

FRN

0001597590

# Noncommercial Broadcast Stations Biennial Ownership Report (FCC Form 323-E)

File Number: 0000095935Submit Date: 2020-01-08FRN: 0001597590Purpose: Noncommercial Broadcast Stations Biennial Ownership ReportStatus: ReceivedStatus Date: 01/08/2020Filing Status: InActive

# **Section I - General Information**

### 1. Respondent

Entity Name University of Utah

Street Address	City (and Country if non U.S. address)	State ("NA" if non-U.S. address)	Zip Code	Phone	Email
Eccles Broadcast Center 101 S. Wasatch Drive, Room 147	Salt Lake City	UT	84112	+1 (801) 581- 7777	ptitus@media. utah.edu

# 2. Contact Representative

Name	Organization
Matthew S. DelNero, Esq.	Covington & Burling LLP

Street Address	City (and Country if non U.S. address)	State	Zip Code	Phone	Email
One CityCenter 850 Tenth Street, N.W.	Washington	DC	20001	+1 (202) 662-5543	mdelnero@cov.com

# 3. Application

Not Applicable

Purpose

Filing Fee

4. Control of Respondent

(a) Provide the following information about the Respondent:				
Relationship to stations/permits	ationship to stations/permits Licensee			
Is the Respondent's governing board (or other governing entity) directly or Yes indirectly under the control of another entity?				
(b) Provide the following information about this report:				

Biennial

#### "As of" date

#### 10/01/2019

When filing a biennial ownership report or validating and resubmitting a prior biennial ownership report, this date must be Oct. 1 of the year in which this report is filed.

# 5. Licensee(s) and Station(s)

Respondent is filing this report to cover the following Licensee(s) and station(s):

Licensee/Permittee Name			FRN	
University of Utah			0001597590	
Fac. ID No.	Call Sign	City	State	Service
69171	KUER-FM	SALT LAKE CITY	UT	FM
69396	KUED	SALT LAKE CITY	UT	DTV
82576	KUES	RICHFIELD	UT	DTV
82585	KUEW	ST. GEORGE	UT	DTV
89301	KUEU	LOGAN	UT	FM
124934	KUER-FM1	ALTA	UT	FB
170181	ΚυQU	ENOCH	UT	FM
170892	KUER-FM2	PARK CITY	UT	FB
172928	KUHU	MONTICELLO	UT	FM
173050	KUXU	MONROE	UT	FM
173223	KUOU	ROOSEVELT	UT	FM

# Section II – Biennial Ownership Information

## 1. 47 C.F.R. Section 73.3613 Documents

Licensee Respondents that hold authorizations for one or more full power television, AM, and/or FM stations should list all contracts and other instruments set forth in 47 C.F.R. Section 73.3613(a) through (c) for the facility or facilities listed on this report. If the agreement is a network affiliation agreement, check the appropriate box. Otherwise, select "Other." Non-Licensee Respondents should select "Not Applicable" in response to this question.

Document Information		
Description of contract or instrument	By-Laws of the State Board of Regents, As Amended	
Parties to contract or instrument	Utah State Board of Regents	
Date of execution	06/1970	
Date of expiration	No expiration date	
Agreement type (check all that apply)	Other Agreement Type: By-Laws	

Document Information			
Description of contract or instrument	By-Laws of the Board of Trustees of the University of Utah		
Parties to contract or instrument	University of Utah Board of Trustees		

Date of execution	07/2007
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: By-Laws

Document Information		
Description of contract or instrument	Delegation of Responsibilities to the President and Board of Trustees	
Parties to contract or instrument	Utah State Board of Regents	
Date of execution	12/1991	
Date of expiration	No expiration date	
Agreement type (check all that apply)	Other Agreement Type: Delegation of Responsibilities	

#### 2. Ownership Interests

(a) Ownership Interests. This Question requires Respondents to enter detailed information about ownership interests by generating a series of subforms. Answer each question on each subform. The first subform listing should be for the Respondent itself. If the Respondent is not a natural person, also list each of the officers, members of the governing board (or other governing entity), stockholders, and any other persons or entities with a direct attributable interest in the Respondent pursuant to the standards set forth in 47 C.F.R. Section 73.3555. (A "direct" interest is one that is not held through any intervening companies or entities.) List each interest holder with a direct attributable interest in the Respondent separately.

Leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the Respondent solely on the basis of the Commission's Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i).

In the case of vertical or indirect ownership structures, list only those interests in the Respondent that also represent an attributable interest in the Licensee(s) for which the report is being submitted.

Entities that are part of an organizational structure that includes holding companies or other forms of indirect ownership must file separate ownership reports. In such a structure do not report, or file a separate report for, any interest holder that does not have an attributable interest in the Licensee(s) for which the report is being submitted.

Please see the Instructions for further detail concerning interests that must be reported in response to this question.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

Ownership Information			
FRN	0001597590		
Entity Name	University of Utah		
Address	PO Box		
	Street 1	Eccles Broadcast Center	
	Street 2	101 S. Wasatch Drive, Room 147	
	City	Salt Lake City	
	State ("NA" if non-U.S. address)	UT	
	Zip/Postal Code	84112	
	Country (if non-U.S. address)	United States	
Listing Type	Respondent		

<b>Positional Interests</b> (check all that apply)	Respondent		
Tribal Nation or Tribal Entity	Interest holder is not a Tribal nation or Tribal entity		
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	0.0%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)	0.0%	
Does interest holder have	an attributable interest in one o	r more broadcast stations	No

Ownership Information				
FRN	0021914072			
Entity Name	Utah State Board of Regents			
Address	PO Box			
	Street 1	Eccles Broadcast Center		
	Street 2	101 S. Wasatch Drive, Room 215		
	City	Salt Lake City		
	State ("NA" if non-U.S. address)	UT		
	Zip/Postal Code	84112		
	Country (if non-U.S. address)	United States		
Listing Type	Other Interest Holder			
<b>Positional Interests</b> (check all that apply)	Other - Parent Entity			
Tribal Nation or Tribal Entity	Interest holder is not a Tribal nation or Tribal entity			
Interest Percentages	Voting	100.0%		
(enter percentage values from 0.0 to 100.0)	Equity	0.0%		
	Total assets (Equity Debt Plus)			
Does interest holder have ar that do not appear on this re	n attributable interest in one or port?	r more broadcast stations Yes		

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Ownership information		
FRN	9990126377	
Name	Ruth V. Watkins, Ph.D.	
Address	PO Box	
	Street 1	University of Utah
	Street 2	201 President's Circle
	City	Salt Lake City

	State ("NA" if non-U.S. address)	UT		
	Zip/Postal Code	84112		
	Country (if non-U.S. address)	United States		
Listing Type	Other Interest Holder	Other Interest Holder		
Positional Interests (check all that apply)	Officer	Officer		
Principal Profession or Occupation	Sr. Vice-President for Academic Affairs and Chief Academic Officer			
By Whom Appointed or Elected	University President			
Citizenship, Gender,	Citizenship	US		
Ethnicity, and Race Information (Natural	Gender	Female		
Persons Only)	Ethnicity	Not Hispanic or Latino		
	Race	White		
Interest Percentages	Voting	4.2%		
(enter percentage values from 0.0 to 100.0)	Equity	0.0%		
	Total assets (Equity Debt Plus)			
Does interest holder have that do not appear on this	an attributable interest in one o report?	r more broadcast stations	No	

Ownership Information
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FRN	9990126378	
Name	John E. Nixon	
Address	PO Box	
	Street 1	University of Utah
	Street 2	201 President's Circle
	City Salt Lake City	
	State ("NA" if non-U.S. UT address)	
	Zip/Postal Code	84112
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Officer	
Principal Profession or Occupation	University Chief Administrative Officer	
By Whom Appointed or Elected	University President	

Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	4.2%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations		No	

FRN	9990126382		
Name	A. Lorris Betz		
Address	PO Box		
	Street 1	University of Utah	
	Street 2	201 President's Circle	
	City	Salt Lake City	
	State ("NA" if non-U.S. address)	UT	
	Zip/Postal Code	84112	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Officer		
Principal Profession or Occupation	Interim Sr. VP, Health Sciences, Dean, School of Medicine and CEO University of Utah Health		
By Whom Appointed or Elected	University President		
Citizenship, Gender,	Citizenship	US	
Ethnicity, and Race Information (Natural	Gender	Male	
Persons Only)	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values	Voting	4.2%	
from 0.0 to 100.0)	Equity	0.0%	
	Total assets (Equity Debt Plus)		

Ownership Information				
FRN	9990126386			
Name	Kathryn B. Stockton			
Address	PO Box			
	Street 1	University of Utah		
	Street 2	201 President's Circle		
	City	Salt Lake City		
	State ("NA" if non-U.S. address)	UT		
	Zip/Postal Code	84112		
	Country (if non-U.S. address)	United States		
Listing Type	Other Interest Holder	Other Interest Holder		
<b>Positional Interests</b> (check all that apply)	Officer			
Principal Profession or Occupation	University Administrator, Associate VP Equity and Diversity			
By Whom Appointed or Elected	Sr. VP, Academic Affairs			
Citizenship, Gender,	Citizenship	US		
Ethnicity, and Race Information (Natural	Gender	Female		
Persons Only)	Ethnicity	Not Hispanic or Latino		
	Race	White		
Interest Percentages	Voting	4.2%		
(enter percentage values from 0.0 to 100.0)	Equity	0.0%		
	Total assets (Equity Debt Plus)			
Does interest holder have an attributable interest in one or more broadcast stations No that do not appear on this report?				

s No

Ownership Information		
FRN	9990126440	
Name	Liz Winter	
Address	PO Box	
	Street 1	University of Utah
	Street 2	201 President's Circle
	City	Salt Lake City
	State ("NA" if non-U.S. address)	UT
	Zip/Postal Code	84112
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	Country (if non-U.S. address)	United States		
Listing Type	Other Interest Holder			
<b>Positional Interests</b> (check all that apply)	Officer	Officer		
Principal Profession or Occupation	University Chief General Counsel			
By Whom Appointed or Elected	Governor			
Citizenship, Gender,	Citizenship	US		
Ethnicity, and Race Information (Natural Persons Only)	Gender	Female		
	Ethnicity	Not Hispanic or Latino		
	Race	White		
Interest Percentages	Voting	4.2%		
(enter percentage values from 0.0 to 100.0)	Equity	0.0%		
	Total assets (Equity Debt Plus)			

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FRN	9990126441	
Name	Michael L. Hardman	
Address	PO Box	
	Street 1	University of Utah
	Street 2	201 President's Circle
	City	Salt Lake City
	State ("NA" if non-U.S. address)UTZip/Postal Code84112	
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
<b>Positional Interests</b> (check all that apply)	Officer	
Principal Profession or Occupation	University Chief Global Officer	
By Whom Appointed or Elected	Governor	
Citizenship, Gender,	Citizenship US	
Ethnicity, and Race Information (Natural Persons Only)	Gender Male	

	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	4.2%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations		r more broadcast stations	No

that do not appear on this report?

FRN	9990126443		
Name	Jason Perry		
Address	PO Box		
	Street 1	University of Utah	
	Street 2	201 President's Circle	
	City	Salt Lake City	
	State ("NA" if non-U.S. address)	UT	
	Zip/Postal Code	84112	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Officer		
Principal Profession or Occupation	University Vice President, Government Relations		
By Whom Appointed or Elected	Governor		
Citizenship, Gender,	Citizenship	US	
Ethnicity, and Race Information (Natural	Gender	Male	
Persons Only)	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages	Voting	4.2%	
(enter percentage values from 0.0 to 100.0)	Equity	0.0%	
	Total assets (Equity Debt Plus)		
	Does interest holder have an attributable interest in one or more broadcast stations No what do not appear on this report?		

FRN	9990126444
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	Fred C. Esplin		
Address	PO Box		
	Street 1	University of Utah	
	Street 2	201 President's Circle	
	City	Salt Lake City	
	State ("NA" if non-U.S. address)	UT	
	Zip/Postal Code	84112	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Officer		
Principal Profession or Occupation	University Vice President, Institutional Advancement		
By Whom Appointed or Elected	Governor		
Citizenship, Gender,	Citizenship	US	
Ethnicity, and Race Information (Natural	Gender	Male	
Persons Only)	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages	Voting	4.2%	
(enter percentage values from 0.0 to 100.0)	Equity	0.0%	
	Total assets (Equity Debt		

Ownership information		
FRN	9990126445	
Name	William J. Warren	
Address	PO Box	
	Street 1	University of Utah
	Street 2	201 President's Circle
	City	Salt Lake City
	State ("NA" if non-U.S. address)	UT
	Zip/Postal Code	84112
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	

nd Communications Officer US Male Not Hispanic or Latino	
Male	
Male	
Not Hispanic or Latino	
White	
4.2%	
0.0%	

Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?

FRN	9990126446		
Name	Andrews S. Weyrich		
Address	PO Box		
	Street 1	University of Utah	
	Street 2	201 President's Circle	
	City	Salt Lake City	
	State ("NA" if non-U.S. address)	UT	
	Zip/Postal Code 84112		
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder	Other Interest Holder	
Positional Interests (check all that apply)	Officer	Officer	
Principal Profession or Occupation	University Vice President, Research		
By Whom Appointed or Elected	Governor		
Citizenship, Gender,	Citizenship US		
Ethnicity, and Race Information (Natural	Gender	Male	
Persons Only)	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages	Voting	4.2%	
(enter percentage values			

from 0.0 to 100.0)	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations		more broadcast stations	No

#### **Ownership Information** 9990126448 FRN Name Barbara H. Snyder Address **PO Box** Street 1 University of Utah Street 2 201 President's Circle City Salt Lake City State ("NA" if non-U.S. UT address) **Zip/Postal Code** 84112 **United States** Country (if non-U.S. address) Other Interest Holder Listing Type Officer **Positional Interests** (check all that apply) **Principal Profession or** University Vice President, Student Affairs Occupation Governor By Whom Appointed or Elected Citizenship, Gender, US Citizenship Ethnicity, and Race Gender Female **Information (Natural** Persons Only) Ethnicity Not Hispanic or Latino Race White **Interest Percentages** 4.2% Voting (enter percentage values 0.0% Equity from 0.0 to 100.0) Total assets (Equity Debt Plus) Does interest holder have an attributable interest in one or more broadcast stations No that do not appear on this report?

Ownership Information		
FRN	9990126449	
Name	H. David Burton	
Address	PO Box	
	Street 1	University of Utah

	Street 2	201 President's Circle	
	City	Salt Lake City	
	State ("NA" if non-U.S. address)	UT	
	Zip/Postal Code	84112	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
<b>Positional Interests</b> (check all that apply)	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	Chair, Retired		
By Whom Appointed or Elected	Governor		
Citizenship, Gender,	Citizenship	US	
Ethnicity, and Race Information (Natural	Gender	Male	
Persons Only)	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages	Voting	4.2%	
(enter percentage values from 0.0 to 100.0)	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations No that do not appear on this report?		No	

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Ownership information		
FRN	9990126451	
Name	Spencer F. Eccles	
Address	PO Box	
	Street 1	University of Utah
	Street 2	201 President's Circle
	City	Salt Lake City
	State ("NA" if non-U.S. address)	UT
	Zip/Postal Code	84112
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
<b>Positional Interests</b> (check all that apply)	Member of Governing Board (or other governing entity)	

Principal Profession or Occupation	George S. and Dolores Dore Eccles Foundation and Treasurer of Trustees	
By Whom Appointed or Elected	Governor	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	4.2%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations No		or more broadcast stations No

Ownership Information			
FRN	9990126452		
Name	Katie Eccles		
Address	PO Box		
	Street 1	University of Utah	
	Street 2	201 President's Circle	
	City	Salt Lake City	
	State ("NA" if non-U.S. address)	UT	
	Zip/Postal Code	84112	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	Attorney of Counsel at Ray, Q	Attorney of Counsel at Ray, Quinney and Nebeker	
By Whom Appointed or Elected	Governor		
Citizenship, Gender,	Citizenship	US	
Ethnicity, and Race Information (Natural	Gender	Female	
Persons Only)	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages	Voting	4.2%	
(enter percentage values from 0.0 to 100.0)	Equity	0.0%	

	Total assets (Equity Debt Plus)		
Does interest holder have an	attributable interest in one or	more broadcast stations	No

FRN	9990126453	9990126453		
Name	Joseph Sargetakis			
Address	PO Box			
	Street 1	University of Utah		
	Street 2	201 President's Circle		
	City	Salt Lake City		
	State ("NA" if non-U.S. address)	UT		
	Zip/Postal Code	84112		
	Country (if non-U.S. address)	United States		
Listing Type	Other Interest Holder			
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)			
Principal Profession or Occupation	Proprietor at Frog Bench Farms			
By Whom Appointed or Elected	Appointment - As Alumni Pre	Appointment - As Alumni President part of role is to serve as Trustee		
Citizenship, Gender,	Citizenship	US		
Ethnicity, and Race Information (Natural	Gender	Male		
Persons Only)	Ethnicity	Not Hispanic or Latino		
	Race	White		
Interest Percentages (enter percentage values	Voting	4.2%		
from 0.0 to 100.0)	Equity	0.0%		
	Total assets (Equity Debt Plus)			

Ownership Information			
FRN	9990126454		
Name	Jim Sorensen		
Address	PO Box		
	Street 1 University of Utah		
	Street 2 201 President's Circle		

	City	Salt Lake City	
	State ("NA" if non-U.S. address)	UT	
	Zip/Postal Code	84112	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
<b>Positional Interests</b> (check all that apply)	Member of Governing Board (	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Sorensen Impact Foundation		
By Whom Appointed or Elected	Governor		
Citizenship, Gender,	Citizenship	US	
Ethnicity, and Race Information (Natural	Gender	Male	
Persons Only)	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages	Voting	4.2%	
(enter percentage values from 0.0 to 100.0)	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have that do not appear on this	an attributable interest in one o	r more broadcast stations	No

Ownership Information		
FRN	9990126455	
Name	Christian Gardner	
Address	PO Box	
	Street 1	University of Utah
	Street 2	201 President's Circle
	City Salt Lake City	
	State ("NA" if non-U.S.     UT       address)     UT	
	Zip/Postal Code	84112
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
<b>Positional Interests</b> (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Gardner Company and Vice Chair of Trustees	

By Whom Appointed or Elected	Governor		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	4.2%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have	Does interest holder have an attributable interest in one or more broadcast stations		

Ownership Information			
FRN	9990126459		
Name	Anne-Marie Lampropoulos		
Address	PO Box		
	Street 1	University of Utah	
	Street 2	201 President's Circle	
	City	Salt Lake City	
	State ("NA" if non-U.S. address)	UT	
	Zip/Postal Code	84112	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
<b>Positional Interests</b> (check all that apply)	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	Vice President, Corporate Communications at Merit Medical Systems, Inc		
By Whom Appointed or Elected	Governor	Governor	
Citizenship, Gender,	Citizenship	US	
Ethnicity, and Race Information (Natural	Gender	Female	
Persons Only)	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages	Voting	4.2%	
(enter percentage values from 0.0 to 100.0)	Equity	0.0%	
	Total assets (Equity Debt Plus)		

Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?

No

Ownership Information				
FRN	9990126461			
Name	J. Steven Price			
Address	PO Box			
	Street 1	University of Utah		
	Street 2	201 President's Circle		
	City	Salt Lake City		
	State ("NA" if non-U.S. address)	UT		
	Zip/Postal Code 84112			
	Country (if non-U.S.     United States       address)     United States			
Listing Type	Other Interest Holder			
<b>Positional Interests</b> (check all that apply)	Member of Governing Board (or other governing entity)			
Principal Profession or Occupation	Price Real Estate			
By Whom Appointed or Elected	Governor			
Citizenship, Gender,	Citizenship	US		
Ethnicity, and Race Information (Natural	Gender	Male		
Persons Only)	Ethnicity	Not Hispanic or Latino		
	Race	White		
Interest Percentages (enter percentage values	Voting	4.2%		
from 0.0 to 100.0)	Equity	0.0%		
	Total assets (Equity Debt Plus)			
Does interest holder have an attributable interest in one or more broadcast stations No that do not appear on this report?				

FRN	9990126463	
Name	David Parkin	
Address	PO Box	
	Street 1	Univesity of Utah
	Street 2	201 President's Circle
	City	Salt Lake City

	State ("NA" if non-U.S. address)	UT		
	Zip/Postal Code	84112		
	Country (if non-U.S. address)	United States		
Listing Type	Other Interest Holder			
<b>Positional Interests</b> (check all that apply)	Member of Governing Board (	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	Co-founder and Partner at Tower Arch Capital			
By Whom Appointed or Elected	Governor			
Citizenship, Gender,	Citizenship	US		
Ethnicity, and Race Information (Natural	Gender	Male		
Persons Only)	Ethnicity	Not Hispanic or Latino		
	Race	White		
Interest Percentages	Voting	4.2%		
(enter percentage values from 0.0 to 100.0)	Equity	0.0%		
	Total assets (Equity Debt Plus)			
Does interest holder have that do not appear on this	an attributable interest in one o report?	r more broadcast stations	No	

FRN	9990126465	
Name	Stephen Hess	
Address	PO Box	
	Street 1	University of Utah
	Street 2     201 President's Circle	
	City Salt Lake City	
	State ("NA" if non-U.S. address)UTZip/Postal Code84112	
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
<b>Positional Interests</b> (check all that apply)	Officer	
Principal Profession or Occupation	University Chief Information Officer	
By Whom Appointed or Elected	University President	

Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	4.2%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations		No	

FRN	9990128171		
Name	David W. Pershing, Ph.D.		
Address	PO Box		
	Street 1	University of Utah	
	Street 2	201 President's Circle	
	City	Salt Lake City	
	State ("NA" if non-U.S. address)	UT	
	Zip/Postal Code	84112	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Officer		
Principal Profession or Occupation	University President		
By Whom Appointed or Elected	Board of Regents		
Citizenship, Gender,	Citizenship	US	
Ethnicity, and Race Information (Natural	Gender	Male	
Persons Only)	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages	Voting	4.2%	
(enter percentage values from 0.0 to 100.0)	Equity	0.0%	
	Total assets (Equity Debt Plus)		

Ownership Information			
FRN	9990137742		
Name	AnnaMarie Barnes		
Address	PO Box		
	Street 1	University of Utah	
	Street 2	201 President's Circle	
	City	Salt Lake City	
	State ("NA" if non-U.S. address)	UT	
	Zip/Postal Code	84112	
	Country (if non-U.S.United Statesaddress)		
Listing Type	Other Interest Holder		
<b>Positional Interests</b> (check all that apply)	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	ASUU President - Student		
By Whom Appointed or Elected	Appointment - as ASUU President part of role is to be Trustee		
Citizenship, Gender,	Citizenship	US	
Ethnicity, and Race Information (Natural	Gender	Female	
Persons Only)	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	4.2%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations No that do not appear on this report?			

FRN	9990137744	9990137744	
Name	Randy Shumway	Randy Shumway	
Address	PO Box		
	Street 1	University of Utah	
	Street 2	201 President's Circle	
	City	Salt Lake City	
	State ("NA" if non-U.S. address)	UT	
	Zip/Postal Code	84112	

	Country (if non-U.S. address)	United States		
Listing Type	Other Interest Holder			
Positional Interests (check all that apply)	Member of Governing Board	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	Founder, Cicero Group			
By Whom Appointed or Elected	Governor			
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US		
	Gender	Male		
	Ethnicity	Not Hispanic or Latino		
	Race	White		
Interest Percentages	Voting	4.2%		
(enter percentage values from 0.0 to 100.0)	Equity	0.0%		
	Total assets (Equity Debt Plus)			
Does interest holder have that do not appear on this	an attributable interest in one or report?	or more broadcast stations	No	
	<b>nat any interests, including equ</b> <b>his filing are non-attributable.</b> an explanation.	ity, financial, or voting	Yes	
	an attribution exemption for ar the Licensee(s)?	y officer or director with	No	

If "<u>Yes</u>," complete the information in the required fields and submit an Exhibit fully describing that individual's duties and responsibilities, and explaining why that individual should not be attributed an interest.

### 3. Organizational Chart (Licensees Only)

Attach a flowchart or similar document showing the Licensee's vertical ownership structure including the Licensee and all entities that have attributable interests in the Licensee. Licensees with a single parent entity may provide a brief explanatory textual Exhibit in lieu of a flowchart or similar document. Licensees without parent entities should so indicate in a textual Exhibit.

Non-Licensee Respondents should select "N/A" in response to this question.

File Name	Uploaded By	Attachment Type	Description
University of Utah Ownership Chart (2019).pdf	Applicant	Ownership Chart	Ownership Chart

# **Section III - Certification**

# Certification

Section

Authorized Party to Sign	WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND /OR REVOCATION OF ANY STATION LICENSEOR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503).	
Certification	I certify that I have examined this report and that to the best of my knowledge and belief, all statements in this report are true, correct and complete.	Official Title: <b>President</b> Exact Legal Title or Name of Respondent: <b>University of Utah</b> Name: <b>Ruth V. Watkins , Ph.D</b> Phone: <b>8015817777</b> 01/08/2020