



(REFERENCE COPY - Not for submission)

Noncommercial Broadcast Stations Biennial Ownership Report (FCC Form 323-E)

File Number: **0000099348** | Submit Date: **2020-01-22** | FRN: **0004247938**Purpose: **Noncommercial Broadcast Stations Biennial Ownership Report** | Status: **Received** | Status Date: **01/22/2020**Filing Status: **Active**

Section I - General Information

1. Respondent

| FRN | Entity Name |
|------------|--|
| 0004247938 | University Corporation at Monterey Bay |

| Street Address | City (and Country if non U.S. address) | State ("NA" if non-U.S. address) | Zip Code | Phone | Email |
|--|--|----------------------------------|----------|-----------------------|------------------------|
| 100 Campus Center Building 201 | Seaside | CA | 93955 | +1 (831) 582- 5298 | mbenedek@csumb. edu |

2. Contact Representative

| Name | Organization |
|-------------------|------------------|
| Melodie A. Virtue | Foster Garvey PC |

| Street Address | City (and Country if non U.S. address) | State | Zip Code | Phone | Email |
|---|--|-------|----------|-----------------------|-------------------------------|
| 1000 Potomac Street NW Suite 200 | Washington | DC | 20007 | +1 (202) 965- 7880 | melodie.virtue@foster. com |

3. Application Filing Fee

Not Applicable

4. Control of Respondent

| (a) Provide the following information about the Respondent: | |
|---|----------|
| Relationship to stations/permits | Licensee |
| Is the Respondent's governing board (or other governing entity) directly or indirectly under the control of another entity? | No |

| (b) Provide the following information about this report: | |
|--|---|
| Purpose | Biennial |
| "As of" date | 10/01/2019 When filing a biennial ownership report or validating and resubmitting a prior biennial ownership report, this date must be Oct. 1 of the year in which this report is filed. |

**5. Licensee(s)
and Station(s)**

Respondent is filing this report to cover the following Licensee(s) and station(s):

| Licensee/Permittee Name | FRN |
|--|------------|
| University Corporation at Monterey Bay | 0004247938 |

| Fac. ID No. | Call Sign | City | State | Service |
|-------------|-----------|---------------|-------|---------|
| 43591 | KAZU | PACIFIC GROVE | CA | FM |

Section II – Biennial Ownership Information

**1. 47 C.F.R.
Section 73.3613
Documents**

Licensee Respondents that hold authorizations for one or more full power television, AM, and/or FM stations should list all contracts and other instruments set forth in 47 C.F.R. Section 73.3613(a) through (c) for the facility or facilities listed on this report. If the agreement is a network affiliation agreement, check the appropriate box. Otherwise, select "Other." Non-Licensee Respondents should select "Not Applicable" in response to this question.

| Document Information | |
|--|---|
| Description of contract or instrument | Articles of Incorporation |
| Parties to contract or instrument | State of California |
| Date of execution | 07/1994 |
| Date of expiration | No expiration date |
| Agreement type (check all that apply) | Other Agreement Type: Articles of Incorporation |

| Document Information | |
|--|---|
| Description of contract or instrument | By-Laws |
| Parties to contract or instrument | University Corporation at Monterey Bay |
| Date of execution | 07/1994 |
| Date of expiration | No expiration date |
| Agreement type (check all that apply) | Other Agreement Type: By-Laws |

**2. Ownership
Interests**

(a) Ownership Interests. This Question requires Respondents to enter detailed information about ownership interests by generating a series of subforms. Answer each question on each subform. The first subform listing should be for the Respondent itself. If the Respondent is not a natural person, also list each of the officers, members of the governing board (or other governing entity), stockholders, and any other persons or entities with a direct attributable interest in the Respondent pursuant to the standards set forth in 47 C.F.R. Section 73.3555. (A "direct" interest is one that is not held through any intervening companies or entities.) List each interest holder with a direct attributable interest in the Respondent separately.

Leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the Respondent solely on the basis of the Commission's Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i).

In the case of vertical or indirect ownership structures, list only those interests in the Respondent that also represent an attributable interest in the Licensee(s) for which the report is being submitted.

Entities that are part of an organizational structure that includes holding companies or other forms of indirect ownership must file separate ownership reports. In such a structure do not report, or file a separate report for, any interest holder that does not have an attributable interest in the Licensee(s) for which the report is being submitted.

Please see the Instructions for further detail concerning interests that must be reported in response to this question.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

| Ownership Information | | |
|--|---|--------------------------------|
| FRN | 0004247938 | |
| Entity Name | University Corporation at Monterey Bay | |
| Address | PO Box | |
| | Street 1 | 100 Campus Center Building 201 |
| | Street 2 | |
| | City | Seaside |
| | State ("NA" if non-U.S. address) | CA |
| | Zip/Postal Code | 93955 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Respondent | |
| Positional Interests (check all that apply) | Respondent | |
| Tribal Nation or Tribal Entity | Interest holder is not a Tribal nation or Tribal entity | |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 0.0% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | 0.0% |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | No |

| Ownership Information | | |
|---|---|-------------------|
| FRN | 9990122054 | |
| Name | Barbara Zappas | |
| Address | PO Box | |
| | Street 1 | 100 Campus Center |
| | Street 2 | |
| | City | Seaside |
| | State ("NA" if non-U.S. address) | CA |
| | Zip/Postal Code | 93955 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Other - DirectorMember of Governing Board (or other governing entity) | |

| | | |
|--|---|------------------------|
| Principal Profession or Occupation | University Vice President for Development | |
| By Whom Appointed or Elected | Board | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Female |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 11.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | No |

| Ownership Information | | |
|--|---|------------------------|
| FRN | 9990122055 | |
| Name | Robert C. Taylor, Jr. | |
| Address | PO Box | |
| | Street 1 | 951 Blanco Circle |
| | Street 2 | Ste A |
| | City | Salinas |
| | State ("NA" if non-U.S. address) | CA |
| | Zip/Postal Code | 93901 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Other - DirectorMember of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Attorney | |
| By Whom Appointed or Elected | President of the University | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Male |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 11.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |

| | | |
|--|--|----|
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | No |

| Ownership Information | | |
|--|---|-------------------------|
| FRN | 9990122056 | |
| Name | Eduardo M. Ochoa | |
| Address | PO Box | |
| | Street 1 | 1716 Eichelberger Court |
| | Street 2 | |
| | City | Marina |
| | State ("NA" if non-U.S. address) | CA |
| | Zip/Postal Code | 93933 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Officer, Other - Board President Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | University Administrator | |
| By Whom Appointed or Elected | CSU Board of Trustees | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Male |
| | Ethnicity | Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 11.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | No |

| Ownership Information | | |
|-----------------------|-------------------|-------------------|
| FRN | 9990122058 | |
| Name | Kevin R. Saunders | |
| Address | PO Box | |
| | Street 1 | 100 Campus Center |
| | Street 2 | |

| | | |
|--|---|------------------------|
| | City | Seaside |
| | State ("NA" if non-U.S. address) | CA |
| | Zip/Postal Code | 93955 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Other - DirectorMember of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | University Administrator | |
| By Whom Appointed or Elected | President of the University | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Male |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 11.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | No |

| Ownership Information | | |
|---|---|----------------------------|
| FRN | 9990122059 | |
| Name | Ronnie Higgs | |
| Address | PO Box | |
| | Street 1 | 28065 Robinson Canyon Road |
| | Street 2 | |
| | City | Carmel |
| | State ("NA" if non-U.S. address) | CA |
| | Zip/Postal Code | 93923 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Other - DirectorMember of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Vice President of Student Affairs | |

| | | |
|--|--|---------------------------|
| By Whom Appointed or Elected | President of the University | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Male |
| | Ethnicity | Not Hispanic or Latino |
| | Race | Black or African American |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 11.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | No |

| Ownership Information | | |
|--|---|------------------------|
| FRN | 9990139467 | |
| Name | Scott Fausti | |
| Address | PO Box | |
| | Street 1 | 427 Combs Court |
| | Street 2 | |
| | City | Marina |
| | State ("NA" if non-U.S. address) | CA |
| | Zip/Postal Code | 93933 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Other - DirectorMember of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | College Professor | |
| By Whom Appointed or Elected | Ex-Officio | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Male |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 11.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | 0.0% |

| | |
|---|----|
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | No |
|---|----|

| Ownership Information | | |
|---|---|------------------------|
| FRN | 9990139468 | |
| Name | Mary Jo Zenk | |
| Address | PO Box | |
| | Street 1 | 1508 Devers Court |
| | Street 2 | |
| | City | Marina |
| | State ("NA" if non-U.S. address) | CA |
| | Zip/Postal Code | 93933 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Other - DirectorMember of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Administration | |
| By Whom Appointed or Elected | Board | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Female |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 11.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | 0.0% |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | No | |

| Ownership Information | | |
|-----------------------|-------------------|--------------|
| FRN | 9990139470 | |
| Name | Jaspreet Bhardwaj | |
| Address | PO Box | |
| | Street 1 | P.O. Box 228 |
| | Street 2 | |
| | City | Gonzales |

| | | |
|--|---|------------------------|
| | State ("NA" if non-U.S. address) | CA |
| | Zip/Postal Code | 93906 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Other - Student Director Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Vice President of Financial Affairs for Associated Students | |
| By Whom Appointed or Elected | Board | |
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Female |
| | Ethnicity | Not Hispanic or Latino |
| | Race | Asian |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 11.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | 0.0% |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | No |

| Ownership Information | | |
|---|---|-------------------|
| FRN | 9990139471 | |
| Name | Fran Horvath | |
| Address | PO Box | |
| | Street 1 | 1113 Melton Place |
| | Street 2 | |
| | City | Pacific Grove |
| | State ("NA" if non-U.S. address) | CA |
| | Zip/Postal Code | 93950 |
| | Country (if non-U.S. address) | United States |
| Listing Type | Other Interest Holder | |
| Positional Interests (check all that apply) | Officer, Other - Secretary Member of Governing Board (or other governing entity) | |
| Principal Profession or Occupation | Interim Provost, Vice President for Academic Affairs | |
| By Whom Appointed or Elected | Board | |

| | | |
|--|--|------------------------|
| Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only) | Citizenship | US |
| | Gender | Female |
| | Ethnicity | Not Hispanic or Latino |
| | Race | White |
| Interest Percentages (enter percentage values from 0.0 to 100.0) | Voting | 11.1% |
| | Equity | 0.0% |
| | Total assets (Equity Debt Plus) | 0.0% |
| Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report? | | No |

| | |
|--|-----|
| (b) Respondent certifies that any interests, including equity, financial, or voting interests, not reported in this filing are non-attributable. If "No," submit as an exhibit an explanation. | Yes |
|--|-----|

| | |
|--|----|
| (c) Is Respondent seeking an attribution exemption for any officer or director with duties wholly unrelated to the Licensee(s)? If "Yes," complete the information in the required fields and submit an Exhibit fully describing that individual's duties and responsibilities, and explaining why that individual should not be attributed an interest. | No |
|--|----|

3. Organizational Chart (Licensees Only)

Attach a flowchart or similar document showing the Licensee's vertical ownership structure including the Licensee and all entities that have attributable interests in the Licensee. Licensees with a single parent entity may provide a brief explanatory textual Exhibit in lieu of a flowchart or similar document. Licensees without parent entities should so indicate in a textual Exhibit.

Non-Licensee Respondents should select "N/A" in response to this question.

Licensee does not have a parent entity.

Section III - Certification

Certification

| Section | Question | Response |
|---------------------------------|--|--|
| Authorized Party to Sign | WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND /OR REVOCATION OF ANY STATION LICENSE --OR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503). | |
| Certification | I certify that I have examined this report and that to the best of my knowledge and belief, all statements in this report are true, correct and complete. | Official Title: Executive Director Exact Legal Title or Name of Respondent: University Corporation at Monterey Bay Name: Kevin Saunders Phone: 8315823397 01/22/2020 |

