



(REFERENCE COPY - Not for submission)

Noncommercial Broadcast Stations Biennial
Ownership Report (FCC Form 323-E)

File Number: 0000230427 | Submit Date: 2023-12-01 | FRN: 0009338856

Purpose: Noncommercial Broadcast Stations Biennial Ownership Report | Status: Received | Status Date: 12/01/2023

Filing Status: Active

Section I - General Information

1. Respondent

FRN		Entity Name			
0009338856		Duke University			

Street Address	City (and Country if non U.S. address)	State ("NA" if non-U.S. address)	Zip Code	Phone	Email
0051 Bryan Center Box 90846	Durham	NC	27708	+1 (919) 684-3955	christopher.lott@duke.edu

2. Contact Representative

Name		Organization			
Christopher Lott		Duke University Office of Counsel			

Street Address	City (and Country if non U.S. address)	State	Zip Code	Phone	Email
310 Blackwell Street	Durham	NC	27701	+1 (919) 684-3955	christopher.lott@duke.edu

3. Application Filing Fee

Not Applicable

4. Control of Respondent

(a) Provide the following information about the Respondent:

Relationship to stations/permits	Licensee
Is the Respondent's governing board (or other governing entity) directly or indirectly under the control of another entity?	No

(b) Provide the following information about this report:

Purpose	Biennial
"As of" date	10/01/2023 When filing a biennial ownership report or validating and resubmitting a prior biennial ownership report, this date must be Oct. 1 of the year in which this report is filed.

5. Licensee(s)
and Station(s)

Respondent is filing this report to cover the following Licensee(s) and station(s):

Licensee/Permittee Name	FRN
Duke University	0009338856

Fac. ID No.	Call Sign	City	State	Service
17695	WXDU	DURHAM	NC	FM

Section II – Biennial Ownership Information

1. 47 C.F.R.
Section 73.3613
Documents

Licensee Respondents that hold authorizations for one or more full power television, AM, and/or FM stations should list all contracts and other instruments set forth in 47 C.F.R. Section 73.3613(a) through (c) for the facility or facilities listed on this report. If the agreement is a network affiliation agreement, check the appropriate box. Otherwise, select “Other.” Non-Licensee Respondents should select “Not Applicable” in response to this question.

Not Applicable.

2. Ownership
Interests

(a) Ownership Interests. This Question requires Respondents to enter detailed information about ownership interests by generating a series of subforms. Answer each question on each subform. The first subform listing should be for the Respondent itself. If the Respondent is not a natural person, also list each of the officers, members of the governing board (or other governing entity), stockholders, and any other persons or entities with a direct attributable interest in the Respondent pursuant to the standards set forth in 47 C.F.R. Section 73.3555. (A “direct” interest is one that is not held through any intervening companies or entities.) List each interest holder with a direct attributable interest in the Respondent separately.

Leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the Respondent solely on the basis of the Commission’s Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i).

In the case of vertical or indirect ownership structures, list only those interests in the Respondent that also represent an attributable interest in the Licensee(s) for which the report is being submitted.

Entities that are part of an organizational structure that includes holding companies or other forms of indirect ownership must file separate ownership reports. In such a structure do not report, or file a separate report for, any interest holder that does not have an attributable interest in the Licensee(s) for which the report is being submitted.

Please see the Instructions for further detail concerning interests that must be reported in response to this question.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

Ownership Information		
FRN	0009338856	
Entity Name	Duke University	
Address	PO Box	
	Street 1	0051 Bryan Center
	Street 2	Box 90846
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Respondent	
Positional Interests (check all that apply)	Respondent	

Tribal Nation or Tribal Entity	Interest holder is not a Tribal nation or Tribal entity		
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	0.0%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)	0.0%	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?			No

Ownership Information			
FRN	9990155479		
Name	Doha Ali		
Address	PO Box		
	Street 1	Duke University, Office of the Secretary	
	Street 2	217 Allen Building, Box 90030	
	City	Durham	
	State ("NA" if non-U.S. address)	NC	
	Zip/Postal Code	27708	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	Associate Consultant, Dalberg Advisors		
By Whom Appointed or Elected	Elected by Board		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Female	
	Ethnicity	Not Hispanic or Latino	
	Race	Black or African American	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?			No

Ownership Information			
FRN	9990155481		
Name	Kacia Anderson		

Address	PO Box		
	Street 1	Duke University, Office of the Secretary	
	Street 2	217 Allen Building, Box 90030	
	City	Durham	
	State ("NA" if non-U.S. address)	NC	
	Zip/Postal Code	27708	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	Consultant		
By Whom Appointed or Elected	Elected by Board		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Female	
	Ethnicity	Not Hispanic or Latino	
	Race	Black or African American	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No	

Ownership Information		
FRN	9990147131	
Name	Mary T. Barra	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	

Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	Chair and CEO, General Motors Company		
By Whom Appointed or Elected	Selected by Board, Elected by Alumni		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Female	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No	

Ownership Information		
FRN	9990147133	
Name	Michael J. Bingle	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Technology Investor, Vice Chairman, Silver Lake Group	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values	Voting	2.9%

from 0.0 to 100.0)	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125723	
Name	Lisa M. Borders	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Entrepreneur, Consultant	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	Black or African American
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125740	
Name	Timothy D. Cook	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary

	Street 2	217 Allen Building, Box 90030	
	City	Durham	
	State ("NA" if non-U.S. address)	NC	
	Zip/Postal Code	27708	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	CEO, Apple, Inc.		
By Whom Appointed or Elected	Elected by Board		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No	

Ownership Information		
FRN	9990147136	
Name	Eddy H. Cue	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	

Principal Profession or Occupation	Executive Management, Sr. Vice President of Internet Software, Apple, Inc.	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990147137	
Name	Nancy-Ann DeParle	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Managing Partner, Consonance Capital Partners	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	Asian, White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%

	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125749	
Name	Xiqing Gao	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	CN
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	Asian
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125753	
Name	Edward A. Gilhuly	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030

	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Investor	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	0024769952	
Name	Grant Hill	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	NBA and NCAA Sports broadcaster and Studio Analyst	

By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	Black or African American
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125773	
Name	Kathryn A. Hollister	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	

Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?	No
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Ownership Information		
FRN	9990138420	
Name	William G. Kaelin, Jr.	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Physician Scientist	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990155490	
Name	Karen M. King	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham

	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Managing Director, Silver Lake	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	Asian, White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990155491	
Name	Thomas H. Lister	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Co-Chair Board of HSS	
By Whom Appointed or Elected	Elected by Board	

Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990155493	
Name	Sharon Marcil	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Managing Director and Senior Partner, Boston Consulting Group	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125781	
Name	Patricia R. Morton	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	0019818665	
Name	Stephen G. Pagliuca	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708

	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990155495	
Name	Gregory V. Palmer	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Bishop, West Ohio Conference of The United Methodist Church	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male

	Ethnicity	Not Hispanic or Latino
	Race	Black or African American
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990155497	
Name	Vikas Patel	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Principal Physician, North Carolina Dermatology Associates	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	Asian
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information	
FRN	9990125806

Name	Ann Pelham	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125808	
Name	Robert R. Penn	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	

Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	President, Penn Resources, Inc., Rock Island Resource Company, Inc.		
By Whom Appointed or Elected	Elected by Board		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No	

Ownership Information		
FRN	9990125809	
Name	Vincent E. Price	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - ex officio	
Principal Profession or Occupation	President, Duke University	
By Whom Appointed or Elected	Automatic, ex officio, as University President	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values	Voting	0.0%

from 0.0 to 100.0)	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125810	
Name	Jay Robert Pritzker	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Governor, State of Illinois	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990138422	
Name	Michael G. Rhodes	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary

	Street 2	217 Allen Building, Box 90030	
	City	Durham	
	State ("NA" if non-U.S. address)	NC	
	Zip/Postal Code	27708	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)		
Principal Profession or Occupation	Banker		
By Whom Appointed or Elected	Elected by Board		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No	

Ownership Information		
FRN	9990125811	
Name	Carmichael S. Roberts	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Vice Chair Member of Governing Board (or other governing entity)	

Principal Profession or Occupation	Venture Capitalist		
By Whom Appointed or Elected	Selected by Board, Elected by Alumni		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	Black or African American	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%	
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		Yes	

Ownership Information		
FRN	9990138423	
Name	Nancy M. Schlichting	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%

	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125948	
Name	Adam Silver	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Vice Chair Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Commissioner, National Basketball Association	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990125949	
Name	Laurene M. Sperling	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030

	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Other - Chair Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Philanthropy	
By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Female
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990123355	
Name	L Frederick Sutherland	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Retired	

By Whom Appointed or Elected	Selected by Board, Elected by Alumni	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		Yes

Ownership Information		
FRN	9990155517	
Name	David S. Taylor	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Senior Operating Adviser, Clayton Dubilier Rice LLC	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	

Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?	No
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Ownership Information		
FRN	0025039769	
Name	Jeffrey W. Ubben	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Founder, Inclusive Capital Partners	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990155518	
Name	Edgar Virguez	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham

	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Energy Systems Engineer	
By Whom Appointed or Elected	Elected by Board	
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	CO
	Gender	Male
	Ethnicity	Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information		
FRN	9990155519	
Name	James C. Zelter	
Address	PO Box	
	Street 1	Duke University, Office of the Secretary
	Street 2	217 Allen Building, Box 90030
	City	Durham
	State ("NA" if non-U.S. address)	NC
	Zip/Postal Code	27708
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Member of Governing Board (or other governing entity)	
Principal Profession or Occupation	Co-President, Apollo	
By Whom Appointed or Elected	Elected by Board	

Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US
	Gender	Male
	Ethnicity	Not Hispanic or Latino
	Race	White
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%
	Equity	0.0%
	Total assets (Equity Debt Plus)	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		Yes

(b) Respondent certifies that any interests, including equity, financial, or voting interests, not reported in this filing are non-attributable. If "No," submit as an exhibit an explanation.	Yes
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(c) Is Respondent seeking an attribution exemption for any officer or director with duties wholly unrelated to the Licensee(s)? If "Yes," complete the information in the required fields and submit an Exhibit fully describing that individual's duties and responsibilities, and explaining why that individual should not be attributed an interest.	No
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3. Organizational Chart (Licensees Only)

Attach a flowchart or similar document showing the Licensee’s vertical ownership structure including the Licensee and all entities that have attributable interests in the Licensee. Licensees with a single parent entity may provide a brief explanatory textual Exhibit in lieu of a flowchart or similar document. Licensees without parent entities should so indicate in a textual Exhibit.

Non-Licensee Respondents should select “N/A” in response to this question.

Duke University, which is the Respondent, is the licensee and the holder of the license

Section III - Certification

Certification

Section	Question	Response
Authorized Party to Sign	WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND /OR REVOCATION OF ANY STATION LICENSE --OR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503).	
Certification	I certify that I have examined this report and that to the best of my knowledge and belief, all statements in this report are true, correct and complete.	Official Title: Vice Provost, Student Affairs Exact Legal Title or Name of Respondent: Duke University Name: Mary Pat McMahon Phone: 9196843737 12/01/2023

