

## **EXHIBIT A**

Northwestern College is a Minnesota non-profit corporation exempt from the payment of Federal income tax under the provisions of Section 501(c)(3) of the Internal Revenue Code. It is the licensee of noncommercial educational FM Stations WSMR, Sarasota, Florida and KDNI and KDNW, Duluth, Minnesota. In addition, Northwestern College is the licensee of Stations KFNV-FM, Fargo, North Dakota and KNWS-FM, Waterloo, Iowa, each of which is licensed to operate on a noncommercial basis.

This Form 302-FM application is being filed pursuant to Section 73.1690(c)(9) to change the subject station's license status from commercial to noncommercial. Attached hereto is Section II of Form 340.

6. a. **Parties to the Application.** List separately each party to the application including, as applicable, the applicant, its officers, directors, five percent or greater stockholders, non-insulated partners, members, and all other persons and entities with attributable interests. If another entity holds an attributable interest in the applicant, list separately, as applicable, its officers, directors, five percent or greater stockholders, non-insulated partners, and board members. Create a separate row for each individual or entity. Attach additional pages if necessary.

Name and Residence/Headquarters Address(es)  (a)	Citizenship  (b)	Positional Interest: Officer, director, investor/creditor attributable under the Commission’s equity/debt plus standard, etc.  (c)	Director or Member of Governing Board		% of: Ownership (O) or Voting Stock (VS) or Membership (M)  (e)	% of: Total Assets (equity plus debt)  (f)
			Yes	No		
			(d)			
See Exhibit 1	U.S.					

- b. Applicant certifies that any equity and financial interests not set forth above are non-attributable pursuant to 47 C.F.R. Section 73.3555 and that there are no agreements or understandings with any non-party that would give influence over the applicant's programming, personnel, or finances to that non-party.

☒ Yes ☐ No

See Explanation  
in Exhibit No.

7. **Other Authorizations.** List call signs, locations, and facility identifiers of all other broadcast stations in which applicant or any party to the application has an attributable interest pursuant to the notes to 47 C.F.R. Section 73.3555.

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☐ N/A

8. **Character Issues.** Applicant certifies that neither applicant nor any party to the application has or has had any interest in, or connection with:

☒ Yes ☐ No

See Explanation  
in Exhibit No.

- a. any broadcast application in any proceeding where character issues were left unresolved or were resolved adversely against the applicant or party to the application; or
- b. any pending broadcast application in which character issues have been raised.

9. **Adverse Findings.** Applicant certifies that, with respect to the applicant, any party to the application, and any non-party equity owner in the applicant, no adverse finding has been made, nor has an adverse final action been taken by any court or administrative body in a civil or criminal proceeding brought under the provisions of any law related to the following: any felony; mass media-related antitrust or unfair competition; fraudulent statements to another governmental unit; or discrimination.

☒ Yes ☐ No

See Explanation  
in Exhibit No.

If the answer is "No," attach as an Exhibit a full disclosure concerning the persons and matters involved, including an identification of the court or administrative body and the proceeding (by dates and file numbers), and a description of the disposition of the matter. Where the requisite information has been earlier disclosed in connection with another application or as required by 47 C.F.R. Section 1.65, the applicant need only provide: (i) an identification of that previous submission by reference to the file number in the case of an application, the call letters of the station regarding which the application or Section 1.65 information was filed, and the date of filing; and (ii) the disposition of the previously reported matter.

10. **Alien Ownership and Control.** Applicant certifies that it complies with the provisions of Section 310 of the Communications Act of 1934, as amended, relating to interests of aliens and foreign governments.

☒ Yes ☐ No

See Explanation  
in Exhibit No.

11. **Program Service Certification.** Applicant certifies that it is cognizant of and will comply with its obligations as a Commission licensee to present a program service responsive to the issues of public concern facing the station's community of license and service area.

☒ Yes ☐ No

12. **Local Public Notice.** Applicant certifies compliance with the public notice requirements of 47 C.F.R. Section 73.3580.

☐ Yes ☐ No  
N/A

13. **Anti-Drug Abuse Act Certification.** Applicant certifies that neither applicant nor any party to the application is subject to denial of federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. Section 862.

☒ Yes ☐ No

14. **Equal Employment Opportunity (EEO).** If the applicant proposes to employ five or more full-time employees, applicant certifies that it is filing simultaneously with this application a Model EEO Program Report on FCC Form 396-A.

☐ Yes ☐ No ☒ N/A

**QUESTIONS 15, 16 AND 17 APPLY ONLY TO APPLICATIONS FOR NEW STATIONS. OTHER APPLICANTS CAN PROCEED TO QUESTION 18.**

15. **Financial.** The applicant certifies that sufficient net liquid assets are on hand or that sufficient funds are available from committed sources to construct and operate the requested facilities for three months without revenue.

☐ Yes ☐ No

See Explanation  
in Exhibit No.

If "No" to 15., answer questions 16. and 17.

16. Is this application contingent upon receipt of a grant from the National Telecommunications and Information Administration? ☐ Yes ☐ No
17. Is this application contingent upon receipt of a grant from a charitable organization, the approval of the budget of a school or university, or an appropriation from a state, county, municipality or other political subdivision? ☐ Yes ☐ No

**NOTE:** If Yes to 16. or 17., the application cannot be granted unconditionally until all of the necessary funds are committed or appropriated. In the case of grants from the National Telecommunications and Information Administration, no further action on the applicant's part is required. If the applicant relies on funds from a source specified in Question 17., **the applicant must advise the Commission when the funds are committed or appropriated.** This should be accomplished by letter amendment to the application. Applicants should take note that the Commission's construction period is not considered "tolled" by funding difficulties and that any permit granted conditionally on funding will expire if the station is not constructed for any reason, including lack of funding.

**QUESTIONS 18 AND 19 DO NOT APPLY TO APPLICATIONS FOR NEW STATIONS. APPLICANTS FOR NEW FM STATIONS CAN PROCEED TO SECTION III. APPLICANTS FOR NEW TV STATIONS CAN PROCEED TO SECTION IV.**

#### **Holding Period.**

18. Applicant certifies that this application does not propose a modification to an authorization that was awarded on the basis of a preference for fair distribution of service pursuant to 47 U.S.C. Section 307(b). ☒ Yes ☐ No

If "No," answer a. and b. below. If applicant answers "No" to 18. above and cannot answer "Yes" to either a. or b. below, the application is unacceptable.

- a. Applicant certifies that the proposed modification will not downgrade service to the area on which the Section 307(b) preference was based. ☐ Yes ☐ No
- b. Applicant certifies that although it proposes to downgrade service to the area on which the Section 307(b) preference was based, applicant has provided full service to that area for a period of four years of on-air operations. ☐ Yes ☐ No

19. Applicant certifies that this application does not propose a modification to an authorized station that received a credit for superior technical parameters under the point system selection method in 47 C.F.R. Section 73.7003. ☒ Yes ☐ No

If "No," applicant must be able to answer "Yes" to a. below or provide an exhibit that makes a compelling showing that the downgrade would be in the public interest.

- a. Applicant certifies that the population and area within the proposed service contour (60 dBu (FM) or Grade B (TV)) are greater than or equivalent to those authorized. ☐ Yes ☐ No

Exhibit No.

#### **Section III**

**Fair Distribution of Service Pursuant to 47 U.S.C. Section 307(b) (New and Major Changes to FM Radio Only)** (Other applicants can proceed to Section IV.)

1. Applicant certifies that the proposed station will provide a first noncommercial educational aural service to (a) at least 10 percent of the people residing within the station's 60 dBu (1 mV/m) service contour **and** (b) to a minimum of 2,000 people. Applicants answering "Yes" must provide an Exhibit. ☐ Yes ☐ No  
Exhibit No.
2. Applicant certifies that the proposed station will provide a second noncommercial educational aural service to (a) at least 10 percent of the people residing within the station's 60 dBu (1 mV/m) service contour **and** (b) to a minimum of 2,000 people. Applicants answering "Yes" must provide an Exhibit. ☐ Yes ☐ No  
Exhibit No.

Exhibit 1

**Name and Residence\***

**Office Held**

Mr. Cary H. Humphries  
4512 Moorland Avenue  
Edina, MN 55424

Chair of the Board

Dr. Raymond P. Smyth  
12489 Anchor Point Road  
Crosslake, MN 56442

Vice Chair of the Board

Mr. Craig Howse  
19276 Twin Lakes Road  
Elk River, MN 55330

Secretary of the Board

Dr. Alan S. Cureton  
2514 Tournament Players Circle S.  
Blaine, MN 554496

President of the College

Mr. Y. Marc Belton  
4101 Linden Hills Blvd.  
Minneapolis, MN 55410

Trustee

Mr. Lloyd L. Brandt  
245 Wildflower Court  
Vadnais Heights, MN 55127

Trustee

Dr. Wesley H. Burnham  
7130 Willow Creek Road  
Eden Prairie, MN 55344

Trustee

Mr. L. John Buyse  
1133 Fremont Street  
Anoka, MN 55303

Trustee

Rev. Galen Call  
2760 Pitkin Court  
San Jose, CA 95125

Trustee

Mr. Daniel P. Dye  
18630 Magenta Bay  
Eden Prairie, MN 55347

Trustee

Dr. Stephen Goold  
8441 Weston Lane North  
Maple Grove, MN 55369

Trustee

Mr. Ron Halverson  
3494 Tiffany Lane  
St. Paul, MN 55126

Trustee

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\* All officers and trustees are U.S. citizens.

Mr. David Kelby  
8716 Wedgemere Drive  
Victoria, MN 55386

Trustee

Mr. Kenneth R. Larson  
8200 Hidden Bay Trail  
Lake Elmo, MN 55042

Trustee

Mr. Lauren Libby  
6166 Del Paz Drive  
Colorado Springs, CO 80918

Trustee

Mrs. Linda Linder  
5108 Scriver Road  
Edina, MN 55436

Trustee

Dr. Robert L. Pickering  
5726 North Central Avenue  
Phoenix, AZ 85012

Trustee

Dr. Sara Robertson  
1070 Dartmouth Drive  
Wheaton, IL 60187

Trustee

Mr. B. Gary Schaffer  
6124 Scotia Drive  
Edina, MN 55439

Trustee

Exhibit 2

Northwestern College is the licensee of the following broadcast stations (not including FM translators):

<u>Call Letters</u>	<u>Location</u>	<u>Facility ID</u>
KTIS	Minneapolis, MN	49770
KTIS FM	Minneapolis, MN	49787
KNWS	Waterloo, IA	49784
KNWS FM	Waterloo, IA	49786
KFNW	Fargo, ND	49792
KFNW FM	Fargo, ND	49772
KNWC	Sioux Falls, SD	49774
KNWC FM	Sioux Falls, SD	49776
WNWC	Madison, WI	17381
WNWC FM	Madison, WI	49781
KDNW FM	Duluth, MN	49797
KDNI FM	Duluth, MN	49768
WSMR FM	Sarasota, FL	64255

Lauren Libby, a Trustee, is a member of the board of Educational Communications of Colorado Springs, Inc., licensee of KTLF(FM), Colorado Springs, Colorado.