listing Type  | Other Interest Holder  |                              |  |
| Positional Interests<br>(check all that apply)  | Officer, Member of Governing Board (or other governing entity) |                              |  |
| Principal Profession or Occupation  | Global Chief Information Officer                               |                              |  |
| By Whom Appointed or Elected  | Governor Appointment   |                              |  |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)   | Citizenship  | US                           |  |
|   | Gender   | Male                         |  |
|   | Ethnicity  | Not Hispanic or Latino       |  |
|   | Race   | White                        |  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)   | Voting   | 5.0%                         |  |
|   | Equity   | 0.0%                         |  |
|   | Total assets (Equity Debt Plus)                                |                              |  |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |  | No                           |  |

| Ownership Information                          |  |                   |
|--|--|-------------------|
| FRN  | 9990120047   |                   |
| Name   | Charles F. Bunnell   |                   |
| Address  | PO Box   |                   |
|  | Street 1   | The Mohegan Tribe |
|  | Street 2   | 13 Crow Hill Road |
|  | City   | Uncasville        |
|  | State ("NA" if non-U.S. address)                               | CT                |
|  | Zip/Postal Code  | 06382             |
|  | Country (if non-U.S. address)                                  | United States     |
| Listing Type                                   | Other Interest Holder  |                   |
| Positional Interests<br>(check all that apply) | Officer, Member of Governing Board (or other governing entity) |                   |

|   |                                 |                        |  |
|---|---------------------------------|------------------------|--|
| Principal Profession or Occupation  | Chief of Staff                  |                        |  |
| By Whom Appointed or Elected  | Governor Appointment            |                        |  |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)   | Citizenship                     | US                     |  |
|   | Gender                          | Male                   |  |
|   | Ethnicity                       | Not Hispanic or Latino |  |
|   | Race                            | White                  |  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)   | Voting                          | 5.0%                   |  |
|   | Equity                          | 0.0%                   |  |
|   | Total assets (Equity Debt Plus) |                        |  |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |                                 | No                     |  |

| Ownership Information   |  |                        |
|---|--|------------------------|
| FRN   | 9990120049   |                        |
| Name  | Shari G. Cantor  |                        |
| Address   | PO Box   |                        |
|   | Street 1   | 39 Colony Road         |
|   | Street 2   |                        |
|   | City   | West Hartford          |
|   | State ("NA" if non-U.S. address)                               | CT                     |
|   | Zip/Postal Code  | 06117                  |
|   | Country (if non-U.S. address)                                  | United States          |
| Listing Type  | Other Interest Holder  |                        |
| Positional Interests<br>(check all that apply)                              | Officer, Member of Governing Board (or other governing entity) |                        |
| Principal Profession or Occupation  | Mayor, Town of West Hartford                                   |                        |
| By Whom Appointed or Elected  | Governor Appointment   |                        |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship  | US                     |
|   | Gender   | Female                 |
|   | Ethnicity  | Not Hispanic or Latino |
|   | Race   | White                  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)         | Voting   | 5.0%                   |
|   | Equity   | 0.0%                   |
|   |  |                        |

|   |                                 |    |
|---|---------------------------------|----|
|   | Total assets (Equity Debt Plus) |    |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |                                 | No |

| Ownership Information   |   |                                   |
|---|---|-----------------------------------|
| FRN   | 9990140950  |                                   |
| Name  | Miguel A. Cardona                                     |                                   |
| Address   | PO Box  |                                   |
|   | Street 1  | Commissioner of Education         |
|   | Street 2  | 450 Columbus Boulevard, Suite 601 |
|   | City  | Hartford                          |
|   | State ("NA" if non-U.S. address)                      | CT                                |
|   | Zip/Postal Code                                       | 06103                             |
|   | Country (if non-U.S. address)                         | United States                     |
| Listing Type  | Other Interest Holder                                 |                                   |
| Positional Interests<br>(check all that apply)  | Member of Governing Board (or other governing entity) |                                   |
| Principal Profession or Occupation  | State of Connecticut Commissioner                     |                                   |
| By Whom Appointed or Elected  | Ex officio  |                                   |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)   | Citizenship   | US                                |
|   | Gender  | Male                              |
|   | Ethnicity   | Hispanic or Latino                |
|   | Race  | White                             |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)   | Voting  | 5.0%                              |
|   | Equity  | 0.0%                              |
|   | Total assets (Equity Debt Plus)                       |                                   |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |   | No                                |

| Ownership Information |                          |                               |
|-----------------------|--------------------------|-------------------------------|
| FRN                   | 9990120054               |                               |
| Name                  | Sanford Cloud, Jr., Esq. |                               |
| Address               | PO Box                   |                               |
|                       | Street 1                 | 795 Prospect Avenue, Unit C-3 |
|                       | Street 2                 |                               |
|                       |                          |                               |

|  |  |                           |
|--|--|---------------------------|
|  | <b>City</b>  | West Hartford             |
|  | <b>State ("NA" if non-U.S. address)</b>                        | CT                        |
|  | <b>Zip/Postal Code</b>   | 06105                     |
|  | <b>Country (if non-U.S. address)</b>                           | United States             |
| <b>Listing Type</b>  | Other Interest Holder  |                           |
| <b>Positional Interests</b><br>(check all that apply)  | Officer, Member of Governing Board (or other governing entity) |                           |
| <b>Principal Profession or Occupation</b>  | Real Estate Developer  |                           |
| <b>By Whom Appointed or Elected</b>  | Chairman of the Board  |                           |
| <b>Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)</b>   | <b>Citizenship</b>   | US                        |
|  | <b>Gender</b>  | Male                      |
|  | <b>Ethnicity</b>   | Not Hispanic or Latino    |
|  | <b>Race</b>  | Black or African American |
| <b>Interest Percentages</b><br>(enter percentage values from 0.0 to 100.0)   | <b>Voting</b>  | 5.0%                      |
|  | <b>Equity</b>  | 0.0%                      |
|  | <b>Total assets (Equity Debt Plus)</b>                         |                           |
| <b>Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?</b> |  | No                        |

| Ownership Information                                 |   |                               |
|---|---|-------------------------------|
| <b>FRN</b>  | 9990140955  |                               |
| <b>Name</b>   | Scott S. Cowen  |                               |
| <b>Address</b>  | <b>PO Box</b>   |                               |
|   | <b>Street 1</b>                                       | 600 Port of New Orleans Place |
|   | <b>Street 2</b>                                       | Apt. 5B                       |
|   | <b>City</b>   | New Orleans                   |
|   | <b>State ("NA" if non-U.S. address)</b>               | LA                            |
|   | <b>Zip/Postal Code</b>                                | 70130                         |
|   | <b>Country (if non-U.S. address)</b>                  | United States                 |
| <b>Listing Type</b>                                   | Other Interest Holder                                 |                               |
| <b>Positional Interests</b><br>(check all that apply) | Member of Governing Board (or other governing entity) |                               |
| <b>Principal Profession or Occupation</b>             | President Emeritus, Tulane University                 |                               |



|   |                                 |                        |
|---|---------------------------------|------------------------|
| By Whom Appointed or Elected  | Governor Appointment            |                        |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)   | Citizenship                     | US                     |
|   | Gender                          | Male                   |
|   | Ethnicity                       | Not Hispanic or Latino |
|   | Race                            | White                  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)   | Voting                          | 5.0%                   |
|   | Equity                          | 0.0%                   |
|   | Total assets (Equity Debt Plus) |                        |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |                                 | No                     |

|   |  |                            |
|---|--|----------------------------|
| Ownership Information   |  |                            |
| FRN   | 9990120059   |                            |
| Name  | Andrea Dennis-LaVigne, D.V.M.                                  |                            |
| Address   | PO Box   |                            |
|   | Street 1   | Bloomfield Animal Hospital |
|   | Street 2   | 77 Old Windsor Road        |
|   | City   | Bloomfield                 |
|   | State ("NA" if non-U.S. address)                               | CT                         |
|   | Zip/Postal Code  | 06002                      |
|   | Country (if non-U.S. address)                                  | United States              |
| Listing Type  | Other Interest Holder  |                            |
| Positional Interests<br>(check all that apply)                              | Officer, Member of Governing Board (or other governing entity) |                            |
| Principal Profession or Occupation  | Veterinarian   |                            |
| By Whom Appointed or Elected  | Governor Appointment   |                            |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship  | US                         |
|   | Gender   | Female                     |
|   | Ethnicity  | Not Hispanic or Latino     |
|   | Race   | Black or African American  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)         | Voting   | 5.0%                       |
|   | Equity   | 0.0%                       |
|   | Total assets (Equity Debt Plus)                                |                            |

|   |    |
|---|----|
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | No |
|---|----|

| Ownership Information   |  |                        |
|---|--|------------------------|
| FRN   | 9990120061   |                        |
| Name  | Marilda L. Gandara, Esq.                                       |                        |
| Address   | PO Box   |                        |
|   | Street 1   | 155 Scarborough Street |
|   | Street 2   |                        |
|   | City   | Hartford               |
|   | State ("NA" if non-U.S. address)                               | CT                     |
|   | Zip/Postal Code  | 06105                  |
|   | Country (if non-U.S. address)                                  | United States          |
| Listing Type  | Other Interest Holder  |                        |
| Positional Interests<br>(check all that apply)  | Officer, Member of Governing Board (or other governing entity) |                        |
| Principal Profession or Occupation  | Retired President, Aetna Foundation, Inc.                      |                        |
| By Whom Appointed or Elected  | Governor Appointment   |                        |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)   | Citizenship  | US                     |
|   | Gender   | Female                 |
|   | Ethnicity  | Hispanic or Latino     |
|   | Race   | White                  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)   | Voting   | 5.0%                   |
|   | Equity   | 0.0%                   |
|   | Total assets (Equity Debt Plus)                                |                        |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |  | No                     |

| Ownership Information |                  |                        |
|-----------------------|------------------|------------------------|
| FRN                   | 9990120062       |                        |
| Name                  | Jeanine A. Gouin |                        |
| Address               | PO Box           |                        |
|                       | Street 1         | 46R Stephen Woods Lane |
|                       | Street 2         |                        |
|                       | City             | Durham                 |
|                       |                  |                        |

|  |  |                        |
|--|--|------------------------|
|  | <b>State ("NA" if non-U.S. address)</b>                        | CT                     |
|  | <b>Zip/Postal Code</b>   | 06422                  |
|  | <b>Country (if non-U.S. address)</b>                           | United States          |
| <b>Listing Type</b>  | Other Interest Holder  |                        |
| <b>Positional Interests</b><br>(check all that apply)  | Officer, Member of Governing Board (or other governing entity) |                        |
| <b>Principal Profession or Occupation</b>  | Vice President   |                        |
| <b>By Whom Appointed or Elected</b>  | Elected by the Alumni  |                        |
| <b>Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)</b>   | <b>Citizenship</b>   | US                     |
|  | <b>Gender</b>  | Female                 |
|  | <b>Ethnicity</b>   | Not Hispanic or Latino |
|  | <b>Race</b>  | White                  |
| <b>Interest Percentages</b><br>(enter percentage values from 0.0 to 100.0)   | <b>Voting</b>  | 5.0%                   |
|  | <b>Equity</b>  | 0.0%                   |
|  | <b>Total assets (Equity Debt Plus)</b>                         |                        |
| <b>Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?</b> |  | No                     |

| Ownership Information                                 |   |                                   |
|---|---|-----------------------------------|
| <b>FRN</b>  | 9990140957  |                                   |
| <b>Name</b>   | Bryan Hurlburt  |                                   |
| <b>Address</b>  | <b>PO Box</b>   |                                   |
|   | <b>Street 1</b>                                       | Commissioner of Agriculture       |
|   | <b>Street 2</b>                                       | 450 Columbus Boulevard, Suite 701 |
|   | <b>City</b>   | Hartford                          |
|   | <b>State ("NA" if non-U.S. address)</b>               | CT                                |
|   | <b>Zip/Postal Code</b>                                | 06103                             |
|   | <b>Country (if non-U.S. address)</b>                  | United States                     |
| <b>Listing Type</b>                                   | Other Interest Holder                                 |                                   |
| <b>Positional Interests</b><br>(check all that apply) | Member of Governing Board (or other governing entity) |                                   |
| <b>Principal Profession or Occupation</b>             | State of Connecticut Commissioner                     |                                   |
| <b>By Whom Appointed or Elected</b>                   | Ex Officio  |                                   |
|   |   |                                   |

|   |                                 |                        |
|---|---------------------------------|------------------------|
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)   | Citizenship                     | US                     |
|   | Gender                          | Male                   |
|   | Ethnicity                       | Not Hispanic or Latino |
|   | Race                            | White                  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)   | Voting                          | 5.0%                   |
|   | Equity                          | 0.0%                   |
|   | Total assets (Equity Debt Plus) |                        |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |                                 | No                     |

|   |   |  |
|---|---|--|
| Ownership Information   |   |  |
| FRN   | 9990140958  |  |
| Name  | David Lehman  |  |
| Address   | PO Box  |  |
|   | Street 1  | Commissioner of Economic & Community Development |
|   | Street 2  | 450 Columbus Boulevard, Suite 5                  |
|   | City  | Hartford   |
|   | State ("NA" if non-U.S. address)                      | CT   |
|   | Zip/Postal Code                                       | 06103  |
|   | Country (if non-U.S. address)                         | United States                                    |
| Listing Type  | Other Interest Holder                                 |  |
| Positional Interests<br>(check all that apply)  | Member of Governing Board (or other governing entity) |  |
| Principal Profession or Occupation  | State of Connecticut Commissioner                     |  |
| By Whom Appointed or Elected  | Ex officio  |  |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)   | Citizenship   | US   |
|   | Gender  | Male   |
|   | Ethnicity   | Not Hispanic or Latino                           |
|   | Race  | White  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)   | Voting  | 5.0%   |
|   | Equity  | 0.0%   |
|   | Total assets (Equity Debt Plus)                       |  |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |   | No   |

| Ownership Information   |   |                        |
|---|---|------------------------|
| FRN   | 9990120064  |                        |
| Name  | Rebecca Lobo  |                        |
| Address   | PO Box  | 734                    |
|   | Street 1  |                        |
|   | Street 2  |                        |
|   | City  | Granby                 |
|   | State ("NA" if non-U.S. address)                      | CT                     |
|   | Zip/Postal Code                                       | 06035                  |
|   | Country (if non-U.S. address)                         | United States          |
| Listing Type  | Other Interest Holder                                 |                        |
| Positional Interests<br>(check all that apply)  | Member of Governing Board (or other governing entity) |                        |
| Principal Profession or Occupation  | Sports Broadcaster                                    |                        |
| By Whom Appointed or Elected  | Governor Appointment                                  |                        |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)   | Citizenship   | US                     |
|   | Gender  | Female                 |
|   | Ethnicity   | Not Hispanic or Latino |
|   | Race  | White                  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)   | Voting  | 5.0%                   |
|   | Equity  | 0.0%                   |
|   | Total assets (Equity Debt Plus)                       |                        |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |   | No                     |

| Ownership Information |                                  |                           |
|-----------------------|----------------------------------|---------------------------|
| FRN                   | 9990140959                       |                           |
| Name                  | Kevin J. O'Connor                |                           |
| Address               | PO Box                           |                           |
|                       | Street 1                         | 122 Butternut Hollow Road |
|                       | Street 2                         |                           |
|                       | City                             | Greenwich                 |
|                       | State ("NA" if non-U.S. address) | CT                        |
|                       | Zip/Postal Code                  | 06830                     |
|                       |                                  |                           |

|   |  |                        |
|---|--|------------------------|
|   | Country (if non-U.S. address)                                  | United States          |
| Listing Type  | Other Interest Holder  |                        |
| Positional Interests<br>(check all that apply)  | Officer, Member of Governing Board (or other governing entity) |                        |
| Principal Profession or Occupation  | Attorney   |                        |
| By Whom Appointed or Elected  | Governor Appointment   |                        |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)   | Citizenship  | US                     |
|   | Gender   | Male                   |
|   | Ethnicity  | Not Hispanic or Latino |
|   | Race   | White                  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)   | Voting   | 5.0%                   |
|   | Equity   | 0.0%                   |
|   | Total assets (Equity Debt Plus)                                |                        |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |  | No                     |

| Ownership Information   |   |                    |
|---|---|--------------------|
| FRN   | 9990140961  |                    |
| Name  | Bryan K. Pollard                                      |                    |
| Address   | PO Box  |                    |
|   | Street 1  | 165 Old Farms East |
|   | Street 2  |                    |
|   | City  | Middletown         |
|   | State ("NA" if non-U.S. address)                      | CT                 |
|   | Zip/Postal Code                                       | 06457              |
|   | Country (if non-U.S. address)                         | United States      |
| Listing Type  | Other Interest Holder                                 |                    |
| Positional Interests<br>(check all that apply)                              | Member of Governing Board (or other governing entity) |                    |
| Principal Profession or Occupation  | Attorney  |                    |
| By Whom Appointed or Elected  | Elected by the Alumni                                 |                    |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship   | US                 |
|   | Gender  | Male               |
|   |   |                    |

|  |  |                           |
|--|--|---------------------------|
|  | <b>Ethnicity</b>                       | Not Hispanic or Latino    |
|  | <b>Race</b>                            | Black or African American |
| <b>Interest Percentages</b><br>(enter percentage values from 0.0 to 100.0)   | <b>Voting</b>                          | 5.0%                      |
|  | <b>Equity</b>                          | 0.0%                      |
|  | <b>Total assets (Equity Debt Plus)</b> |                           |
| <b>Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?</b> |  | No                        |

|  |   |  |
|--|---|--|
| <b>Ownership Information</b>   |   |  |
| <b>FRN</b>   | 9990120068  |  |
| <b>Name</b>  | Thomas D. Ritter, Esq.                                |  |
| <b>Address</b>   | <b>PO Box</b>   |  |
|  | <b>Street 1</b>                                       | Brown Rudnick LLP, CityPlace I, 38th Floor |
|  | <b>Street 2</b>                                       | 185 Asylum Street                          |
|  | <b>City</b>   | Hartford                                   |
|  | <b>State ("NA" if non-U.S. address)</b>               | CT   |
|  | <b>Zip/Postal Code</b>                                | 06103                                      |
|  | <b>Country (if non-U.S. address)</b>                  | United States                              |
| <b>Listing Type</b>  | Other Interest Holder                                 |  |
| <b>Positional Interests</b><br>(check all that apply)  | Member of Governing Board (or other governing entity) |  |
| <b>Principal Profession or Occupation</b>  | Attorney  |  |
| <b>By Whom Appointed or Elected</b>  | Governor Appointment                                  |  |
| <b>Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)</b>   | <b>Citizenship</b>                                    | US   |
|  | <b>Gender</b>   | Male                                       |
|  | <b>Ethnicity</b>                                      | Not Hispanic or Latino                     |
|  | <b>Race</b>   | White                                      |
| <b>Interest Percentages</b><br>(enter percentage values from 0.0 to 100.0)   | <b>Voting</b>   | 5.0%                                       |
|  | <b>Equity</b>   | 0.0%                                       |
|  | <b>Total assets (Equity Debt Plus)</b>                |  |
| <b>Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?</b> |   | No   |

|                              |            |
|------------------------------|------------|
| <b>Ownership Information</b> |            |
| <b>FRN</b>                   | 9990140964 |

|   |  |                        |  |
|---|--|------------------------|--|
| Name  | Philip E. Rubin  |                        |  |
| Address   | PO Box   |                        |  |
|   | Street 1   | 233 Algonquin Road     |  |
|   | Street 2   |                        |  |
|   | City   | Fairfield              |  |
|   | State ("NA" if non-U.S. address)                               | CT                     |  |
|   | Zip/Postal Code  | 06825                  |  |
|   | Country (if non-U.S. address)                                  | United States          |  |
| Listing Type  | Other Interest Holder  |                        |  |
| Positional Interests<br>(check all that apply)  | Officer, Member of Governing Board (or other governing entity) |                        |  |
| Principal Profession or Occupation  | Chief Executive Officer Emeritus at Haskins Laboratories       |                        |  |
| By Whom Appointed or Elected  | Governor Appointment   |                        |  |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)   | Citizenship  | US                     |  |
|   | Gender   | Male                   |  |
|   | Ethnicity  | Not Hispanic or Latino |  |
|   | Race   | White                  |  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)   | Voting   | 5.0%                   |  |
|   | Equity   | 0.0%                   |  |
|   | Total assets (Equity Debt Plus)                                |                        |  |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |  | No                     |  |

| Ownership Information |                                  |   |
|-----------------------|----------------------------------|---|
| FRN                   | 9990140966                       |   |
| Name                  | Daniel D. Toscano                |   |
| Address               | PO Box                           |   |
|                       | Street 1                         | Morgan Stanley   Global Capital Markets |
|                       | Street 2                         | 1585 Broadway, 4th Floor                |
|                       | City                             | New York                                |
|                       | State ("NA" if non-U.S. address) | NY                                      |
|                       | Zip/Postal Code                  | 10036                                   |
|                       | Country (if non-U.S. address)    | United States                           |
| Listing Type          | Other Interest Holder            |   |



|   |  |                        |
|---|--|------------------------|
| Positional Interests<br>(check all that apply)  | Officer, Member of Governing Board (or other governing entity) |                        |
| Principal Profession or Occupation  | Managing Director  |                        |
| By Whom Appointed or Elected  | Governor Appointment   |                        |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)   | Citizenship  | US                     |
|   | Gender   | Male                   |
|   | Ethnicity  | Not Hispanic or Latino |
|   | Race   | White                  |
| Interest Percentages<br>(enter percentage values from 0.0 to 100.0)   | Voting   | 5.0%                   |
|   | Equity   | 0.0%                   |
|   | Total assets (Equity Debt Plus)                                |                        |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |  | No                     |

|   |   |                          |
|---|---|--------------------------|
| Ownership Information   |   |                          |
| FRN   | 9990140969  |                          |
| Name  | Renukanandan Tumu                                     |                          |
| Address   | PO Box  |                          |
|   | Street 1  | 345A Snow Residence Hall |
|   | Street 2  |                          |
|   | City  | Storrs                   |
|   | State ("NA" if non-U.S. address)                      | CT                       |
|   | Zip/Postal Code                                       | 06269                    |
|   | Country (if non-U.S. address)                         | United States            |
| Listing Type  | Other Interest Holder                                 |                          |
| Positional Interests<br>(check all that apply)                              | Member of Governing Board (or other governing entity) |                          |
| Principal Profession or Occupation  | Undergraduate Student                                 |                          |
| By Whom Appointed or Elected  | Elected by the Undergraduate Students                 |                          |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship   | US                       |
|   | Gender  | Male                     |
|   | Ethnicity   | Not Hispanic or Latino   |
|   | Race  | Asian                    |
| Interest Percentages<br>(enter percentage values                            | Voting  | 5.0%                     |
|   |   |                          |

|   |                                 |      |
|---|---------------------------------|------|
| from 0.0 to 100.0)  | Equity                          | 0.0% |
|   | Total assets (Equity Debt Plus) |      |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? |                                 | No   |

|   |     |
|---|-----|
| (b) Respondent certifies that any interests, including equity, financial, or voting interests, not reported in this filing are non-attributable.<br>If "No," submit as an exhibit an explanation. | Yes |
|---|-----|

|   |    |
|---|----|
| (c) Is Respondent seeking an attribution exemption for any officer or director with duties wholly unrelated to the Licensee(s)?<br><br>If "Yes," complete the information in the required fields and submit an Exhibit fully describing that individual's duties and responsibilities, and explaining why that individual should not be attributed an interest. | No |
|---|----|

### 3. Organizational Chart (Licensees Only)

Attach a flowchart or similar document showing the Licensee’s vertical ownership structure including the Licensee and all entities that have attributable interests in the Licensee. Licensees with a single parent entity may provide a brief explanatory textual Exhibit in lieu of a flowchart or similar document. Licensees without parent entities should so indicate in a textual Exhibit.

Non-Licensee Respondents should select “N/A” in response to this question.

The Board of Trustees of the University of Connecticut is part of the government of the State of Connecticut and is under the direction of the Governor of the state of Connecticut and the Connecticut General Assembly.

### Section III - Certification

#### Certification

| Section                  | Question   | Response   |
|--------------------------|--|--|
| Authorized Party to Sign | WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND /OR REVOCATION OF ANY STATION LICENSE --OR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503). |  |
| Certification            | I certify that I have examined this report and that to the best of my knowledge and belief, all statements in this report are true, correct and complete.  | Official Title: <b>President's Chief of Staff &amp; Exec. Sec. to Board</b><br>Exact Legal Title or Name of Respondent: <b>Rachel Rubin</b><br>Name: <b>Rachel Rubin</b><br>Phone: <b>8604862333</b><br><br>01/30/2020 |