



(REFERENCE COPY - Not for submission)

# Noncommercial Broadcast Stations Biennial Ownership Report (FCC Form 323-E)

File Number: **0000099975** | Submit Date: **2020-01-24** | FRN: **0001752955**  
Purpose: **Noncommercial Broadcast Stations Biennial Ownership Report** | Status: **Received** | Status Date: **01/24/2020**  
Filing Status: **Active**

Section I - General Information

1. Respondent

FRN		Entity Name			
0001752955		UA-ASU-TSU Educational Radio Corporation			

  

Street Address	City (and Country if non U.S. address)	State ("NA" if non-U.S. address)	Zip Code	Phone	Email
297 Reese Phifer Hall	Tuscaloosa	AL	70429	+1 (202) 256-5941	SJohnson@fccworld.com

2. Contact Representative

Name		Organization			
M. Scott Johnson		Smithwick & Belendiuk, P.C.			

  

Street Address	City (and Country if non U.S. address)	State	Zip Code	Phone	Email
5028 Wisconsin Ave., N.W. Suite 301	Washington	DC	22209	+1 (202) 256-5941	SJohnson@fccworld.com

3. Application Filing Fee

Not Applicable

4. Control of Respondent

(a) Provide the following information about the Respondent:	
Relationship to stations/permits	Licensee
Is the Respondent's governing board (or other governing entity) directly or indirectly under the control of another entity?	No

  

(b) Provide the following information about this report:	
Purpose	Biennial
"As of" date	10/01/2019  When filing a biennial ownership report or validating and resubmitting a prior biennial ownership report, this date must be Oct. 1 of the year in which this report is filed.

5. Licensee(s) and Station(s)

Respondent is filing this report to cover the following Licensee(s) and station(s):

Licensee/Permittee Name	FRN
UA-ASU-TSU Educational Radio Corporation	0001752955

Fac. ID No.	Call Sign	City	State	Service
6125	WAPR	SELMA	AL	FM

Section II – Biennial Ownership Information

1. 47 C.F.R. Section 73.3613 Documents

Licensee Respondents that hold authorizations for one or more full power television, AM, and/or FM stations should list all contracts and other instruments set forth in 47 C.F.R. Section 73.3613(a) through (c) for the facility or facilities listed on this report. If the agreement is a network affiliation agreement, check the appropriate box. Otherwise, select “Other.” Non-Licensee Respondents should select “Not Applicable” in response to this question.

Document Information	
Description of contract or instrument	Articles of Incorporation
Parties to contract or instrument	State of Alabama
Date of execution	03/1993
Date of expiration	No expiration date
Agreement type (check all that apply)	Other <b>Agreement Type:</b> Articles of Incorporation for formation of the licensee entity

Document Information	
Description of contract or instrument	Settlement Agreement
Parties to contract or instrument	Board of Trustees of the University of Alabama; Board of Trustees of Alabama State University; and Board of Trustees of Troy University
Date of execution	03/1993
Date of expiration	No expiration date
Agreement type (check all that apply)	Other <b>Agreement Type:</b> Settlement agreement between the parties relating to radio station WAPR.

2. Ownership Interests

(a) Ownership Interests. This Question requires Respondents to enter detailed information about ownership interests by generating a series of subforms. Answer each question on each subform. The first subform listing should be for the Respondent itself. If the Respondent is not a natural person, also list each of the officers, members of the governing board (or other governing entity), stockholders, and any other persons or entities with a direct attributable interest in the Respondent pursuant to the standards set forth in 47 C.F.R. Section 73.3555. (A “direct” interest is one that is not held through any intervening companies or entities.) List each interest holder with a direct attributable interest in the Respondent separately.

Leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the Respondent solely on the basis of the Commission’s Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i).

In the case of vertical or indirect ownership structures, list only those interests in the Respondent that also represent an attributable interest in the Licensee(s) for which the report is being submitted.

Entities that are part of an organizational structure that includes holding companies or other forms of indirect ownership must file separate ownership reports. In such a structure do not report, or file a separate report for, any interest holder that does not have an attributable interest in the Licensee(s) for which the report is being submitted.

Please see the Instructions for further detail concerning interests that must be reported in response to this question.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

Ownership Information		
FRN	0001752955	
Entity Name	UA-ASU-TSU Educational Radio Corporation	
Address	PO Box	
	Street 1	297 Reese Phifer Hall
	Street 2	
	City	Tuscaloosa
	State ("NA" if non-U.S. address)	AL
	Zip/Postal Code	70429
	Country (if non-U.S. address)	United States
Listing Type	Respondent	
Positional Interests (check all that apply)	Respondent	
Tribal Nation or Tribal Entity	Interest holder is not a Tribal nation or Tribal entity	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	0.0%
	Equity	0.0%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	0001749688	
Entity Name	The Board of Trustees of The University of Alabama	
Address	PO Box	
	Street 1	297 Reese Phifer Hall
	Street 2	
	City	Tuscaloosa
	State ("NA" if non-U.S. address)	AL
	Zip/Postal Code	35487
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	

<b>Positional Interests</b> (check all that apply)	Parent Entity		
<b>Tribal Nation or Tribal Entity</b>	Interest holder is not a Tribal nation or Tribal entity		
<b>Interest Percentages</b> (enter percentage values from 0.0 to 100.0)	<b>Voting</b>	33.3%	
	<b>Equity</b>	33.3%	
	<b>Total assets (Equity Debt Plus)</b>	33.3%	
<b>Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?</b>			Yes

Ownership Information			
<b>FRN</b>	0011496494		
<b>Entity Name</b>	ALABAMA STATE UNIVERSITY		
<b>Address</b>	<b>PO Box</b>	271	
	<b>Street 1</b>		
	<b>Street 2</b>		
	<b>City</b>	Montgomery	
	<b>State ("NA" if non-U.S. address)</b>	AL	
	<b>Zip/Postal Code</b>	36101	
	<b>Country (if non-U.S. address)</b>	United States	
<b>Listing Type</b>	Other Interest Holder		
<b>Positional Interests</b> (check all that apply)	Parent Entity		
<b>Tribal Nation or Tribal Entity</b>	Interest holder is not a Tribal nation or Tribal entity		
<b>Interest Percentages</b> (enter percentage values from 0.0 to 100.0)	<b>Voting</b>	33.1%	
	<b>Equity</b>	33.1%	
	<b>Total assets (Equity Debt Plus)</b>	33.1%	
<b>Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?</b>			Yes

Ownership Information		
<b>FRN</b>	0005094347	
<b>Entity Name</b>	Troy University	
<b>Address</b>	<b>PO Box</b>	
	<b>Street 1</b>	Broadcast and Digital Network
	<b>Street 2</b>	Wallace Hall
	<b>City</b>	Troy

	<b>State ("NA" if non-U.S. address)</b>	AL	
	<b>Zip/Postal Code</b>	36082	
	<b>Country (if non-U.S. address)</b>	United States	
<b>Listing Type</b>	Other Interest Holder		
<b>Positional Interests</b> (check all that apply)	Member of Governing Board (or other governing entity)		
<b>Tribal Nation or Tribal Entity</b>	Interest holder is not a Tribal nation or Tribal entity		
<b>Interest Percentages</b> (enter percentage values from 0.0 to 100.0)	<b>Voting</b>	33.1%	
	<b>Equity</b>	33.1%	
	<b>Total assets (Equity Debt Plus)</b>	33.1%	
<b>Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?</b>		Yes	

Ownership Information		
<b>FRN</b>	9990132251	
<b>Name</b>	Elizabeth Brock	
<b>Address</b>	<b>PO Box</b>	870150
	<b>Street 1</b>	
	<b>Street 2</b>	
	<b>City</b>	Tuscaloosa
	<b>State ("NA" if non-U.S. address)</b>	AL
	<b>Zip/Postal Code</b>	35487
	<b>Country (if non-U.S. address)</b>	United States
<b>Listing Type</b>	Other Interest Holder	
<b>Positional Interests</b> (check all that apply)	Officer, Member of Governing Board (or other governing entity)	
<b>Principal Profession or Occupation</b>	Director, Center for Public TV and Radio University of Alabama	
<b>By Whom Appointed or Elected</b>	University of Alabama	
<b>Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)</b>	<b>Citizenship</b>	US
	<b>Gender</b>	Female
	<b>Ethnicity</b>	Not Hispanic or Latino
	<b>Race</b>	White
<b>Interest Percentages</b> (enter percentage values from 0.0 to 100.0)	<b>Voting</b>	20.0%

	<b>Equity</b>	0.0%
	<b>Total assets (Equity Debt Plus)</b>	0.0%
<b>Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?</b>		No

Ownership Information		
FRN	9990132255	
Name	Jay Holcey	
Address	PO Box	
	Street 1	915 Jackson Street
	Street 2	
	City	Montgomery
	State ("NA" if non-U.S. address)	AL
	Zip/Postal Code	36101
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Officer, Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	University Administrator, Interim Manager Radio Station WVAS	
By Whom Appointed or Elected	Alabama State University	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	Black or African American
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	20.0%
	Equity	0.0%
	Total assets (Equity Debt Plus)	0.0%
<b>Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?</b>		No

Ownership Information		
FRN	9990132260	
Name	Eugene K. Buddy Johnson	
Address	PO Box	
	Street 1	Broadcast & Digital Network

	Street 2	Wallace Hall	
	City	Troy	
	State ("NA" if non-U.S. address)	AL	
	Zip/Postal Code	36082	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	Director, Broadcast Digital Network		
By Whom Appointed or Elected	Troy University		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	20.0%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)	0.0%	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No	

Ownership Information		
FRN	9990132287	
Name	Mark D. Nelson, PHD.	
Address	PO Box	
	Street 1	297 Reese Phifer Hall
	Street 2	
	City	Tuscaloosa
	State ("NA" if non-U.S. address)	AL
	Zip/Postal Code	35487
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	

Principal Profession or Occupation	Dean, College of Communications and Information Sciences		
By Whom Appointed or Elected	University of Alabama		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	20.0%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)	0.0%	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?			No

Ownership Information		
FRN	9990140327	
Name	Martha Lockett	
Address	PO Box	
	Street 1	818 Houston Park
	Street 2	
	City	Selma
	State ("NA" if non-U.S. address)	AL
	Zip/Postal Code	36701
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired from non-profit entity	
By Whom Appointed or Elected	Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	20.0%
	Equity	0.0%



	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

(b) Respondent certifies that any interests, including equity, financial, or voting interests, not reported in this filing are non-attributable. If "No," submit as an exhibit an explanation.	Yes
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(c) Is Respondent seeking an attribution exemption for any officer or director with duties wholly unrelated to the Licensee(s)?  If " <u>Yes</u> ," complete the information in the required fields and submit an Exhibit fully describing that individual's duties and responsibilities, and explaining why that individual should not be attributed an interest.	No
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### 3. Organizational Chart (Licensees Only)

Attach a flowchart or similar document showing the Licensee’s vertical ownership structure including the Licensee and all entities that have attributable interests in the Licensee. Licensees with a single parent entity may provide a brief explanatory textual Exhibit in lieu of a flowchart or similar document. Licensees without parent entities should so indicate in a textual Exhibit.

Non-Licensee Respondents should select “N/A” in response to this question.

The University of Alabama, Troy State University, and Alabama State University, the universities connected with the licensee each file separate ownership reports with the FCC for their own stations.

### Section III - Certification

#### Certification

Section	Question	Response
Authorized Party to Sign	WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND /OR REVOCATION OF ANY STATION LICENSE --OR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503).	
Certification	I certify that I have examined this report and that to the best of my knowledge and belief, all statements in this report are true, correct and complete.	Official Title: <b>President</b> Exact Legal Title or Name of Respondent: <b>UA-ASU-TSU EDUCATIONAL RADIO CORPORATION</b> Name: <b>ELIZABETH BROCK</b> Phone: <b>2053486212</b>  01/24/2020