

(REFERENCE COPY - Not for submission)

### Commercial Broadcast Stations Non-Biennial Ownership Report (FCC Form 323)

File Number: **0000068114** Submit Date: **2019-02-27** FRN: **0026907956** 

Purpose: Commercial Broadcast Stations Non-Biennial Ownership Report Status: Received Status Date:

02/27/2019 Filing Status: Active

#### **Section I - General Information**

#### 1. Respondent

FRN	Entity Name
0026907956	HC2 Holdings, Inc.

Street Address	City (and Country if non U.S. address)	State ("NA" if non-U.S. address)	Zip Code	Phone	Email
450 Park Avenue 30th Floor	New York	NY	10022	+1 (212) 339- 5835	jferraro@hc2. com

## 2. Contact Representative

Name	Organization
Rebecca Hanson	HC2 Broadcasting Holdings Inc.

Street Address	City (and Country if non U.S. address)	State	Zip Code	Phone	Email
450 Park Avenue 30th Floor	New York	NY	10022	+1 (212) 339- 5832	rhanson@hc2broadcasting.

# 3. Application Filing Fee

Not Applicable

# 4. Nature of Respondent

(a) Provide the following information about the Respondent:		
Relationship to stations/permits	Entity required to file a Form 323 because it holds an attributable interest in one or more Licensees	
Nature of Respondent	For-profit corporation	

(b) Provide the following information about this report:		
Purpose	Transfer of control or assignment of license/permit	
"As of" date	01/28/2019	
	When filing a biennial ownership report or validating and resubmitting a prior biennial ownership report, this date must be Oct. 1 of the year in which this report is filed.	

5. Licensee(s)
/Permittees(s)
and Station(s)
/Permit(s)

#### Respondent is filing this report to cover the following Licensee(s)/Permittee(s) and station(s)/permit(s):

Licensee/Permittee Name	FRN
HC2 Station Group, Inc.	0026907345

Fac. ID No.	Call Sign	City	State	Service
35096	KWKB	IOWA CITY	IA	DTV

### **Section II – Non-Biennial Ownership Information**

1. 47 C.F.R. Section 73.3613 and Other Documents Licensee/Permittee Respondents should list all contracts and other instruments set forth in 47 C.F.R. Section 73.3613(a) through (c) for the facility or facilities listed on this report. In addition, attributable Local Marketing Agreements (LMAs) and attributable Joint Sales Agreements (JSAs) must be disclosed by the licensee of the brokering station on its ownership report. If the agreement is an attributable LMA, an attributable JSA, or a network affiliation agreement, check the appropriate box. Otherwise, select "Other." Non-Licensee/Permittee Respondents should select "Not Applicable" in response to this question.

Not Applicable.

# 2. Ownership Interests

(a) Ownership Interests. This Question requires Respondents to enter detailed information about ownership interests by generating a series of subforms. Answer each question on each subform. The first subform listing should be for the Respondent itself. If the Respondent is not a natural person, also list each of the officers, directors, stockholders, non-insulated partners, non-insulated members, and any other persons or entities with a direct attributable interest in the Respondent pursuant to the standards set forth in 47 C.F.R. Section 73.3555. (A "direct" interest is one that is not held through any intervening companies or entities.) List each interest holder with a direct attributable interest in the Respondent separately.

Leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the Respondent solely on the basis of the Commission's Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i).

In the case of vertical or indirect ownership structures, list only those interests in the Respondent that also represent an attributable interest in the Licensee(s) or Permittee(s) for which the report is being submitted.

Entities that are part of an organizational structure that includes holding companies or other forms of indirect ownership must file separate ownership reports. In such a structure do not report, or file a separate report for, any interest holder that does not have an attributable interest in the Licensee(s) or Permittee(s) for which the report is being submitted.

Please see the Instructions for further detail concerning interests that must be reported in response to this question.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

Ownership Information			
FRN	0026907956	0026907956	
Entity Name	HC2 Holdings, Inc.		
Address	РО Вох		
	Street 1	450 Park Avenue	
	Street 2	30th Floor	
	City New York		
	State ("NA" if non-U.S. address)	NY	
	Zip/Postal Code	10022	
	Country (if non-U.S. address)	United States	
Listing Type	Respondent		

Positional Interests (check all that apply)	Respondent		
Interest Percentages (enter percentage values	Voting	0.0%	Jointly Held? No
from 0.0 to 100.0)	Total assets (Equity Debt Plus)	0.0%	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		Yes	

Ownership Information			
FRN	9990134045	9990134045	
Name	Wayne Barr, Jr.		
Address	РО Вох		
	Street 1	450 Park Avenue	
	Street 2	30th Floor	
	City	New York	
	State ("NA" if non-U.S. address)	NY	
	Zip/Postal Code	10022	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder	Other Interest Holder	
Positional Interests (check all that apply)	Director		
Interest Percentages (enter percentage values	Voting	0.1%	Jointly Held? No
from 0.0 to 100.0)	Total assets (Equity Debt Plus)	0.1%	
Does interest holder have that do not appear on this	an attributable interest in one creport?	or more broadcast stations	Yes

Ownership Information				
FRN	0017239237	0017239237		
Name	Philip A. Falcone			
Address	РО Вох			
	Street 1	450 Park Avenue		
	Street 2	30th Floor		
	City	New York		
	State ("NA" if non-U.S. address)	NY		
	Zip/Postal Code	10022		
	Country (if non-U.S. address)	United States		

Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Officer, Director		
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	2.9%	Jointly Held? No
	Total assets (Equity Debt Plus)	2.9%	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?			Yes

Ownership Information			
FRN	9990134041		
Name	Joseph Ferraro	Joseph Ferraro	
Address	РО Вох		
	Street 1	450 Park Avenue	
	Street 2	30th Floor	
	City	New York	
	State ("NA" if non-U.S. address)	NY	
	Zip/Postal Code	10022	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder	Other Interest Holder	
Positional Interests (check all that apply)	Officer		
Interest Percentages (enter percentage values	Voting	0.2%	Jointly Held? No
from 0.0 to 100.0)	Total assets (Equity Debt Plus)	0.2%	
Does interest holder have an attributable interest in one or more broadcast stations  Yes that do not appear on this report?			Yes

Ownership Information			
FRN	9990134046		
Name	Warren Gfeller	Warren Gfeller	
Address	PO Box		
	Street 1	450 Park Avenue	
	Street 2	30th Floor	
	City New York		
	State ("NA" if non-U.S. address)	NY	
	Zip/Postal Code 10022		

	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Director		
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	0.1%	Jointly Held? No
	Total assets (Equity Debt Plus)	0.1%	
Does interest holder have that do not appear on this	an attributable interest in one o	or more broadcast stations	Yes

Ownership Information			
FRN	9990134043		
Name	Suzi Raftery Herbst	Suzi Raftery Herbst	
Address	РО Вох		
	Street 1	450 Park Avenue	
	Street 2	30th Floor	
	City	New York	
	State ("NA" if non-U.S. address)	NY	
	Zip/Postal Code	10022	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Officer		
Interest Percentages (enter percentage values	Voting	0.1%	Jointly Held? No
from 0.0 to 100.0)	Total assets (Equity Debt Plus)	0.1%	
Does interest holder have that do not appear on this	an attributable interest in one o	or more broadcast stations	Yes

Ownership Information		
FRN	9990134047	
Name	Lee Hillman	
Address	PO Box	
	Street 1	450 Park Avenue
	Street 2	30th Floor
	City New York	
	State ("NA" if non-U.S. address)	NY

	Zip/Postal Code	10022	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	Director		
Interest Percentages (enter percentage values	Voting	0.1%	Jointly Held? No
from 0.0 to 100.0)	Total assets (Equity Debt Plus)	0.1%	
Does interest holder have that do not appear on this	an attributable interest in one or report?	or more broadcast stations	Yes

Ownership Information				
FRN	9990134048	9990134048		
Name	Robert Leffler, Jr.	Robert Leffler, Jr.		
Address	РО Вох			
	Street 1	450 Park Avenue		
	Street 2	30th Floor	30th Floor	
	City	New York	New York	
	State ("NA" if non-U.S. address)	NY		
	Zip/Postal Code	10022		
	Country (if non-U.S. address)	United States		
Listing Type	Other Interest Holder			
Positional Interests (check all that apply)	Director			
Interest Percentages (enter percentage values	Voting	0.1%	Jointly Held? No	
from 0.0 to 100.0)	Total assets (Equity Debt Plus)	0.1%		
Does interest holder have that do not appear on this	an attributable interest in one c	r more broadcast stations	Yes	

Ownership Information		
9990133362		
Michael Sena		
PO Box		
Street 1	450 Park Avenue	
Street 2	30th Floor	
City	New York	
	Michael Sena  PO Box  Street 1  Street 2	

State ("NA" if non-U.S. address)	NY	
Zip/Postal Code	10022	
Country (if non-U.S. address)	United States	
Other Interest Holder		
Officer		
Voting	0.4%	Jointly Held? No
Total assets (Equity Debt 0.4% Plus)		
	or more broadcast stations	Yes
nat any interests, including equi	ity, financial, or voting	Yes
	address)  Zip/Postal Code  Country (if non-U.S. address)  Other Interest Holder  Officer  Voting  Total assets (Equity Debt Plus)  an attributable interest in one or report?	address)  Zip/Postal Code  Country (if non-U.S. address)  Other Interest Holder  Officer  Voting  1.0022  United States  0.4%  Total assets (Equity Debt Plus)  an attributable interest in one or more broadcast stations report?

(c) Does the Respondent or any reported interest holder hold an attributable interest in any newspaper entities in the same market as any station for which this report is filed, as defined in 47 C.F.R. Section 73.3555?

If "Yes," provide information describing the interest(s), using EITHER the subform OR the spreadsheet option below. Respondents with a large number (50 or more) of entries to submit should use the spreadsheet option.

NOTE: Spreadsheets must be submitted in a special XML Spreadsheet format with the appropriate structure that is specified in the documentation. For instructions on how to use the spreadsheet option to complete this question (including templates to start with), please Click Here.

If using the subform, leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the newspaper entity solely on the basis of the Commission's Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i). If using an XML Spreadsheet, enter "NA" into the percentage of total assets (Equity Debt Plus) field for an interest holder unless that interest holder has an attributable interest in the newspaper entity solely on the basis of the Commission's Equity Debt Plus attribution standard.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

No

No

If "Yes," provide the following information for each such the relationship.

(e) Is Respondent seeking an attribution exemption for any officer or director with duties wholly unrelated to the Licensee(s)?

If "Yes," complete the information in the required fields and submit an Exhibit fully describing that individual's duties and responsibilities, and explaining why that individual should not be attributed an interest.

#### Certification

Section	Question	Response
Authorized Party to Sign	WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND /OR REVOCATION OF ANY STATION LICENSEOR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503).	
Certification	I certify that I have examined this report and that to the best of my knowledge and belief, all statements in this report are true, correct and complete.	Official Title: Chief Legal Officer and Secretary Exact Legal Title or Name of Respondent: Joseph A. Ferraro Name: Joseph A. Ferraro Phone: 2123395835