



(REFERENCE COPY - Not for submission)

Commercial Broadcast Stations Biennial
Ownership Report (FCC Form 323)

File Number: 0000098827 | Submit Date: 2020-01-21 | FRN: 0004161410

Purpose: Commercial Broadcast Stations Biennial Ownership Report | Status: Received | Status Date: 01/21/2020

Filing Status: Active

Section I - General Information

1. Respondent

FRN		Entity Name			
0021784996		Emmis New York Radio License LLC			

Street Address	City (and Country if non U.S. address)	State ("NA" if non-U.S. address)	Zip Code	Phone	Email
One EMMIS Plaza 40 Monument Circle, Suite 700	Indianapolis	IN	46204	+1 (317) 684-6574	legal@emmis.com

2. Contact Representative

Name		Organization			
Kathleen Kirby		Wiley Rein LLP			

Street Address	City (and Country if non U.S. address)	State	Zip Code	Phone	Email
1776 K Street, NW	Washington	DC	20006	+1 (202) 719-3360	kkirby@wileyrein.com

3. Application Filing Fee

Question	Response
Is this application being submitted without a filing fee?	No

Fees

Application Type	Form Number	Fee Code	Quantity	Fee Amount	Subtotal
Biennial	Form 323	MAR	1	95	\$70.00
				Total	\$70.00

4. Nature of Respondent

(a) Provide the following information about the Respondent:	
Relationship to stations/permits	Licensee
Nature of Respondent	Limited liability company

(b) Provide the following information about this report:	
Purpose	Biennial
"As of" date	10/01/2019 When filing a biennial ownership report or validating and resubmitting a prior biennial ownership report, this date must be Oct. 1 of the year in which this report is filed.

5. Licensee(s) and Station(s)

Respondent is filing this report to cover the following Licensee(s) and station(s):

Licensee/Permittee Name	FRN
Emmis New York Radio License LLC	0021784996

Fac. ID No.	Call Sign	City	State	Service
63781	WEPN-FM	NEW YORK	NY	FM

Section II – Biennial Ownership Information

1. 47 C.F.R. Section 73.3613 and Other Documents

Licensee Respondents that hold authorizations for one or more full power television, AM, and/or FM stations should list all contracts and other instruments set forth in 47 C.F.R. Section 73.3613(a) through (c) for the facility or facilities listed on this report. In addition, attributable Local Marketing Agreements (LMAs) and attributable Joint Sales Agreements (JSAs) must be disclosed by the licensee of the brokering station on its ownership report. If the agreement is an attributable LMA, an attributable JSA, or a network affiliation agreement, check the appropriate box. Otherwise, select “Other.” Non-Licensee Respondents, as well as Licensee Respondents that only hold authorizations for Class A television and/or low power television stations, should select “Not Applicable” in response to this question.

Document Information	
Description of contract or instrument	Second Amended and Restated Code of By-Laws of Emmis Communications Corporation (as amended through May 2, 2013)
Parties to contract or instrument	---
Date of execution	05/2013
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Bylaws

Document Information	
Description of contract or instrument	Articles of Amendment of the Second Amended and Restated Articles of Incorporation of Emmis Communications Corporation
Parties to contract or instrument	State of Indiana
Date of execution	07/2016
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Articles of Amendment of the Second Amended and Restated Articles of Incorporation

Document Information	
Description of contract or instrument	Articles of Amendment of the Second Amended and Restated Articles of Incorporation of Emmis Communications Corporation
Parties to contract or instrument	State of Indiana
Date of execution	02/2016
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Articles of Amendment of the Second Amended and Restated Articles of Incorporation

Document Information	
Description of contract or instrument	Second Amended and Restated Articles of Incorporation of Emmis Communications Corporation
Parties to contract or instrument	State of Indiana
Date of execution	02/2000
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Second Amended and Restated Articles of Incorporation

Document Information	
Description of contract or instrument	Articles of Amendment of the Second Amended and Restated Articles of Incorporation of Emmis Communications Corporation
Parties to contract or instrument	State of Indiana
Date of execution	06/2005
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Articles of Amendment of the Second Amended and Restated Articles of Incorporation

Document Information	
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Description of contract or instrument	Articles of Amendment of the Amended and Restated Articles of Incorporation of Emmis Communications Corporation
Parties to contract or instrument	State of Indiana
Date of execution	03/2001
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Articles of Amendment of the Amended and Restated Articles

Document Information	
Description of contract or instrument	Code of By-Laws of Emmis Operating Company
Parties to contract or instrument	--
Date of execution	05/2001
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Bylaws

Document Information	
Description of contract or instrument	Articles of Amendment of the Second Amended and Restated Articles of Incorporation of Emmis Communications Corporation
Parties to contract or instrument	State of Indiana
Date of execution	09/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Articles of Amendment of the Second Amended and Restated Articles of Incorporation

Document Information	
Description of contract or instrument	Articles of Incorporation of Emmis Operating Company
Parties to contract or instrument	State of Indiana
Date of execution	05/2001
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Articles of Incorporation

Document Information	
Description of contract or instrument	Articles of Incorporation of Emmis Radio License Corporation of New York
Parties to contract or instrument	State of California
Date of execution	09/1997
Date of expiration	No expiration date

Agreement type (check all that apply)	Other Agreement Type: Articles of Incorporation
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Document Information	
Description of contract or instrument	Amended and Restated By Laws of Emmis Radio License Corporation of New York
Parties to contract or instrument	---
Date of execution	08/2004
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Amended and Restated By Laws

Document Information	
Description of contract or instrument	Limited Liability Company Agreement of Emmis New York Radio License, LLC
Parties to contract or instrument	Emmis New York Radio LLC and independent managers
Date of execution	04/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: LLC Agreement

Document Information	
Description of contract or instrument	Limited Liability Company Agreement of Emmis New York Radio, LLC
Parties to contract or instrument	Emmis Radio License Corporation of New York and independent managers
Date of execution	04/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: LLC Agreement

Document Information	
Description of contract or instrument	Participation Agreement
Parties to contract or instrument	Emmis New York Radio License, LLC, Emmis New York Radio, LLC, Wells Fargo Bank Northwest, National Association and Teachers Insurance and Annuity Association of America
Date of execution	04/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Participation Agreement

Document Information	
Description of contract or instrument	Promissory Note

Parties to contract or instrument	Emmis New York Radio License, LLC, Emmis New York Radio, LLC and Wells Fargo Bank Northwest, National Association
Date of execution	05/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Promissory Note

Document Information	
Description of contract or instrument	Security Agreement
Parties to contract or instrument	Emmis New York Radio License, LLC, Emmis New York Radio, LLC and Wells Fargo Bank Northwest, National Association
Date of execution	04/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Security Agreement

Document Information	
Description of contract or instrument	Pledge and Security Agreement
Parties to contract or instrument	Emmis New York Radio License, LLC, Emmis New York Radio, LLC and Wells Fargo Bank Northwest, National Association
Date of execution	04/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Pledge and Security Agreement

Document Information	
Description of contract or instrument	Assignment and Subordination of Management Agreement
Parties to contract or instrument	Emmis New York Radio, LLC, Emmis New York Radio License, LLC, Wells Fargo Bank Northwest, National Association and Emmis Operating Company
Date of execution	04/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Assignment and Subordination of Management Agreement

Document Information	
Description of contract or instrument	WRKS License Contribution Agreement
Parties to contract or instrument	Emmis New York Radio, LLC and Emmis New York Radio License, LLC
Date of execution	04/2012
Date of expiration	No expiration date

Agreement type (check all that apply)	Other Agreement Type: Contribution Agreement
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Document Information	
Description of contract or instrument	WRKS Contribution Agreement
Parties to contract or instrument	Emmis Radio License Corporation of New York and Emmis New York Radio, LLC
Date of execution	04/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Contribution Agreement

Document Information	
Description of contract or instrument	Management Agreement
Parties to contract or instrument	Emmis Operating Company, Emmis New York Radio, LLC, Emmis New York Radio License, LLC, Emmis Radio License Corporation of New York and Wells Fargo Bank Northwest, National Association
Date of execution	04/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Management Agreement

Document Information	
Description of contract or instrument	Local Programming and Marketing Agreement
Parties to contract or instrument	Emmis Radio License Corporation of New York and New York AM Radio, LLC
Date of execution	04/2012
Date of expiration	08/2024
Agreement type (check all that apply)	Other Agreement Type: Local Programming and Marketing Agreement

Document Information	
Description of contract or instrument	Declaration of Trust
Parties to contract or instrument	Wells Fargo Bank Northwest, National Association
Date of execution	04/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Declaration of Trust

Document Information	
Description of contract or instrument	Certificate of Organization of Emmis New York Radio License LLC

Parties to contract or instrument	State of Delaware
Date of execution	03/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Certificate of Organization

Document Information	
Description of contract or instrument	Certificate of Organization of Emmis New York Radio LLC
Parties to contract or instrument	State of Delaware
Date of execution	03/2012
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Certificate of Organization

Document Information	
Description of contract or instrument	Loan Agreement
Parties to contract or instrument	Emmis Operating Company, Emmis Indiana Broadcasting Company, L.P. and Star Financial Bank
Date of execution	04/2019
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: Loan Agreement

2. Ownership Interests

(a) Ownership Interests. This Question requires Respondents to enter detailed information about ownership interests by generating a series of subforms. Answer each question on each subform. The first subform listing should be for the Respondent itself. If the Respondent is not a natural person, also list each of the officers, directors, stockholders, non-insulated partners, non-insulated members, and any other persons or entities with a direct attributable interest in the Respondent pursuant to the standards set forth in 47 C.F.R. Section 73.3555. (A “direct” interest is one that is not held through any intervening companies or entities.) List each interest holder with a direct attributable interest in the Respondent separately.

Leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the Respondent solely on the basis of the Commission’s Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i).

In the case of vertical or indirect ownership structures, list only those interests in the Respondent that also represent an attributable interest in the Licensee(s) for which the report is being submitted.

Entities that are part of an organizational structure that includes holding companies or other forms of indirect ownership must file separate ownership reports. In such a structure do not report, or file a separate report for, any interest holder that does not have an attributable interest in the Licensee(s) for which the report is being submitted.

Please see the Instructions for further detail concerning interests that must be reported in response to this question.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

Ownership Information		
FRN	0021784996	
Entity Name	Emmis New York Radio License LLC	
Address	PO Box	

	Street 1	One EMMIS Plaza	
	Street 2	40 Monument Circle, Suite 700	
	City	Indianapolis	
	State ("NA" if non-U.S. address)	IN	
	Zip/Postal Code	46204	
	Country (if non-U.S. address)	United States	
Listing Type	Respondent		
Positional Interests (check all that apply)	Respondent		
Tribal Nation or Tribal Entity	Interest holder is not a Tribal nation or Tribal entity		
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	0.0%	Jointly Held? No
	Equity	0.0%	
	Total assets (Equity Debt Plus)	0.0%	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?			No

Ownership Information			
FRN	0019276153		
Name	Ryan A. Hornaday		
Address	PO Box		
	Street 1	One EMMIS Plaza	
	Street 2	40 Monument Circle, Suite 700	
	City	Indianapolis	
	State ("NA" if non-U.S. address)	IN	
	Zip/Postal Code	46204	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Officer, Other - Manager		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	

Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	0.0%	Jointly Held? No
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?			Yes

Ownership Information			
FRN	0019275718		
Name	Jonathan S. Enright		
Address	PO Box		
	Street 1	One EMMIS Plaza	
	Street 2	40 Monument Circle, Suite 700	
	City	Indianapolis	
	State ("NA" if non-U.S. address)	IN	
	Zip/Postal Code	46204	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Officer, Other - Manager		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	0.0%	Jointly Held? No
	Equity	0.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?			Yes

Ownership Information		
FRN	0021864830	
Entity Name	Emmis New York Radio LLC	
Address	PO Box	
	Street 1	One EMMIS Plaza
	Street 2	40 Monument Circle, Suite 700

	City	Indianapolis	
	State ("NA" if non-U.S. address)	IN	
	Zip/Postal Code	46204	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	LC/LLC/PLLC Member		
Tribal Nation or Tribal Entity	Interest holder is not a Tribal nation or Tribal entity		
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	100.0%	Jointly Held? No
	Equity	100.0%	
	Total assets (Equity Debt Plus)		
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?			No
(b) Respondent certifies that any interests, including equity, financial, or voting interests, not reported in this filing are non-attributable. If "No," submit as an exhibit an explanation.			Yes

<p>(c) Does the Respondent or any reported interest holder hold an attributable interest in any newspaper entities in the same market as any station for which this report is filed, as defined in 47 C.F.R. Section 73.3555?</p> <p>If "<u>Yes</u>," provide information describing the interest(s), using EITHER the subform OR the spreadsheet option below. Respondents with a large number (50 or more) of entries to submit should use the spreadsheet option.</p> <p>NOTE: Spreadsheets must be submitted in a special XML Spreadsheet format with the appropriate structure that is specified in the documentation. For instructions on how to use the spreadsheet option to complete this question (including templates to start with), please Click Here.</p> <p>If using the subform, leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the newspaper entity solely on the basis of the Commission's Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i). If using an XML Spreadsheet, enter "NA" into the percentage of total assets (Equity Debt Plus) field for an interest holder unless that interest holder has an attributable interest in the newspaper entity solely on the basis of the Commission's Equity Debt Plus attribution standard.</p> <p>The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.</p>	No
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<p>(d) Are any of the individuals listed as an attributable interest holder in the Respondent married to each other or related to each other as parentchild or as siblings?</p> <p>If "<u>Yes</u>," provide the following information for each such the relationship.</p>	No
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<p>(e) Is Respondent seeking an attribution exemption for any officer or director with duties wholly unrelated to the Licensee(s)?</p> <p>If "<u>Yes</u>," complete the information in the required fields and submit an Exhibit fully describing that individual's duties and responsibilities, and explaining why that individual should not be attributed an interest.</p>	No
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3. Organizational Chart (Licensees Only)

Attach a flowchart or similar document showing the Licensee’s vertical ownership structure including the Licensee and all entities that have attributable interests in the Licensee. Licensees with a single parent entity may provide a brief explanatory textual Exhibit in lieu of a flowchart or similar document. Licensees without parent entities should so indicate in a textual Exhibit.

Non-Licensee Respondents should select “N/A” in response to this question.

File Name	Uploaded By	Attachment Type	Description
Emmis Organizational Chart.pdf	Applicant	Ownership Chart	Organizational Chart

Section III - Certification

Certification

Section	Question	Response
Authorized Party to Sign	WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND /OR REVOCATION OF ANY STATION LICENSE --OR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503).	
Certification	I certify that I have examined this report and that to the best of my knowledge and belief, all statements in this report are true, correct and complete.	Official Title: Executive Vice President Exact Legal Title or Name of Respondent: Emmis New York Radio License LLC Name: J Scott Enright Phone: 3176846565 01/21/2020