



(REFERENCE COPY - Not for submission)

Commercial Broadcast Stations Biennial Ownership Report (FCC Form 323)

File Number: **0000045514** | Submit Date: **2018-03-01** | FRN: **0008925539**

Purpose: **Commercial Broadcast Stations Biennial Ownership Report** | Status: **Received** | Status Date: **03/01/2018**

Filing Status: **Active**

Section I - General Information

1. Respondent

FRN		Entity Name			
0008925539		Sovereign Communications, LLC			

Street Address	City (and Country if non U.S. address)	State ("NA" if non-U.S. address)	Zip Code	Phone	Email
PO Box 1230	SAULT SAINTE MARIE	MI	49783	+1 (906) 632-2231	bill@sovcomm.net

2. Contact Representative

Name		Organization			
Sally A. Buckman, Esq.		Lerman Senter PLLC			

Street Address	City (and Country if non U.S. address)	State	Zip Code	Phone	Email
2001 L Street, NW Suite 400	Washington	DC	20036	+1 (202) 429-8970	sbuckman@lermansenter.com

3. Application Filing Fee

Question	Response
Is this application being submitted without a filing fee?	No

Fees

Application Type	Form Number	Fee Code	Quantity	Fee Amount	Subtotal
Biennial	Form 323	MAR	15	95	\$1,050.00
				Total	\$1,050.00

4. Nature of Respondent

(a) Provide the following information about the Respondent:

Relationship to stations/permits	Licensee
Nature of Respondent	Limited liability company

(b) Provide the following information about this report:

Purpose	Biennial
"As of" date	10/01/2017 When filing a biennial ownership report or validating and resubmitting a prior biennial ownership report, this date must be Oct. 1 of the year in which this report is filed.

5. Licensee(s) and Station(s)

Respondent is filing this report to cover the following Licensee(s) and station(s):

Licensee/Permittee Name	FRN
Sovereign Communications, LLC	0008925539

Fac. ID No.	Call Sign	City	State	Service
977	WYSS	SAULT STE. MARIE	MI	FM
978	WKNW	SAULT SAINTE MARIE	MI	AM
20378	WNBY	NEWBERRY	MI	AM
20379	WNBY-FM	NEWBERRY	MI	FM
20420	WSOO	SAULT ST. MARIE	MI	AM
20422	WSUE	SAULT STE. MARIE	MI	FM
24448	WDMJ	MARQUETTE	MI	AM
24449	WJPD	ISHPEMING	MI	FM
24450	WIAN	ISHPEMING	MI	AM
59529	WMKD	PICKFORD	MI	FM
64025	WUPK	MARQUETTE	MI	FM
64026	WMIQ	IRON MOUNTAIN	MI	AM
64027	WIMK	IRON MOUNTAIN	MI	FM
74549	WZNL	NORWAY	MI	FM
78159	WNGE	NEGAUNEE	MI	FM

Section II – Biennial Ownership Information

1. 47 C.F.R. Section 73.3613 and Other Documents

Licensee Respondents that hold authorizations for one or more full power television, AM, and/or FM stations should list all contracts and other instruments set forth in 47 C.F.R. Section 73.3613(a) through (c) for the facility or facilities listed on this report. In addition, attributable Local Marketing Agreements (LMAs) and attributable Joint Sales Agreements (JSAs) must be disclosed by the licensee of the brokering station on its ownership report. If the agreement is an attributable LMA, an attributable JSA, or a network affiliation agreement, check the appropriate box. Otherwise, select "Other." Non-Licensee Respondents, as well as Licensee Respondents that only hold authorizations for Class A television and/or low power television stations, should select "Not Applicable" in response to this question.

Document Information	
Description of contract or instrument	ARTICLES OF ORGANIZATION
Parties to contract or instrument	STATE OF MICHIGAN
Date of execution	04/2003
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: ARTICLES OF ORGANIZATION

Document Information	
Description of contract or instrument	SECOND AMENDED AND RESTATED OPERATING AGREEMENT
Parties to contract or instrument	SOVEREIGN COMMUNICATIONS, LLC
Date of execution	01/2008
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: SECOND AMENDED AND RESTATED OPERATING AGREEMENT

Document Information	
Description of contract or instrument	SECURITY AGREEMENT
Parties to contract or instrument	NEWTEK SMALL BUSINESS FINANCE INC. AND SOVEREIGN COMMUNICATIONS, LLC
Date of execution	02/2014
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: SECURITY AGREEMENT

Document Information	
Description of contract or instrument	SECURITIES PLEDGE AGREEMENTS
Parties to contract or instrument	NEWTEK SMALL BUSINESS FINANCE, INC. AND EACH OF WILLIAM C. GLEICH, TIM SABEAN, AND DANIEL J. DUMAN
Date of execution	02/2014
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: SECURITIES PLEDGE AGREEMENTS

Document Information	
Description of contract or instrument	FIRST AMENDMENT TO SECOND AMENDED AND RESTATED OPERATING AGREEMENT OF SOVEREIGN COMMUNICATIONS, LLC
Parties to contract or instrument	WILLIAM GLEICH, TIM SABEAN, JOHN KING, CHUCK MEFFORD, DON L. TURNER, JOHN GLAVIN, MELINDA GLAVIN
Date of execution	03/2011
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: FIRST AMENDMENT TO SECOND AMENDED AND RESTATED OPERATING AGREEMENT OF SOVEREIGN COMMUNICATIONS, LLC

Document Information	
Description of contract or instrument	SECURITIES PLEDGE AGREEMENT
Parties to contract or instrument	NEWTEK SMALL BUSINESS FINANCE, INC. AND WFRI INVESTMENTS, LLC
Date of execution	02/2014
Date of expiration	No expiration date
Agreement type (check all that apply)	Other Agreement Type: SECURITIES PLEDGE AGREEMENT

2. Ownership Interests

(a) Ownership Interests. This Question requires Respondents to enter detailed information about ownership interests by generating a series of subforms. Answer each question on each subform. The first subform listing should be for the Respondent itself. If the Respondent is not a natural person, also list each of the officers, directors, stockholders, non-insulated partners, non-insulated members, and any other persons or entities with a direct attributable interest in the Respondent pursuant to the standards set forth in 47 C.F.R. Section 73.3555. (A “direct” interest is one that is not held through any intervening companies or entities.) List each interest holder with a direct attributable interest in the Respondent separately.

Leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the Respondent solely on the basis of the Commission’s Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i).

In the case of vertical or indirect ownership structures, list only those interests in the Respondent that also represent an attributable interest in the Licensee(s) for which the report is being submitted.

Entities that are part of an organizational structure that includes holding companies or other forms of indirect ownership must file separate ownership reports. In such a structure do not report, or file a separate report for, any interest holder that does not have an attributable interest in the Licensee(s) for which the report is being submitted.

Please see the Instructions for further detail concerning interests that must be reported in response to this question.

The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.

Ownership Information		
FRN	0008925539	
Entity Name	Sovereign Communications, LLC	
Address	PO Box	1230
	Street 1	
	Street 2	

	<div>City</div>	SAULT SAINTE MARIE	
	<div>State ("NA" if non-U.S. address)</div>	MI	
	<div>Zip/Postal Code</div>	49783	
	<div>Country (if non-U.S. address)</div>	United States	
<div>Listing Type</div>	Respondent		
<div>Positional Interests (check all that apply)</div>	Respondent		
<div>Tribal Nation or Tribal Entity</div>	Interest holder is not a Tribal nation or Tribal entity		
<div>Interest Percentages (enter percentage values from 0.0 to 100.0)</div>	<div>Voting</div>	0.0%	<div>Jointly Held?</div> <div>No</div>
	<div>Equity</div>	0.0%	
	<div>Total assets (Equity Debt Plus)</div>	0.0%	
<div>Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?</div>			<div>No</div>

Ownership Information			
<div>FRN</div>	0020012878		
<div>Name</div>	William Gleich		
<div>Address</div>	<div>PO Box</div>	1230	
	<div>Street 1</div>		
	<div>Street 2</div>		
	<div>City</div>	SAULT SAINTE MARIE	
	<div>State ("NA" if non-U.S. address)</div>	MI	
	<div>Zip/Postal Code</div>	49783	
	<div>Country (if non-U.S. address)</div>	United States	
<div>Listing Type</div>	Other Interest Holder		
<div>Positional Interests (check all that apply)</div>	Officer, LC/LLC/PLLC Member		
<div>Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)</div>	<div>Citizenship</div>	US	
	<div>Gender</div>	Male	
	<div>Ethnicity</div>	Not Hispanic or Latino	
	<div>Race</div>	White	
<div>Interest Percentages (enter percentage values from 0.0 to 100.0)</div>	<div>Voting</div>	59.0%	<div>Jointly Held?</div> <div>No</div>
	<div>Equity</div>	0.0%	

	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information			
FRN	0020012936		
Name	Tim Sabean		
Address	PO Box		
	Street 1	22 CABOT DR.	
	Street 2		
	City	WAYNE	
	State ("NA" if non-U.S. address)	PA	
	Zip/Postal Code	19087	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	LC/LLC/PLLC Member		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	23.0%	Jointly Held? No
	Equity	0.0%	
	Total assets (Equity Debt Plus)	0.0%	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?			No

Ownership Information		
FRN	0020773321	
Name	John M. Glavin	
Address	PO Box	
	Street 1	1700 SUMMER ST.
	Street 2	
	City	MANCHESTER
	State ("NA" if non-U.S. address)	TN

	Zip/Postal Code	37355	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	LC/LLC/PLLC Member		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	12.0%	Jointly Held? No
	Equity	0.0%	
	Total assets (Equity Debt Plus)	0.0%	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?			No

Ownership Information			
FRN	0020013009		
Name	Chuck Mefford		
Address	PO Box		
	Street 1	110 PHEASANT RUN	
	Street 2		
	City	COPPER CANYON	
	State ("NA" if non-U.S. address)	TX	
	Zip/Postal Code	76226	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	LC/LLC/PLLC Member		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	4.0%	Jointly Held? No
	Equity	0.0%	

	Total assets (Equity Debt Plus)	0.0%
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No

Ownership Information			
FRN	0020013017		
Name	John King		
Address	PO Box		
	Street 1	3341 SINGLETREE TRAIL	
	Street 2		
	City	Plano	
	State ("NA" if non-U.S. address)	TX	
	Zip/Postal Code	75023	
	Country (if non-U.S. address)	United States	
Listing Type	Other Interest Holder		
Positional Interests (check all that apply)	LC/LLC/PLLC Member		
Citizenship, Gender, Ethnicity, and Race Information (Natural Persons Only)	Citizenship	US	
	Gender	Male	
	Ethnicity	Not Hispanic or Latino	
	Race	White	
Interest Percentages (enter percentage values from 0.0 to 100.0)	Voting	3.0%	Jointly Held? No
	Equity	0.0%	
	Total assets (Equity Debt Plus)	0.0%	
Does interest holder have an attributable interest in one or more broadcast stations that do not appear on this report?		No	
(b) Respondent certifies that any interests, including equity, financial, or voting interests, not reported in this filing are non-attributable. If "No," submit as an exhibit an explanation.			Yes

<p>(c) Does the Respondent or any reported interest holder hold an attributable interest in any newspaper entities in the same market as any station for which this report is filed, as defined in 47 C.F.R. Section 73.3555?</p> <p>If "<u>Yes</u>," provide information describing the interest(s), using EITHER the subform OR the spreadsheet option below. Respondents with a large number (50 or more) of entries to submit should use the spreadsheet option.</p> <p>NOTE: Spreadsheets must be submitted in a special XML Spreadsheet format with the appropriate structure that is specified in the documentation. For instructions on how to use the spreadsheet option to complete this question (including templates to start with), please Click Here.</p> <p>If using the subform, leave the percentage of total assets (Equity Debt Plus) field blank for an interest holder unless that interest holder has an attributable interest in the newspaper entity solely on the basis of the Commission's Equity Debt Plus attribution standard, 47 C.F.R. Section 73.3555, Note 2(i). If using an XML Spreadsheet, enter "NA" into the percentage of total assets (Equity Debt Plus) field for an interest holder unless that interest holder has an attributable interest in the newspaper entity solely on the basis of the Commission's Equity Debt Plus attribution standard.</p> <p>The Respondent must provide an FCC Registration Number for each interest holder reported in response to this question. Please see the Instructions for detailed information and guidance concerning this requirement.</p>	No
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<p>(d) Are any of the individuals listed as an attributable interest holder in the Respondent married to each other or related to each other as parentchild or as siblings?</p> <p>If "<u>Yes</u>," provide the following information for each such the relationship.</p>	No
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<p>(e) Is Respondent seeking an attribution exemption for any officer or director with duties wholly unrelated to the Licensee(s)?</p> <p>If "<u>Yes</u>," complete the information in the required fields and submit an Exhibit fully describing that individual's duties and responsibilities, and explaining why that individual should not be attributed an interest.</p>	No
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3. Organizational Chart (Licensees Only)

Attach a flowchart or similar document showing the Licensee’s vertical ownership structure including the Licensee and all entities that have attributable interests in the Licensee. Licensees with a single parent entity may provide a brief explanatory textual Exhibit in lieu of a flowchart or similar document. Licensees without parent entities should so indicate in a textual Exhibit.

Non-Licensee Respondents should select “N/A” in response to this question.

Licensee is a limited liability company. There is no parent organization.

Section III - Certification

Certification

Section	Question	Response
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Authorized Party to Sign	WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND /OR REVOCATION OF ANY STATION LICENSE --OR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503).	
Certification	I certify that I have examined this report and that to the best of my knowledge and belief, all statements in this report are true, correct and complete.	Official Title: Manager Exact Legal Title or Name of Respondent: SOVEREIGN COMMUNICATIONS, LLC Name: WILLIAM C. GLEICH Phone: 9725964955 03/01/2018