



(REFERENCE COPY - Not for submission)

Noncommercial Broadcast Stations Biennial
Ownership Report (FCC Form 323-E)

File Number: 0000136886 | Submit Date: 2021-02-23 | FRN: 0005795281

Purpose: Noncommercial Broadcast Stations Biennial Ownership Report | Status: Received | Status Date: 02/23/2021

Filing Status: Active

Section I - General Information

1. Respondent

| FRN | | Entity Name | | | |
|------------|--|---|--|--|--|
| 0005795281 | | Arizona Board of Regents for Arizona State University | | | |

| Street Address | City (and Country if non U.S. address) | State ("NA" if non-U.S. address) | Zip Code | Phone | Email |
|---|--|----------------------------------|----------|-------------------|-----------------------|
| C/O KAET 555 N. Central Avenue | Phoenix | AZ | 85004 | +1 (602) 496-8888 | julia.wallace@asu.edu |

2. Contact Representative

| Name | | Organization | | | |
|-------------------|--|------------------|--|--|--|
| Melodie A. Virtue | | Foster Garvey PC | | | |

| Street Address | City (and Country if non U.S. address) | State | Zip Code | Phone | Email |
|--|--|-------|----------|-------------------|---------------------------|
| 1000 Potomac Street, N.W. Suite 200 | Washington | DC | 20007 | +1 (202) 965-7880 | melodie.virtue@foster.com |

3. Application Filing Fee

Not Applicable

4. Control of Respondent

| (a) Provide the following information about the Respondent: | |
|---|----------|
| Relationship to stations/permits | Licensee |
| Is the Respondent's governing board (or other governing entity) directly or indirectly under the control of another entity? | No |

| (b) Provide the following information about this report: | |
|--|---|
| Purpose | Biennial |
| "As of" date | 10/01/2019 When filing a biennial ownership report or validating and resubmitting a prior biennial ownership report, this date must be Oct. 1 of the year in which this report is filed. |

5. Licensee(s) and Station(s)

Respondent is filing this report to cover the following Licensee(s) and station(s):

| Licensee/Permittee Name | | | | FRN |
|---|--|--|--|------------|
| Arizona Board of Regents for Arizona State University | | | | 0005795281 |

| Fac. ID No. | Call Sign | City | State | Service |
|-------------|-----------|---------|-------|---------|
| 2728 | KAET | PHOENIX | AZ | DTV |

Section II – Biennial Ownership Information

1. 47 C.F.R. Section 73.3613 Documents

Licensee Respondents that hold authorizations for one or more full power television, AM, and/or FM stations should list all contracts and other instruments set forth in 47 C.F.R. Section 73.3613(a) through (c) for the facility or facilities listed on this report. If the agreement is a network affiliation agreement, check the appropriate box. Otherwise, select “Other.” Non-Licensee Respondents should select “Not Applicable” in response to this question.

| Document Information | |
|--|---|
| Description of contract or instrument | MEMBERSHIP AGREEMENT |
| Parties to contract or instrument | PUBLIC BROADCASTING SERVICE |
| Date of execution | 06/2019 |
| Date of expiration | 06/2020 |
| Agreement type (check all that apply) | Other Agreement Type: MEMBERSHIP AGREEMENT |

2. Ownership Interests

(a) Ownership Interests. This Question requires Respondents to enter detailed information about ownership interests by generating a series of subforms. Answer each question on each subform. The first subform listing should be for the Respondent itself. If the Respondent is not a natural person, also list each of the officers, members of the governing board (or other governing entity), stockholders, and any other persons or entities with a direct attributable interest in the Respondent pursuant to the standards set forth in 47 C.F.R. Section 73.3555. (A “direct” interest is one that is not held through any intervening companies or entities.) List each interest holder with a direct attributable interest in the Respondent separately.

Leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the Respondent solely on the basis of the Commission’s Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i).

In the case of vertical or indirect ownership structures, list only those interests in the Respondent that also represent an attributable interest in the Licensee(s) for which the report is being submitted.

Entities that are part of an organizational structure that includes holding companies or other forms of indirect ownership must file separate ownership reports. In such a structure do not report, or file a separate report for, any interest holder that does not have an attributable interest in the Licensee(s) for which the report is being submitted.

Please see the Instructions for further detail concerning interests that must be reported in response to this question.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

| Ownership Information | | |
|-----------------------|---|----------|
| FRN | 0005795281 | |
| Entity Name | Arizona Board of Regents for Arizona State University | |
| Address | PO Box | |
| | Street 1 | C/O KAET |
| | | |

| | | | |
|---|---|-----------------------|--|
| | Street 2 | 555 N. Central Avenue | |
| | City | Phoenix | |
| | State ("NA" if non-U.S. address) | AZ | |
| | Zip/Postal Code | 85004 | |
| | Country (if non-U.S. address) | United States | |
| Listing Type | Respondent | | |
| Positional Interests (check all that apply) | Respondent | | |
| Tribal Nation or Tribal Entity | Interest holder is not a Tribal nation or Tribal entity | | |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 0.0% | |
| | Equity | 0.0% | |
| | Total assets (Equity Debt Plus) | 0.0% | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | No | |

| | | |
|---|---|----------------------------|
| Ownership Information | | |
| FRN | 9990122670 | |
| Name | Ron Shoopman | |
| Address | PO Box | |
| | Street 1 | 10780 N. Pomegranate Drive |
| | Street 2 | |
| | City | Oro Valley |
| | State ("NA" if non-U.S. address) | AZ |
| | Zip/Postal Code | 85737 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Officer, Other - Treasurer; Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | CEO | |
| By Whom Appointed or Elected | Governor | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Male |
| | Ethnicity | Not Hispanic or Latino |
| | | |

| | | |
|--|--|-------|
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 9.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | Yes |

| | | |
|--|---|----------------------------|
| Ownership Information | | |
| FRN | 9990122685 | |
| Name | Larry Penley | |
| Address | PO Box | |
| | Street 1 | 315 W. Mountain Sky Avenue |
| | Street 2 | |
| | City | Phoenix |
| | State ("NA" if non-U.S. address) | AZ |
| | Zip/Postal Code | 85045 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Officer, Other - Chair; Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Academic Professor, Consultant | |
| By Whom Appointed or Elected | Governor | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Male |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 9.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | Yes |

| | |
|------------------------------|------------|
| Ownership Information | |
| FRN | 9990122691 |
| Name | Jay Heiler |

| | | |
|---|---|------------------------|
| Address | PO Box | |
| | Street 1 | 5800 N. Kiva Lane |
| | Street 2 | |
| | City | Paradise Valley |
| | State ("NA" if non-U.S. address) | AZ |
| | Zip/Postal Code | 85253 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Consultant | |
| By Whom Appointed or Elected | Governor | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Male |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 9.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | Yes |

| Ownership Information | | |
|-----------------------|----------------------------------|--------------------|
| FRN | 9990122688 | |
| Name | Doug Ducey | |
| Address | PO Box | |
| | Street 1 | 1700 W. Washington |
| | Street 2 | |
| | City | Phoenix |
| | State ("NA" if non-U.S. address) | AZ |
| | Zip/Postal Code | 85007 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |

| | | | |
|--|---|------------------------|--|
| Positional Interests (check all that apply) | Member of Governing Board (or other governing entity) | | |
| Principal Profession or Occupation | Governor of Arizona | | |
| By Whom Appointed or Elected | Ex-Officio | | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US | |
| | Gender | Male | |
| | Ethnicity | Not Hispanic or Latino | |
| | Race | White | |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 9.1% | |
| | Equity | 0.0% | |
| | Total assets (Equity Debt Plus) | | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | Yes | |

| Ownership Information | | |
|--|---|----------------------------|
| FRN | 9990122690 | |
| Name | Bill Ridenour | |
| Address | PO Box | |
| | Street 1 | 10801 E. Happy Valley Road |
| | Street 2 | #136 |
| | City | Scottsdale |
| | State ("NA" if non-U.S. address) | AZ |
| | Zip/Postal Code | 85255 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Attorney | |
| By Whom Appointed or Elected | Governor | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Male |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values | Voting | 9.1% |
| | | |

| | | |
|--|--|------|
| from 0.0 to 100.0) | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | Yes |

| Ownership Information | | |
|--|---|------------------------|
| FRN | 9990122692 | |
| Name | Karrin Taylor Robson | |
| Address | PO Box | |
| | Street 1 | 16 Biltmore Estates |
| | Street 2 | Suite 230 |
| | City | Phoenix |
| | State ("NA" if non-U.S. address) | AZ |
| | Zip/Postal Code | 85016 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Officer, Other - Secretary; Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Real Estate Development and Consulting | |
| By Whom Appointed or Elected | Governor | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Female |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 9.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | Yes |

| Ownership Information | | |
|-----------------------|---------------|--------------------|
| FRN | 9990122687 | |
| Name | Lyndel Manson | |
| Address | PO Box | |
| | Street 1 | 2335 Carl Lampland |
| | | |

| | | |
|---|---|------------------------|
| | Street 2 | |
| | City | Flagstaff |
| | State ("NA" if non-U.S. address) | AZ |
| | Zip/Postal Code | 86005 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Volunteer | |
| By Whom Appointed or Elected | Governor | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Female |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 9.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | Yes |

| Ownership Information | | |
|--|---|---------------------|
| FRN | 9990122683 | |
| Name | Ram Krishna | |
| Address | PO Box | |
| | Street 1 | 5518 N. Quail Place |
| | Street 2 | |
| | City | Paradise Valley |
| | State ("NA" if non-U.S. address) | AZ |
| | Zip/Postal Code | 85253 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Member of Governing Board (or other governing entity) | |

| | | | |
|---|---------------------------------|------------------------|-----|
| Principal Profession or Occupation | Retired Physician | | |
| By Whom Appointed or Elected | Governor | | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US | |
| | Gender | Male | |
| | Ethnicity | Not Hispanic or Latino | |
| | Race | Asian | |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 9.1% | |
| | Equity | 0.0% | |
| | Total assets (Equity Debt Plus) | | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | | Yes |

| Ownership Information | | |
|---|---|------------------------|
| FRN | 9990136797 | |
| Name | Fred Duval | |
| Address | PO Box | |
| | Street 1 | 19 W. Flynn Lane |
| | Street 2 | |
| | City | Phoenix |
| | State ("NA" if non-U.S. address) | AZ |
| | Zip/Postal Code | 85013 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Consultant | |
| By Whom Appointed or Elected | Governor | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Male |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 9.1% |
| | Equity | 0.0% |
| | | |

| | | |
|---|---------------------------------|-----|
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | Yes |

| Ownership Information | | |
|---|---|-------------------------|
| FRN | 9990136798 | |
| Name | Katherine Hoffman | |
| Address | PO Box | |
| | Street 1 | 222 W. Clarendon Avenue |
| | Street 2 | #324 |
| | City | Phoenix |
| | State ("NA" if non-U.S. address) | AZ |
| | Zip/Postal Code | 85013 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Superintendent of Public Instruction | |
| By Whom Appointed or Elected | Ex-Officio | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Female |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 9.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | Yes |

| Ownership Information | | |
|-----------------------|-----------------|----------------------|
| FRN | 9990136799 | |
| Name | Lauren L'Ecuyer | |
| Address | PO Box | |
| | Street 1 | 6216 W. Morrow Drive |
| | Street 2 | |
| | | |

| | | |
|--|---|------------------------|
| | City | Glendale |
| | State ("NA" if non-U.S. address) | AZ |
| | Zip/Postal Code | 85308 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Student | |
| By Whom Appointed or Elected | Governor | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Female |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 9.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | Yes |

| Ownership Information | | |
|---|---|----------------|
| FRN | 9990136800 | |
| Name | Anthony Rusk | |
| Address | PO Box | |
| | Street 1 | 2067 Lause Bay |
| | Street 2 | |
| | City | Bullhead City |
| | State ("NA" if non-U.S. address) | AZ |
| | Zip/Postal Code | 86442 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Student | |

| | | |
|---|---------------------------------|---------------------------|
| By Whom Appointed or Elected | Governor | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Male |
| | Ethnicity | Not Hispanic or Latino |
| | Race | Black or African American |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 0.0% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | Yes |

| | |
|---|-----|
| (b) Respondent certifies that any interests, including equity, financial, or voting interests, not reported in this filing are non-attributable. If "No," submit as an exhibit an explanation. | Yes |
|---|-----|

| | |
|---|----|
| (c) Is Respondent seeking an attribution exemption for any officer or director with duties wholly unrelated to the Licensee(s)? If "Yes," complete the information in the required fields and submit an Exhibit fully describing that individual's duties and responsibilities, and explaining why that individual should not be attributed an interest. | No |
|---|----|

3. Organizational Chart (Licensees Only)

Attach a flowchart or similar document showing the Licensee’s vertical ownership structure including the Licensee and all entities that have attributable interests in the Licensee. Licensees with a single parent entity may provide a brief explanatory textual Exhibit in lieu of a flowchart or similar document. Licensees without parent entities should so indicate in a textual Exhibit.

Non-Licensee Respondents should select “N/A” in response to this question.

Licensee entity has no parent entity.

Section III - Certification

Certification

| Section | Question | Response |
|--------------------------|--|----------|
| Authorized Party to Sign | WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND /OR REVOCATION OF ANY STATION LICENSE --OR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503). | |

| | | |
|----------------------|---|--|
| Certification | I certify that I have examined this report and that to the best of my knowledge and belief, all statements in this report are true, correct and complete. | Official Title: Interim General Manager Exact Legal Title or Name of Respondent: Arizona Board of Regents for Arizona State University Name: Julia Wallace Phone: 6024968888 02/23/2021 |
|----------------------|---|--|