

(REFERENCE COPY - Not for submission)

# Commercial Broadcast Stations Biennial Ownership Report (FCC Form 323)

File Number: 0000166904 | Submit Date: 2021-11-08 | FRN: 0007097108

Purpose: Commercial Broadcast Stations Biennial Ownership Report Status: Received Status Date: 11/08/2021

Filing Status: Active

#### **Section I - General Information**

#### 1. Respondent

FRN	Entity Name
0007097108	BAS Broadcasting, Inc.

Street Address	City (and Country if non U. S. address)	State ("NA" if non-U. S. address)	Zip Code	Phone	Email
1281 N. River Road	Fremont	ОН	43420	+1 (419) 332-8218	adamklein@basbroadcasting.

### 2. Contact Representative

Name	Organization
Stephen Hartzell	Brooks, Pierce et al.

	Phone Email
Address address) State Code  150 Raleigh NC 27601	+1 (919) 839- shartzell@brookspierce.
Street Suite 1700	0300 com

## 3. Application Filing Fee

Question	Response
Is this application being submitted without a filing fee?	No

#### **Fees**

Application Type	Form Number	Fee Code	Quantity	Fee Amount	Subtotal
Biennial	Form 323	MAR	8	95	\$680.00
				Total	\$680.00

## 4. Nature of Respondent

(a) Provide the following information about the Respondent:		
Relationship to stations/permits	Licensee	
Nature of Respondent	For-profit corporation	

(b) Provide the following information about this report:			
Purpose	Biennial		
"As of" date	10/01/2021		
	When filing a biennial ownership report or validating and resubmitting a prior biennial ownership report, this date must be Oct. 1 of the year in which this report is filed.		

### 5. Licensee(s) and Station(s)

Respondent is filing this report to cover the following Licensee(s) and station(s):

Licensee/Permittee Name	FRN	
BAS Broadcasting, Inc.	0007097108	

Fac. ID No.	Call Sign	City	State	Service
4625	WOHF	BELLEVUE	ОН	FM
19705	WLEC	SANDUSKY	ОН	AM
19706	WCPZ	SANDUSKY	ОН	FM
58344	WMJK	CLYDE	ОН	FM
70527	WTTF	TIFFIN	ОН	AM
73388	WFRO-FM	FREMONT	ОН	FM
74474	WMVO	MOUNT VERNON	ОН	AM
74475	WQIO	MOUNT VERNON	ОН	FM
138139	W265DJ	MOUNT VERNON	ОН	FX
142257	W227BJ	TIFFIN	ОН	FX
202620	W228EN	SANDUSKY	ОН	FX

### **Section II – Biennial Ownership Information**

1. 47 C.F.R. Section 73.3613 and Other Documents Licensee Respondents that hold authorizations for one or more full power television, AM, and/or FM stations should list all contracts and other instruments set forth in 47 C.F.R. Section 73.3613(a) through (c) for the facility or facilities listed on this report. In addition, attributable Local Marketing Agreements (LMAs) and attributable Joint Sales Agreements (JSAs) must be disclosed by the licensee of the brokering station on its ownership report. If the agreement is an attributable LMA, an attributable JSA, or a network affiliation agreement, check the appropriate box. Otherwise, select "Other." Non-Licensee

Respondents, as well as Licensee Respondents that only hold authorizations for Class A television and/or low power television stations, should select "Not Applicable" in response to this question.

Document Information		
Description of contract or instrument	Articles of Incorporation	
Parties to contract or instrument	State of Ohio	
Date of execution	04/2002	
Date of expiration	No expiration date	
Agreement type (check all that apply)	Other Agreement Type: Articles of Incorporation	

Document Information		
Description of contract or instrument	Code of Regulations	
Parties to contract or instrument	BAS Broadcasting, Inc.	
Date of execution	04/2014	
Date of expiration	No expiration date	
Agreement type (check all that apply)	Other Agreement Type: Bylaws	

Document Information		
Description of contract or instrument	Close Corporation Agreement	
Parties to contract or instrument	BAS Broadcasting, Inc.	
Date of execution	11/2020	
Date of expiration	No expiration date	
Agreement type (check all that apply)	Other  Agreement Type: Shareholders Agreement	

### 2. Ownership Interests

(a) Ownership Interests. This Question requires Respondents to enter detailed information about ownership interests by generating a series of subforms. Answer each question on each subform. The first subform listing should be for the Respondent itself. If the Respondent is not a natural person, also list each of the officers, directors, stockholders, non-insulated partners, non-insulated members, and any other persons or entities with a direct attributable interest in the Respondent pursuant to the standards set forth in 47 C.F.R. Section 73.3555. (A "direct" interest is one that is not held through any intervening companies or entities.) List each interest holder with a direct attributable interest in the Respondent separately.

Leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the Respondent solely on the basis of the Commission's Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i).

In the case of vertical or indirect ownership structures, list only those interests in the Respondent that also represent an attributable interest in the Licensee(s) for which the report is being submitted.

Entities that are part of an organizational structure that includes holding companies or other forms of indirect ownership must file separate ownership reports. In such a structure do not report, or file a separate report for, any interest holder that does not have an attributable interest in the Licensee(s) for which the report is being submitted.

Please see the Instructions for further detail concerning interests that must be reported in response to this question.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

#### **Ownership Information**

FRN	0007097108			
Entity Name	BAS Broadcasting, Inc.			
Address	РО Вох			
	Street 1	1281 N. River Road		
	Street 2			
	City	Fremont		
	State ("NA" if non-U.S. address)	ОН		
	Zip/Postal Code	43420		
	Country (if non-U.S. address)	United States		
Listing Type	Respondent	Respondent		
Positional Interests (check all that apply)	Respondent			
Tribal Nation or Tribal Entity	Interest holder is not a Tribal	nation or Tribal entity		
Interest Percentages (enter percentage values	Voting	0.0%	Jointly Held? No	
from 0.0 to 100.0)	Equity	0.0%		
	Total assets (Equity Debt Plus)	0.0%		
Does interest holder have that do not appear on this	an attributable interest in one or	or more broadcast stations	No	

Ownership Information		
FRN	0019981661	
Name	Thomas W. Klein	
Address	РО Вох	
	Street 1	265 St. Thomas Drive
	Street 2	
	City	Fremont
	State ("NA" if non-U.S. address)	ОН
	Zip/Postal Code	43420
	Country (if non-U.S. address)	United States
Listing Type	Other Interest Holder	
Positional Interests (check all that apply)	Officer, Director, Other - CEO	
Citizenship, Gender,	<b>Citizenship</b> US	
Ethnicity, and Race Information (Natural Persons Only)	Gender	Male

	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values	Voting	70.0%	Jointly Held? No
from 0.0 to 100.0)	Equity	70.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have that do not appear on this	an attributable interest in one creport?	or more broadcast stations	No

Ownership Information				
FRN	0030132492			
Entity Name	Thomas W. Klein Trust	Thomas W. Klein Trust		
Address	РО Вох	PO Box		
	Street 1	265 St. Thomas Drive		
	Street 2			
	City	Fremont		
	State ("NA" if non-U.S. address)	ОН		
	Zip/Postal Code	43420		
	Country (if non-U.S. address)	United States		
Listing Type	Other Interest Holder			
Positional Interests (check all that apply)	Other - Trust	Other - Trust		
Tribal Nation or Tribal Entity	Interest holder is not a Tribal r	ation or Tribal entity		
Interest Percentages (enter percentage values	Voting	30.0%	Jointly Held? No	
from 0.0 to 100.0)	Equity	30.0%		
	Total assets (Equity Debt Plus)			
	Does interest holder have an attributable interest in one or more broadcast stations  No that do not appear on this report?			

Ownership Information			
FRN	0031607393	0031607393	
Name	Adam T. Klein		
Address	PO Box Street 1 265 St. Thomas Drive		
	Street 2		
	<b>City</b> Fremont		

	State ("NA" if non-U.S. address)	ОН	
	Zip/Postal Code	43420	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Officer		
Citizenship, Gender,	Citizenship	US	
Ethnicity, and Race Information (Natural	Gender	Male	
Persons Only)	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values	Voting	0.0%	Jointly Held? No
from 0.0 to 100.0)	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have a that do not appear on this r	n attributable interest in one o eport?	r more broadcast stations	No

Ownership Information				
FRN	0031607542			
Name	Beverly S. Klein	Beverly S. Klein		
Address	РО Вох	PO Box		
	Street 1 265 St. Thomas Di			
	Street 2			
	City	Fremont		
	State ("NA" if non-U.S. address)	U.S. OH 43420		
	Zip/Postal Code			
	Country (if non-U.S. address)	United States		
Listing Type	Other Interest Holder			
Positional Interests (check all that apply)	Officer			
Citizenship, Gender,	Citizenship	US		
Ethnicity, and Race Information (Natural	Gender	Female		
Persons Only)	Ethnicity	Not Hispanic or Latino		
	Race	Race White		
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	0.0%	Jointly Held? No	
110111 0.0 10 100.0)				

	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have that do not appear on this	e an attributable interest in one o s report?	r more broadcast stations	No
• •	that any interests, including equi this filing are non-attributable. it an explanation.	ty, financial, or voting	Yes

(c) Does the Respondent or any reported interest holder hold an attributable interest in any newspaper entities in the same market as any station for which this report is filed, as defined in 47 C.F.R. Section 73.3555?

No

If "Yes," provide information describing the interest(s), using EITHER the subform OR the spreadsheet option below. Respondents with a large number (50 or more) of entries to submit should use the spreadsheet option.

NOTE: Spreadsheets must be submitted in a special XML Spreadsheet format with the appropriate structure that is specified in the documentation. For instructions on how to use the spreadsheet option to complete this question (including templates to start with), please Click Here.

If using the subform, leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the newspaper entity solely on the basis of the Commission's Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i). If using an XML Spreadsheet, enter "NA" into the percentage of total assets (Equity Debt Plus) field for an interest holder unless that interest holder has an attributable interest in the newspaper entity solely on the basis of the Commission's Equity Debt Plus attribution standard.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

(d) Are any of the individuals listed as an attributable interest holder in the Respondent married to each other or related to each other as parentchild or as siblings?

Yes

If "Yes," provide the following information for each such the relationship.

Family Relationships				
FRN	0019981661	Name	Thomas W Klein	
FRN	0031607542	Name	Beverly S Klein	
Relationship	Spouses			

Family Relationships		

FRN	0031607393	Name	Adam T Klein
FRN	0019981661	Name	Thomas W Klein
Relationship	Parent/Child		

Family Relationships					
FRN	0031607393	Name	Adam T Klein		
FRN	0031607542	Name	Beverly S Klein		
Relationship	Parent/Child				

(e) Is Respondent seeking an attribution exemption for any officer or director with duties wholly unrelated to the Licensee(s)?	No
If "Yes," complete the information in the required fields and submit an Exhibit fully describing that individual's duties and responsibilities, and explaining why that individual should not be attributed an interest.	

# 3. Organizational Chart (Licensees Only)

Attach a flowchart or similar document showing the Licensee's vertical ownership structure including the Licensee and all entities that have attributable interests in the Licensee. Licensees with a single parent entity may provide a brief explanatory textual Exhibit in lieu of a flowchart or similar document. Licensees without parent entities should so indicate in a textual Exhibit.

Non-Licensee Respondents should select "N/A" in response to this question.

File Name	Uploaded By	Attachment Type	Description
BAS Broadcasting 2021 Organizational Chart.pdf	Applicant	Ownership Chart	Organizational Chart

### **Section III - Certification**

#### Certification

Section	Question	Response
Authorized Party to Sign	WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND /OR REVOCATION OF ANY STATION LICENSEOR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503).	
Certification	I certify that I have examined this report and that to the best of my knowledge and belief, all statements in this report are true, correct and complete.	Official Title: <b>President/CEO</b> Exact Legal Title or Name of Respondent: <b>BAS Broadcasting, Inc.</b> Name: <b>Thomas W. Klein</b> Phone: <b>4193328218</b> 11/08/2021