



(REFERENCE COPY - Not for submission)

Noncommercial Broadcast Stations Biennial Ownership Report (FCC Form 323-E)

File Number: **0000098915** | Submit Date: **2020-01-21** | FRN: **0009338856**

Purpose: **Noncommercial Broadcast Stations Biennial Ownership Report** | Status: **Received** | Status Date: **01/21/2020**

Filing Status: **Active**

Section I - General Information

1. Respondent

FRN	Entity Name
0009338856	Duke University

Street Address	City (and Country if non U.S. address)	State ("NA" if non-U.S. address)	Zip Code	Phone	Email
0051 Bryan Center Box 90846	Durham	NC	27708	+1 (919) 660-1784	ali.shumar@duke.edu

2. Contact Representative

Name	Organization
Stephen Hartzell	Brooks, Pierce et al.

Street Address	City (and Country if non U.S. address)	State	Zip Code	Phone	Email
150 Fayetteville Street Suite 1700	Raleigh	NC	27601	+1 (919) 839-0300	shartzell@brookspierce.com

3. Application Filing Fee

Not Applicable

4. Control of Respondent

(a) Provide the following information about the Respondent:	
Relationship to stations/permits	Licensee
Is the Respondent's governing board (or other governing entity) directly or indirectly under the control of another entity?	No

(b) Provide the following information about this report:	
Purpose	Biennial
"As of" date	10/01/2019 When filing a biennial ownership report or validating and resubmitting a prior biennial ownership report, this date must be Oct. 1 of the year in which this report is filed.

5. Licensee(s) and Station(s)

Respondent is filing this report to cover the following Licensee(s) and station(s):

Licensee/Permittee Name	FRN
Duke University	0009338856

Fac. ID No.	Call Sign	City	State	Service
17695	WXDU	DURHAM	NC	FM

Section II – Biennial Ownership Information

1. 47 C.F.R. Section 73.3613 Documents

Licensee Respondents that hold authorizations for one or more full power television, AM, and/or FM stations should list all contracts and other instruments set forth in 47 C.F.R. Section 73.3613(a) through (c) for the facility or facilities listed on this report. If the agreement is a network affiliation agreement, check the appropriate box. Otherwise, select "Other." Non-Licensee Respondents should select "Not Applicable" in response to this question.

Not Applicable.

2. Ownership Interests

(a) Ownership Interests. This Question requires Respondents to enter detailed information about ownership interests by generating a series of subforms. Answer each question on each subform. The first subform listing should be for the Respondent itself. If the Respondent is not a natural person, also list each of the officers, members of the governing board (or other governing entity), stockholders, and any other persons or entities with a direct attributable interest in the Respondent pursuant to the standards set forth in 47 C.F.R. Section 73.3555. (A "direct" interest is one that is not held through any intervening companies or entities.) List each interest holder with a direct attributable interest in the Respondent separately.

Leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the Respondent solely on the basis of the Commission's Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i).

In the case of vertical or indirect ownership structures, list only those interests in the Respondent that also represent an attributable interest in the Licensee(s) for which the report is being submitted.

Entities that are part of an organizational structure that includes holding companies or other forms of indirect ownership must file separate ownership reports. In such a structure do not report, or file a separate report for, any interest holder that does not have an attributable interest in the Licensee(s) for which the report is being submitted.

Please see the Instructions for further detail concerning interests that must be reported in response to this question.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

Ownership Information		
FRN	0009338856	
Entity Name	Duke University	
Address	PO Box	
	Street 1	0051 Bryan Center
	Street 2	Box 90846
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Respondent	

Positional Interests (check all that apply)	Respondent	
Tribal Nation or Tribal Entity	Interest holder is not a Tribal nation or Tribal entity	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	0.0%
	Equity	0.0%
	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125773	
Name	Kathryn A. Hollister	
Address	PO Box	
	Street 1	3550 Bayard Drive
	Street 2	
	City	Cincinnati
	State ("NA" if non-U.S. address)	OH
	Zip/Postal Code	45208
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Partner, Deloitte	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990125809	
Name	Vincent E. Price	
Address	PO Box	
	Street 1	Duke University
	Street 2	207 Allen Building, Box 90001
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - ex officio	
Principal Profession or Occupation	President, Duke University	
By Whom Appointed or Elected	Automatic, ex officio, as University President	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	0.0%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	0019818665	
Name	Stephen G. Pagliuca	
Address	PO Box	
	Street 1	Bain Capital
	Street 2	John Hancock Tower, 200 Clarendon Street
	City	Boston
	State ("NA" if non-U.S. address)	MA
	Zip/Postal Code	02116
	Country (if non-U.S. address)	United States

Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Managing Director and Co-Chairman, Bain Capital	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990125781	
Name	Patricia R. Morton	
Address	PO Box	
	Street 1	700 Hempstead Place
	Street 2	
	City	Charlotte
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	28207
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Founder, PRM Advisors, LLC -- Retired	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White

Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125772	
Name	Betsy D. Holden	
Address	PO Box	
	Street 1	325 Woodley Road
	Street 2	
	City	Winnetka
	State ("NA" if non-U.S. address)	IL
	Zip/Postal Code	60093
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Consultant and Board Director	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125743	
Name	Ralph Eads, III	
Address	PO Box	

	Street 1	Jefferies, LLC
	Street 2	Three Allen Center, 333 Clay Street, Suite 100
	City	Houston
	State ("NA" if non-U.S. address)	TX
	Zip/Postal Code	77002
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Banker	
By Whom Appointed or Elected	Selected by Board, Elected by NC Conference of UMC	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125749	
Name	Xiqing Gao	
Address	PO Box	
	Street 1	China Investment Corporation,
	Street 2	19th Floor, New Poly Plaza
	City	Beijing
	Province/Region	No. 1 Chaoyangmen Beidajie, Dongcheng
	Zip/Postal Code	100010
	Country (if non-U.S. address)	China
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	

Principal Profession or Occupation	Professor of Law, Tsinghua University Law School	
By Whom Appointed or Elected	Selected by Board, Elected by Western NC Conference of UMC	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	CN
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	Asian
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125771	
Name	Janet Hill	
Address	PO Box	
	Street 1	Hill Family Advisors
	Street 2	4000 Legato Road, Suite 1100
	City	Fairfax
	State ("NA" if non-U.S. address)	VA
	Zip/Postal Code	22033
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Principal, Hill Family Advisors -- Retired	
By Whom Appointed or Elected	Selected by Board, Elected by Western NC Conference of UMC	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	Black or African American
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%

	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125723	
Name	Lisa M. Borders	
Address	PO Box	
	Street 1	538 Centennial Olympic Park Drive, NW
	Street 2	
	City	Atlanta
	State ("NA" if non-U.S. address)	GA
	Zip/Postal Code	30313
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Consultant - Professional Services	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	Black or African American
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990123355	
Name	L Frederick Sutherland	
Address	PO Box	
	Street 1	216 Valley Ridge Road
	Street 2	

	City	Haverford
	State ("NA" if non-U.S. address)	PA
	Zip/Postal Code	19041
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired Executive VP and CFO, ARAMARK Corporation	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		Yes

Ownership Information		
FRN	9990125810	
Name	Jay Robert Pritzker	
Address	PO Box	
	Street 1	Pritzker Group
	Street 2	111 S. Wacker Drive, Suite 4010
	City	Chicago
	State ("NA" if non-U.S. address)	IL
	Zip/Postal Code	60606
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Governor of Illinois	

By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125753	
Name	Edward A. Gilhuly	
Address	PO Box	
	Street 1	Sageview Capital
	Street 2	245 Lytton Avenue, Suite 250
	City	Palo Alto
	State ("NA" if non-U.S. address)	CA
	Zip/Postal Code	94301
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Investor	
By Whom Appointed or Elected	Selected by Board, Elected by Western NC Conference of UMC	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	

Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?	No
---	----

Ownership Information

FRN	9990125950	
Name	Reverend Ashley Crowder Stanley	
Address	PO Box	
	Street 1	24 Ashbrook Meadows
	Street 2	
	City	Fletcher
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	28732
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	United Methodist Minister, Mills River United Methodist Church	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?	No	

Ownership Information

FRN	9990125774	
Name	Peter J. Kahn	
Address	PO Box	
	Street 1	Williams & Connolly LLP
	Street 2	725 12th Street, NW
	City	Washington

	State ("NA" if non-U.S. address)	DC
	Zip/Postal Code	20005
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Attorney-Partner, Williams and Connolly LLP	
By Whom Appointed or Elected	Selected by Board, Elected by Western NC Conference of UMC	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125813	
Name	Steven M. Scott	
Address	PO Box	
	Street 1	Scott Holdings, LLC
	Street 2	1877 S. Federal Highway, Suite 310
	City	Boca Raton
	State ("NA" if non-U.S. address)	FL
	Zip/Postal Code	33432
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Physician and Chairman, Scott Holdings, LLC	
By Whom Appointed or Elected	Selected by Board, Elected by Western NC Conference of UMC	

Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125740	
Name	Timothy D. Cook	
Address	PO Box	
	Street 1	Apple, Inc.
	Street 2	1 Infinite Loop, M.S. 301-CEO
	City	Cupertino
	State ("NA" if non-U.S. address)	CA
	Zip/Postal Code	95014
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	CEO, Apple Inc.	
By Whom Appointed or Elected	Selected by Board, Elected by NC Conference of UMC	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	0025039769	
Name	Jeffrey W. Ubben	
Address	PO Box	
	Street 1	ValueAct Capital
	Street 2	1 Letterman Drive, Building D, 4th Floor
	City	San Francisco
	State ("NA" if non-U.S. address)	CA
	Zip/Postal Code	94129
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	CEO, ValueAct Capital	
By Whom Appointed or Elected	Selected by Board, Elected by Western NC Conference of UMC	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125953	
Name	Hope Morgan Ward	
Address	PO Box	
	Street 1	NC Conference, Raleigh Area, The United Methodist Church
	Street 2	700 Waterfield Ridge Place
	City	Garner
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27529

	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Bishop, NC Conference, Raleigh Area, The United Methodist Church	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125770	
Name	William A. Hawkins III	
Address	PO Box	
	Street 1	110 North Corcoran Street, Suite 2702
	Street 2	
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27701
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Vice ChairMember of Governing Board (or other governing entity)	
Principal Profession or Occupation	Healthcare Executive Corporate Director, Senior Advisor, EW Healthcare Partners	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male

	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125949	
Name	Laurene M. Sperling	
Address	PO Box	
	Street 1	4 Moore Road
	Street 2	
	City	Wayland
	State ("NA" if non-U.S. address)	MA
	Zip/Postal Code	01778
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Vice ChairMember of Governing Board (or other governing entity)	
Principal Profession or Occupation	Foundation Philanthropy	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information	
FRN	9990125811

Name	Carmichael S. Roberts	
Address	PO Box	
	Street 1	70 Fairmount Street
	Street 2	
	City	Brookline
	State ("NA" if non-U.S. address)	MA
	Zip/Postal Code	02445
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Venture Capitalist	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	Black or African American
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		Yes

Ownership Information		
FRN	9990125808	
Name	Robert R. Penn	
Address	PO Box	
	Street 1	Penn Resources Inc.
	Street 2	3838 Oak Lawn Avenue, Suite 1216
	City	Dallas
	State ("NA" if non-U.S. address)	TX
	Zip/Postal Code	75219
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	

Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	President, Penn Resources, Inc., Rock Island Resource Company, Inc.	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125741	
Name	The Honorable Allyson K. Duncan	
Address	PO Box	
	Street 1	3908 City of Oaks Wynd
	Street 2	
	City	Raleigh
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27591
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired Judge	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander

Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125948	
Name	Adam Silver	
Address	PO Box	
	Street 1	National Basketball Association
	Street 2	645 Fifth Avenue, 15th Floor
	City	New York
	State ("NA" if non-U.S. address)	NY
	Zip/Postal Code	10022
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Commissioner, National Basketball Association	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125769	
Name	Gerald L. Hassell	
Address	PO Box	

	Street 1	173 Commodore Drive
	Street 2	
	City	Jupiter
	State ("NA" if non-U.S. address)	FL
	Zip/Postal Code	33477
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired Chairman and CEO, BNY Mellon	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125748	
Name	Paul Farmer	
Address	PO Box	
	Street 1	Partners in Health
	Street 2	641 Huntington Avenue, 3rd Floor
	City	Boston
	State ("NA" if non-U.S. address)	MA
	Zip/Postal Code	02115
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	

Principal Profession or Occupation	Kolokotronis University Professor, Harvard University	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125779	
Name	Martha L. Monserrate	
Address	PO Box	
	Street 1	1 Sackett Landing
	Street 2	
	City	Rye
	State ("NA" if non-U.S. address)	NY
	Zip/Postal Code	10580
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	President, Environmental Excellence Engineering, P.C.	
By Whom Appointed or Elected	Selected by Board, Elected by NC Conference of UMC	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	

	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125735	
Name	Jack O. Bovender, Jr.	
Address	PO Box	
	Street 1	520 Belle Meade Boulevard
	Street 2	
	City	Nashville
	State ("NA" if non-U.S. address)	TN
	Zip/Postal Code	37205
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - ChairMember of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired Chairman and CEO, Hospital Corporation of America	
By Whom Appointed or Elected	Selected by Board, Elected by NC Conference of UMC	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125806	
Name	Ann Pelham	
Address	PO Box	
	Street 1	117 East Melrose Street
	Street 2	

	City	Chevy Chase
	State ("NA" if non-U.S. address)	MD
	Zip/Postal Code	20815
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired Publisher and Editor	
By Whom Appointed or Elected	Selected by Board, Elected by NC Conference of UMC	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990137060	
Name	Uzoma Ayogu	
Address	PO Box	
	Street 1	17 Convent Road
	Street 2	
	City	Ikot Ekpene
	Province/Region	Akwa Ibom
	Zip/Postal Code	530101
	Country (if non-U.S. address)	Nigeria
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Venture-backed Entrepreneur	

By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	Black or African American
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990138419	
Name	Amy Y. Hafez	
Address	PO Box	
	Street 1	7770 Norfolk Avenue
	Street 2	Apartment 716
	City	Bethesda
	State ("NA" if non-U.S. address)	MD
	Zip/Postal Code	20814
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Congressional Science and Technology Fellow	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	

Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?	No
---	----

Ownership Information

FRN	9990138420	
Name	William G. Kaelin, Jr.	
Address	PO Box	
	Street 1	Harvard Medical School Dept. of Medical Oncology
	Street 2	450 Brookline Avenue, Mayer 457
	City	Boston
	State ("NA" if non-U.S. address)	MA
	Zip/Postal Code	02215
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Professor and Investigator	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?	No	

Ownership Information

FRN	9990138421	
Name	Amy L. Kramer	
Address	PO Box	
	Street 1	805 Scott King Road
	Street 2	
	City	Durham

	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27713
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Program Coordinator	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information

FRN	9990138422	
Name	Michael G. Rhodes	
Address	PO Box	
	Street 1	913 Edgehill Road
	Street 2	
	City	Wilmington
	State ("NA" if non-U.S. address)	DE
	Zip/Postal Code	19807
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Banker	
By Whom Appointed or Elected	Elected by Board	

Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990138423	
Name	Nancy M. Schlichting	
Address	PO Box	
	Street 1	1710 Orchard Lane
	Street 2	
	City	Bloomfield Hills
	State ("NA" if non-U.S. address)	MI
	Zip/Postal Code	48301
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990138424	
Name	Laura Meyer Wellman	
Address	PO Box	
	Street 1	215 North Pine Street
	Street 2	Apartment 4206
	City	Charlotte
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	28202
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No
(b) Respondent certifies that any interests, including equity, financial, or voting interests, not reported in this filing are non-attributable. If "No," submit as an exhibit an explanation.		Yes
(c) Is Respondent seeking an attribution exemption for any officer or director with duties wholly unrelated to the Licensee(s)? If "Yes," complete the information in the required fields and submit an Exhibit fully describing that individual's duties and responsibilities, and explaining why that individual should not be attributed an interest.		No

3. Organizational Chart (Licensees

Only)

Attach a flowchart or similar document showing the Licensee's vertical ownership structure including the Licensee and all entities that have attributable interests in the Licensee. Licensees with a single parent entity may provide a brief explanatory textual Exhibit in lieu of a flowchart or similar document. Licensees without parent entities should so indicate in a textual Exhibit.

Non-Licensee Respondents should select "N/A" in response to this question.

Licensee has no parent entity.

Section III - Certification

Certification

Section	Question	Response
Authorized Party to Sign	WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND /OR REVOCATION OF ANY STATION LICENSE --OR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503).	
Certification	I certify that I have examined this report and that to the best of my knowledge and belief, all statements in this report are true, correct and complete.	Official Title: Vice President, Student Affairs Exact Legal Title or Name of Respondent: Duke University Name: Mary Pat McMahon Phone: 9196843737 01/21/2020