

General Information

(REFERENCE COPY - Not for submission)

Assignments

Lead File Number: 0000138727 Submit D		Submit Date: 03/11/2021	Lead Cal	I Sign: KATE	FRN: (023600190	
Service: Full Power AM	Purpose: Assignment of Authorization		Status: Superceded		Status Date: 04/06/2021		
Filing Status: Inactive							

SectionQuestionResponseAttachmentsAre attachments (other than associated schedules) being filed with this
application?Yes

Fees, Waivers, and Exemptions

Section	Question	Response
Fees	Is the applicant exempt from FCC application Fees?	No
	Indicate reason for fee exemption:	
Waivers	Does this filing request a waiver of the Commission's rule(s)?	Yes
	Total number of rule sections involved in this waiver request:	2

Application Type	Call Sign	Facility ID	Fee Code	Fee Ai
Assignment of Authorization	KVFD	60862	MPT	\$1,11(
	KKQQ	9663	MPT	\$1,11(
	KEEZ-FM	21193	MPT	\$1,11(
	KYSM-FM	20400	MPT	\$1,11(
	KSDR-FM	20433	MPT	\$1,11(
	KTTT	28148	MPT	\$1,11(
	KZKX	53143	MPT	\$1,11(
	KIAQ	54641	MPT	\$1,11(
	KZEN	50733	MPT	\$1,11(
	KXFT	162477	MPT	\$1,11(
	KFRX	57287	MPT	\$1,11(
	KLGR	9680	MPT	\$1,11(
	KLGR-FM	9654	MPT	\$1,11(
	KIXX	60861	MPT	\$1,11(
	KIBZ	640	MPT	\$1,11(
	KLQL	39260	MPT	\$1,11(
	KCPI	12706	MPT	\$1,11(
	KRIB	47095	MPT	\$1,11(
	KAUS-FM	50660	MPT	\$1,11(

KDLO-FM	60865	MPT	\$1,11(
KLIR	26627	MPT	\$1,11(
ККОТ	28149	MPT	\$1,11(
KJAM-FM	39578	MPT	\$1,11(
KWAT	60856	MPT	\$1,11(
KAUS	50677	MPT	\$1,11(
KIAI	30115	MPT	\$1,11(
KYTC	49798	MPT	\$1,11(
KGLO	30114	MPT	\$1,11(
KATE	12670	MPT	\$1,11(
KDBX	87411	MPT	\$1,11(
KQAD	39259	MPT	\$1,11(
KLSS-FM	47094	MPT	\$1,11(
KMKO-FM	164294	MPT	\$1,11(
KKEZ	35892	MPT	\$1,11(
KRBI-FM	31873	MPT	\$1,11(
KJAM	39580	MPT	\$1,11(
KTGL	53141	MPT	\$1,11(
KLMS	54708	MPT	\$1,11(
KWMT	35891	MPT	\$1,11(
KZLB	60859	MPT	\$1,11(
KJJQ	9677	MPT	\$1,11(
KBRK	15263	MPT	\$1,11(
KBRK-FM	15261	MPT	\$1,11(
KKSD	63598	MPT	\$1,11(
KTLB	28657	MPT	\$1,11(
KSDR	20432	MPT	\$1,11(
KJSK	26628	MPT	\$1,11(
		Total	\$52, ⁻

Assignments Туре

Question

Is this application a pro forma Assignment of Authorization?

By answering "Yes" the Applicant certifies that the use of short form pro forma application is appropriate for this transaction?

Is the Assignment Voluntary or Involuntary:

Call Sign	Facility ID	File Number	Service	City, State
KATE	12670	0000138727	AM	ALBERT LEA, MN
KLGR-FM	9654	0000138728	FM	REDWOOD FALLS, MI
KZLB	60859	0000138729	FM	FORT DODGE, IA
КҮТС	49798	0000138730	FM	NORTHWOOD, IA
KTGL	53141	0000138731	FM	BEATRICE, NE
KDBX	87411	0000138732	FM	CLEAR LAKE, SD
KTLB	28657	0000138733	FM	TWIN LAKES, IA
KLSS-FM	47094	0000138734	FM	MASON CITY, IA
KSDR	20432	0000138735	AM	WATERTOWN, SD
KIAQ	54641	0000138736	FM	CLARION, IA
KRBI-FM	31873	0000138737	FM	ST. PETER, MN
KQAD	39259	0000138738	AM	LUVERNE, MN
KXFT	162477	0000138739	FM	MANSON, IA
KAUS-FM	50660	0000138740	FM	AUSTIN, MN
KKSD	63598	0000138741	FM	MILBANK, SD
KJJQ	9677	0000138742	AM	VOLGA, SD
KYSM-FM	20400	0000138743	FM	MANKATO, MN
KMKO-FM	164294	0000138744	FM	LAKE CRYSTAL, MN
KLMS	54708	0000138745	AM	LINCOLN, NE
KJSK	26628	0000138746	AM	COLUMBUS, NE
KJAM-FM	39578	0000138747	FM	MADISON, SD
KDLO-FM	60865	0000138748	FM	WATERTOWN, SD
KBRK	15263	0000138749	AM	BROOKINGS, SD
KGLO	30114	0000138750	AM	MASON CITY, IA
KRIB	47095	0000138751	AM	MASON CITY, IA
ККОТ	28149	0000138752	FM	COLUMBUS, NE
KIBZ	640	0000138753	FM	CRETE, NE
KWAT	60856	0000138754	AM	WATERTOWN, SD
KLQL	39260	0000138755	FM	LUVERNE, MN
КІХХ	60861	0000138756	FM	WATERTOWN, SD
КТТТ	28148	0000138757	AM	COLUMBUS, NE
KBRK-FM	15261	0000138758	FM	BROOKINGS, SD
KSDR-FM	20433	0000138759	FM	WATERTOWN, SD
KFRX	57287	0000138760	FM	LINCOLN, NE

KLIR	26627	0000138762	FM	COLUMBUS, NE
KVFD	60862	0000138763	AM	FORT DODGE, IA
KJAM	39580	0000138764	AM	MADISON, SD
KCPI	12706	0000138765	FM	ALBERT LEA, MN
KZEN	50733	0000138766	FM	CENTRAL CITY, NE
KKQQ	9663	0000138767	FM	VOLGA, SD
KIAI	30115	0000138768	FM	MASON CITY, IA
KLGR	9680	0000138769	AM	REDWOOD FALLS, MN
KWMT	35891	0000138770	AM	FORT DODGE, IA
KKEZ	35892	0000138771	FM	FORT DODGE, IA
KEEZ-FM	21193	0000138772	FM	MANKATO, MN
КZКХ	53143	0000138773	FM	SEWARD, NE

Assignment Questions

Question

Were any of the authorizations that are the subject of this application obtained through the Commission's competitive bidding proce (see 47 C.F.R. Sections 1.2111(a) and 73.5000)?

Were any of the authorizations that are the subject of this application obtained through the Commission's point system for reserved noncommercial educational stations (see 47 C.F.R. Sections 73.7001 and 73.7003)?

Have all such stations operated for at least 4 years with a minimum operating schedule since grant pursuant to the point system?

Were any of the authorizations that are the subject of this application obtained after award of a dispositive Section 307(b) preferenc the Tribal Priority, through Threshold Qualifications procedures, or through the Tribal Priority as applied before the NCE fair distribu analysis set forth in 47 C.F.R. § 73.7002(b)?

Have all such stations operated for at least 4 years with a minimum operating schedule since grant?

Do both the assignor and assignee qualify for the Tribal Priority in all respects?

LPFM Licenses Only: Has it been at least 18 months since the initial construction permit for the LPFM station was granted?

LPFM Licenses Only: Does the assignment of the LPFM authorization satisfy the consideration restrictions of 47 CFR Section 73.8(

LPFM Licenses Only: Were any of the LPFM authorizations that are subject to this application obtained through the Commission's system for low power FM stations (see 47 CFR Section 73.872)?

If yes to question above, have all such LPFM stations operated for at least four years since grant pursuant to the point system?" (or /N. If Yes, nothing further required. No requires attachment as follows)"If no to new sub question, list pertinent authorizations in an I and include in the Exhibit a showing that the transaction is consistent with the requirements of 47 CFR Section 73.865(a)(3).

Assignor Name, Type, and Contact Information

Assignor	Туре	Address	Phone	Email
Alpha 3E Licensee LLC Debtor in Possession	Limited Liability Company	1211 SW 5TH AVENUE SUITE 750 PORTLAND, OR 97204 United States	+1 (503) 517- 6200	john. grossi@alphamediau

Assignor Information

Assignor Contact Representatives	Contact Name	Address	Phone	Email		Contact	
(1)	Kathleen Kirby Wiley Rein LLP	1776 K Street, NW +1 (202) 719-3360 kkirby@wiley. Washington, DC 20006 United States					
Assignor Legal	Section	Question			Response		
Certifications	Agreements for Sale /Transfer of StationAssignor certifies that: (i) it has placed in Assignor's public inspection file(s) and submitted to the Commission as an Exhibit to this application copies of all agreements for the assignment/transfer of the station(s); (ii) these documents embody the complete and final understanding between Assignor and Assignee; and (iii) these agreements comply fully with the Commission's rules and policie						
		other authorizing docume the licensee's/permittee's	ntary, the Assignor certifies than nts have been issued and that public inspection file(s) and su ch court orders or other authori	it has placed in bmitted to the			
	Other Authorizations						
	Character Issues	application has or has had (a) any broadcast application were left unresolved or we any party to the application	her licensee/permittee nor any d any interest in, or connection tion in any proceeding where c ere resolved adversely against n or t application in which characte	with: haracter issues the applicant or	Yes		
	Adverse Findings	application, no adverse fir action been taken by any proceeding brought under following: any felony; mas	h respect to the Assignor and nding has been made, nor has court or administrative body in the provisions of any law relat s media-related antitrust or un another governmental unit; or c	an adverse final a civil or criminal ted to any of the fair competition;	Yes		
	Local Public Notice	Assignor certifies that it has requirements of 47 C.F.R	Yes				
	Auction Authorization	issuance of the constructi	re than five years have passed on permit for the station being n an auction through the use c	assigned, where	N/A		
	Anti-Discrimination Certification	application have violated discrimination on the basi	ther licensee/permittee nor any the Commission's prohibition a s of race, color, religion, nation operated AM, FM, TV, Class A	igainst nal origin or sex in	Yes		

Assignee Information

Assignee Name, Type, and Contact Information

Assignee	Туре	Address	Phone	Email
Alpha 3E Licensee LLC	Limited Liability Company	1211 SW 5TH AVENUE SUITE 750 PORTLAND, OR 97204 United States	+1 (503) 517-6200	john.grossi@alphamediau

Section

Question

	Radio Station Applica Only		If the station(s) being assigned is noncommercial educational or LPFM, N/A the Assignee certifies that the Commission had previously granted a broadcast application, identified here by file number, that found this Assignee qualified as a noncommercial educational entity with a qualifying educational program, and that the Assignee will use the station(s) to advance a program similar to that the Commission has found qualifying in the Assignee's previous application.					N/A
Assignee Contact	Contact Name	Addro	ess		Phone		Email	Contact
Representatives (1)	Kathleen Kirby Wiley Rein LLP	Wash	K Street, NW hington, DC 2000 d States	06	+1 (202	2) 719-3360	kkirby@wiley.	law Legal Re
Changes in	Party Name Citiz	zenship	Address	Phone	Email	Interest Before	Assignment	Interest After
Interest (0)						Empty		
Changes in Interest Certification	Question Applicant certifies that	equity and	d financial intere	sts not set fo	rth by the a	assignee are nonat	tributable.	
Parties to the Application (0)	Party Name	Citi	zenship	Add	ress	Phone Empty	Email	Positional Interes
Parties to the Application Certification	Question Applicant certifies that	equity and	d financial intere	sts not set fo	rth by the a	assignee are nonat	tributable.	
Assignee Legal	Section	(Question					Response
Certifications	Agreements for Sale		submitted to the for the sale or tra	reements in Commissior ansfer of the	embody t station(s);	nee's public inspect he complete and fir and the Commission's r	nal agreement	No
	Other Authorizations		Please upload an attachment detailing the call signs, locations, and facility identifiers of all other broadcast stations in which Assignee or any party to the application has an attributable interest.					
	Broadcast Incubator Program			•	-	n incubation propos s Broadcast Incubat		
	Multiple Ownership		radio joint sales brokerage agree	agreement c ment with th	er an attribu	ication the holder o utable radio or telev) subject to this app s the station(s) subj	ision time Dication or with	No
			Assignee certifie Commission's m		-	signment complies	with the	Yes

	 Assignee certifies that the proposed assignment: (1) does not present an issue under the Commission's policies relating to media interests of immediate family members; (2) complies with the Commission's policies relating to future ownership interests; and (3) complies with the Commission's restrictions relating to the insulation and nonparticipation of non-party investors and creditors. 	Yes
	Does the Assignee claim status as an "eligible entity," that is, an entity that qualifies as a small business under the Small Business Administration's size standards for its industry grouping (as set forth in 13 C.F.R. § 121- 201), and holds (1) 30 percent or more of the stock or partnership interests and more than 50 percent of the voting power of the corporation or partnership that will own the media outlet; or (2) 15 percent or more of the stock or partnership interests and more than 50 percent of the voting power of the corporation or partnership that will own the media outlet, provided that no other person or entity owns or controls more than 25 percent of the outstanding stock or partnership interests; or (3) More than 50 percent of the voting power of the corporation that will own the media outlet (if such corporation is a publicly traded company)?	No
	Does this assignment include a grandfathered cluster of stations?	No
	Applicant certifies that it will come in compliance by divesting the necessary station(s) within 12 months of the consummation of this transaction to:	
	A) An Eligible Entity (as defined in Item 6d, above).	
	B) An Irrevocable Trust that will assign the station(s) to an Eligible Entity.	
	NCE Diversity of Ownership Points. Does the assignee or any party to the application have an attributable interest in an NCE FM or NCE TV station received through the award of "diversity of ownership" points in the point system analysis?	N/A
	If 'Yes,' the assignee certifies that (1) its attributable NCE FM or NCE TV station has been on the air for at least four years; and/or (2) none of the proposed assigned stations overlap the principal community contour of the NCE FM or NCE TV station received through the award of diversity points in the point system analysis (see 47 CFR Section 73.7005(c)).	
Acquisition of Control	Please upload an attachment listing the file number and date of grant of FCC Form 301, 314, or 315 application by which the Commission approved the qualifications of the individual or entity with a pre-existing interest in the licensee/permittee that is now acquiring control of the licensee/permittee as a result of the grant of this application.	
Character Issues	Assignee certifies that neither assignee nor any party to the application has or has had any interest in, or connection with: (a) any broadcast application in any proceeding where character issues were left unresolved or were resolved adversely against the applicant or any party to the application; or (b) any pending broadcast application in which character issues have been raised.	Yes
Adverse Findings	Assignee certifies that, with respect to the assignee and each party to the application, no adverse finding has been made, nor has an adverse final action been taken by any court or administrative body in a civil or criminal proceeding brought under the provisions of any law related to any of the following: any felony; mass media-related antitrust or unfair competition; fraudulent statements to another governmental unit; or discrimination.	Yes

Financial Qualifications	Assignee certifies that sufficient net liquid assets are on hand or are available from committed sources to consummate the transaction and operate the station(s) for three months.	Yes
Program Service Certification	Assignee certifies that it is cognizant of and will comply with its obligations as a Commission licensee to present a program service responsive to the issues of public concern facing the station's community of license and service area.	Yes
Auction Authorization	Assignee certifies that where less than five years have passed since the issuance of the construction permit and the permit had been acquired in an auction through the use of a bidding credit or other special measure, it would qualify for such credit or other special measure.	N/A
Equal Employment Opportunity (EEO)	If the applicant proposes to employ five or more full-time employees, applicant certifies that it is filing simultaneously with this application a Model EEO Program Report on FCC Form 396-A.	Yes

Assignee Alien Ownership

Question

- 1) Is the applicant a foreign government or the representative of any foreign government as specified in Section 310(a) of the Communications Act?
- 2) Is the applicant an alien or the representative of an alien? (Section 310(b)(1))
- 3) Is the applicant a corporation, or non-corporate entity, that is organized under the laws of any foreign government? (Section 310(
- 4) Is the applicant an entity of which more than one-fifth of the capital stock, or other equity or voting interest, is owned of record or aliens or their representatives or by a foreign government or representative thereof or by any entity organized under the laws of a country? (Section 310(b)(3))
- 5) Is the applicant directly or indirectly controlled by any other entity of which more than one-fourth of the capital stock, or other equ voting interest, is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof, any entity organized under the laws of a foreign country? (Section 310(b)(4))
- 6) Has the applicant received a declaratory ruling(s) under Section 310(b)(4) of the Communications Act?
- **6a)** Enter the citation of the applicable declaratory ruling by DA/FCC number, FCC Record citation, release date, or any other identi information.
- 7) Has there been any change in the applicant's foreign ownership since issuance of the declaratory ruling(s) cited in response to C 6?
- 8) Does the applicant certify that it is in compliance with the terms and conditions of the foreign ownership declaratory ruling(s) cited response to Question 6?
- 9) In connection with this application, is the applicant filing a foreign ownership Petition for Declaratory Ruling pursuant to Section 3 of the Communications Act?

Assignee Certification	Section	Question	Response
	General Certification Statements	Assignee certifies that it has answered each question in this application based on its review of the application instructions and worksheets. Assignee further certifies that where it has made an affirmative certification below, this certification constitutes its representation that the application satisfies each of the pertinentstandards and criteria set forth in the application instructions and worksheets.	
		The Assignee certifies that neither the Assignee nor any other party to the application is subject to a denial of Federal benefits pursuant to §5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. This certification does not apply to applications filed in services exempted under §1.2002(c) of the rules, 47 CFR . See §1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification § 1.2002(c). The Assignee certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.	
	Authorized Party to Sign	 FAILURE TO SIGN THIS APPLICATION MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID Upon grant of this application, the Authorization Holder may be subject to certain construction or coverage requirements. Failure to meet the construction or coverage requirements will result in automatic cancellation of the Authorization. Consult appropriate FCC regulations to determine the construction or coverage requirements that apply to the type of Authorization requested in this application. WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, §1001) AND/OR REVOCATION OF ANY STATION AUTHORIZATION (U.S. Code, Title 47, §312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, §503). 	
		I certify that this application includes all required and relevant attachments.	No
		I declare, under penalty of perjury, that I am an authorized representative of the above-named applicant for the Authorization(s) specified above.	John Grossi Secretary
			03/11/2021

General Certification Statements	Assignor certifies that it has answered each question in this application based on its review of the application instructions and worksheets. Assignor further certifies that where it has made an affirmative certification below, this certification constitutes its representation that the application satisfies each of the pertinentstandards and criteria set forth in the application instructions and worksheets.	
	The Assignor certifies that neither the Assignor nor any other party to the application is subject to a denial of Federal benefits pursuant to §5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. This certification does not apply to applications filed in services exempted under §1.2002(c) of the rules, 47 CFR . See §1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification § 1.2002(c). The Assignor certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.	
Authorized Party to Sign	 FAILURE TO SIGN THIS APPLICATION MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID Upon grant of this application, the Authorization Holder may be subject to certain construction or coverage requirements. Failure to meet the construction or coverage requirements will result in automatic cancellation of the Authorization. Consult appropriate FCC regulations to determine the construction or coverage requirements that apply to the type of Authorization requested in this application. WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, §1001) AND/OR REVOCATION OF ANY STATION AUTHORIZATION (U.S. Code, Title 47, §312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, §503). 	
	I certify that this application includes all required and relevant attachments.	No
	I declare, under penalty of perjury, that I am an authorized representative of the above-named applicant for the Authorization(s) specified above.	John Grossi Secretary
		03/11/2021

Attachments	File Name	Uploaded By	Attachment Type	Descr
	Agreements Exhibit.pdf	Applicant	Assignor Legal Certifications	Agree Exhib
	Agreements Exhibit.pdf	Applicant	Assignee Legal Certifications	Agree Exhib
	Alien Ownership Exhibit.pdf	Applicant	All Purpose	Alien Owne Exhib

<u>Alpha Assignees Other Authorizations Exhibit.pdf</u>	Applicant	Assignee Legal Certifications	Othe Autho
Alpha Assignors Other Authorizations Exhibit.pdf	Applicant	Assignor Legal Certifications	Othe Autho
Alpha Media 3E LLC 316 Exhibit Authorizations Obtained Through Competitive Bidding. pdf	Applicant	Assignment Questions	Com Biddi Exhit
<u>Alpha MOS Technical.pdf</u>	Applicant	Assignee Legal Certifications	Multi Owne Exhit
Bankruptcy Documents.pdf	Applicant	Parties to the Application Certification	Bank Docu
Description of Transaction and Parties to the Application.pdf	Applicant	Parties to the Application Certification	Desc of Trans and F to the Appli Exhib
MOS BIA Reports.pdf	Applicant	Assignee Legal Certifications	Multi Owne Exhit
<u>Multiple Ownership Exhibit.pdf</u>	Applicant	Assignee Legal Certifications	Multi Owne Exhit
<u>Waiver Exhibit.pdf</u>	Applicant	Fees, Waivers and Exemptions	Waiv Exhit