



(REFERENCE COPY - Not for submission)

Noncommercial Broadcast Stations Non-Biennial Ownership Report (FCC Form 323-E)

File Number: **0000094584** | Submit Date: **2020-01-02** | FRN: **0005797964**

Purpose: **Noncommercial Broadcast Stations Non-Biennial Ownership Report** | Status: **Received** | Status Date: **01/02/2020** | Filing Status: **Active**

Section I - General Information

1. Respondent

FRN	Entity Name
0005797964	Roaring Fork Public Radio, Inc.

Street Address	City (and Country if non U.S. address)	State ("NA" if non-U.S. address)	Zip Code	Phone	Email
110 EAST HALLAM SUITE 134	ASPEN	CO	81611	+1 (970) 920-9000	tammy@aspenpublicradio.org

2. Contact Representative

Name	Organization
Susan A. Marshall, Esq.	Fletcher, Heald & Hildreth, P.L.C.

Street Address	City (and Country if non U.S. address)	State	Zip Code	Phone	Email
1300 North 17th Street 11th Floor	Rosslyn	VA	22209	+1 (703) 812-0400	marshall@fhhlaw.com

3. Application Filing Fee

Not Applicable

4. Control of Respondent

(a) Provide the following information about the Respondent:	
Relationship to stations/permits	Licensee
Is the Respondent's governing board (or other governing entity) directly or indirectly under the control of another entity?	No

(b) Provide the following information about this report:	
Purpose	Transfer of control or assignment of license/permit
"As of" date	11/22/2019 When filing a biennial ownership report or validating and resubmitting a prior biennial ownership report, this date must be Oct. 1 of the year in which this report is filed.

**5. Licensee(s)
/Permittees(s)
and Station(s)
/Permit(s)**

Respondent is filing this report to cover the following Licensee(s)/Permittee(s) and station(s)/permit(s):

Licensee/Permittee Name	FRN
Roaring Fork Public Radio, Inc.	0005797964

Fac. ID No.	Call Sign	City	State	Service
3009	KAJX	ASPEN	CO	FM
85785	KCJX	CARBONDALE	CO	FM

Section II – Non-Biennial Ownership Information

**1. 47 C.F.R.
Section 73.3613
Documents**

Licensee/Permittee Respondents should list all contracts and other instruments set forth in 47 C.F.R. Section 73.3613(a) through (c) for the facility or facilities listed on this report. If the agreement is a network affiliation agreement, check the appropriate box. Otherwise, select "Other." Non-Licensee/Permittee Respondents should select "Not Applicable" in response to this question.

Document Information	
Description of contract or instrument	Articles of Incorporation for a Nonprofit Corporation
Parties to contract or instrument	Roaring Fork Public Radio, Inc.
Date of execution	10/1980
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Articles of Incorporation

Document Information	
Description of contract or instrument	Bylaws, As Amended
Parties to contract or instrument	Roaring Fork Public Radio, Inc.
Date of execution	08/1982
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Bylaws, as Amended

Document Information	
Description of contract or instrument	Articles of Amendment to Articles of Incorporation for a Nonprofit Corporation
Parties to contract or instrument	Roaring Fork Public Radio, Inc.
Date of execution	07/2002
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Articles of Amendment to Articles of Incorporation

2. Ownership

Interests

(a) Ownership Interests. This Question requires Respondents to enter detailed information about ownership interests by generating a series of subforms. Answer each question on each subform. The first subform listing should be for the Respondent itself. If the Respondent is not a natural person, also list each of the officers, members of the governing board (or other governing entity), stockholders, and any other persons or entities with a direct attributable interest in the Respondent pursuant to the standards set forth in 47 C.F.R. Section 73.3555. (A "direct" interest is one that is not held through any intervening companies or entities.) List each interest holder with a direct attributable interest in the Respondent separately.

Leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the Respondent solely on the basis of the Commission's Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i).

In the case of vertical or indirect ownership structures, list only those interests in the Respondent that also represent an attributable interest in the Licensee(s) or Permittee(s) for which the report is being submitted.

Entities that are part of an organizational structure that includes holding companies or other forms of indirect ownership must file separate ownership reports. In such a structure do not report, or file a separate report for, any interest holder that does not have an attributable interest in the Licensee(s) or Permittee(s) for which the report is being submitted.

Please see the Instructions for further detail concerning interests that must be reported in response to this question.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

Ownership Information		
FRN	0005797964	
Entity Name	Roaring Fork Public Radio, Inc.	
Address	PO Box	
	Street 1	110 EAST HALLAM
	Street 2	SUITE 134
	City	ASPEN
	State ("NA" if non-U.S. address)	CO
	Zip/Postal Code	81611
	Country (if non-U.S. address)	United States
Listing Type	Respondent	
Positional Interests (check all that apply)	Respondent	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	0.0%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990126795	
Name	Kitty Boone	
Address	PO Box	
	Street 1	1000 North Third Street
	Street 2	
	City	Aspen

	State ("NA" if non-U.S. address)	CO
	Zip/Postal Code	81611
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Director	
Principal Profession or Occupation	Non-profit Executive	
By Whom Appointed or Elected	Governing Board	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	7.7%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990126798	
Name	Douglas G. Carlston	
Address	PO Box	
	Street 1	120 Locust Avenue
	Street 2	
	City	San Rafael
	State ("NA" if non-U.S. address)	CA
	Zip/Postal Code	94901
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Director	
Principal Profession or Occupation	CEO Tawala Systems	
By Whom Appointed or Elected	Governing Board	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	7.7%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		

FRN	9990126800	
Name	Penney Evans Carruth	
Address	PO Box	
	Street 1	601 Vista Hi Drive
	Street 2	
	City	Carbondale
	State ("NA" if non-U.S. address)	CO
	Zip/Postal Code	81623
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Chairman, Governing Board	
Principal Profession or Occupation	Real Estate Broker	
By Whom Appointed or Elected	Governing Board	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	7.7%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990126803	
Name	Karl Hanlon	
Address	PO Box	3188
	Street 1	
	Street 2	
	City	Glenwood Springs
	State ("NA" if non-U.S. address)	CO
	Zip/Postal Code	81602
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Director	
Principal Profession or Occupation	Attorney	
By Whom Appointed or	Governing Board	

Elected		
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	7.7%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990126819	
Name	Ann Mass	
Address	PO Box	
	Street 1	225 North Mill Street
	Street 2	#116
	City	Aspen
	State ("NA" if non-U.S. address)	CO
	Zip/Postal Code	81611
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Director	
Principal Profession or Occupation	MD	
By Whom Appointed or Elected	Governing Board	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	7.7%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990126829	
Name	Bob Purvis	
Address	PO Box	3089
	Street 1	
	Street 2	
	City	Aspen
	State ("NA" if non-U.S. address)	CO
	Zip/Postal Code	81612

	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Director	
Principal Profession or Occupation	Retired Marketing Executive	
By Whom Appointed or Elected	Governing Board	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	7.7%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990126859	
Name	Chris Ryan	
Address	PO Box	6533
	Street 1	
	Street 2	
	City	Snowmass Village
	State ("NA" if non-U.S. address)	CO
	Zip/Postal Code	81615
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Director	
Principal Profession or Occupation	Investment Advisor	
By Whom Appointed or Elected	Governing Board	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	7.7%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990126866	
Name	Anne Tobey	

Address	PO Box	248
	Street 1	
	Street 2	
	City	Snowmass
	State ("NA" if non-U.S. address)	CO
	Zip/Postal Code	81654
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Director	
Principal Profession or Occupation	Retired Consultant	
By Whom Appointed or Elected	Governing Board	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	7.7%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990126872	
Name	Bill Turner	
Address	PO Box	6065
	Street 1	
	Street 2	
	City	Snowmass Village
	State ("NA" if non-U.S. address)	CO
	Zip/Postal Code	81615
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Secretary, Board of Directors	
Principal Profession or Occupation	Retired Business Executive	
By Whom Appointed or Elected	Governing Board	
Interest Percentages (enter percentage values)	Voting	7.7%

from 0.0 to 100.0)	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990126883	
Name	Kathy Williams	
Address	PO Box	
	Street 1	120 Locust Avenue
	Street 2	
	City	San Rafael
	State ("NA" if non-U.S. address)	CA
	Zip/Postal Code	94901
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Director	
Principal Profession or Occupation	Retired Business Executive	
By Whom Appointed or Elected	Governing Board	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	7.7%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990137695	
Name	Gary Gerst	
Address	PO Box	
	Street 1	110 East Hallam
	Street 2	Suite 134
	City	Aspen
	State ("NA" if non-U.S. address)	CO
	Zip/Postal Code	81611
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	

Positional Interests (check all that apply)	Other - Director	
Principal Profession or Occupation	Retired real estate investor	
By Whom Appointed or Elected	Governing Board	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	7.7%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990137696	
Name	Kathy Frisch	
Address	PO Box	
	Street 1	110 EAST HALLAM
	Street 2	SUITE 134
	City	ASPEN
	State ("NA" if non-U.S. address)	CO
	Zip/Postal Code	81611
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - DirectorMember of Governing Board (or other governing entity)	
Principal Profession or Occupation	Company President and CEO	
By Whom Appointed or Elected	Governing Board	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	7.7%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990137697	
Name	MARCIE MUSSER	
Address	PO Box	
	Street 1	110 EAST HALLAM
	Street 2	SUITE 134

	City	ASPEN
	State ("NA" if non-U.S. address)	CO
	Zip/Postal Code	81611
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Director	
Principal Profession or Occupation	Volunteer	
By Whom Appointed or Elected	Governing Board	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	7.7%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

(b) Respondent certifies that any interests, including equity, financial, or voting interests, not reported in this filing are non-attributable. If "No," submit as an exhibit an explanation.	Yes
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(c) Is Respondent seeking an attribution exemption for any officer or director with duties wholly unrelated to the Licensee(s)? If "Yes," complete the information in the required fields and submit an Exhibit fully describing that individual's duties and responsibilities, and explaining why that individual should not be attributed an interest.	No
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Certification

Section	Question	Response
Authorized Party to Sign	WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND /OR REVOCATION OF ANY STATION LICENSE --OR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503).	
Certification	I certify that I have examined this report and that to the best of my knowledge and belief, all statements in this report are true, correct and complete.	Official Title: Executive Director Exact Legal Title or Name of Respondent: Roaring Fork Public Radio, Inc. Name: Tammy Terwelp Phone: 9709209000 01/02/2020

