

NOTE: The failure to include an explanatory exhibit providing full particulars in connection with a "No" response may result in dismissal of the application. See Instructions, paragraph L for additional information regarding completion of explanatory exhibits.

Section II - Legal and Financial

1. **Certification.** Applicant certifies that it has answered each question in this application based on its review of the application instructions and worksheets. Applicant further certifies that where it has made an affirmative certification below, this certification constitutes its representation that the application satisfies each of the pertinent standards and criteria set forth in the application instructions and worksheets. ☐ Yes ☐ No

2. **Eligibility.** Each applicant must answer "Yes" to one and "No" to two of the three following certifications. An applicant should not submit an explanatory exhibit in connection with these Question 2 "No" responses.

The applicant certifies that it is:

- a. a nonprofit educational institution; or ☐ Yes ☐ No
- b. a governmental entity other than a school; or ☐ Yes ☐ No
- c. a nonprofit educational organization, other than described in a. or b. ☐ Yes ☐ No
3. For applicants checking "Yes" to question 2(c) and applying for a new noncommercial educational television station only, the applicant certifies that the applicant's officers, directors and members of its governing board are broadly representative of the educational, cultural, and civic segments of the principal community to be served. ☐ Yes ☐ No ☐ N/A

4. a. The applicant certifies that the Commission has previously granted a broadcast application identified here by file number that found this applicant qualified as a noncommercial educational entity with a qualifying educational program, and that the applicant will use the proposed station to advance a program similar to that the Commission has found qualifying in applicant's previous application.

☐ Yes _____
☐ No FCC File Number

b. Applicants who answered "No" to Question 4(a), must include an exhibit that describes the applicant's educational objective and how the proposed station will be used to advance an educational program that will further that objective according to 47 C.F.R. Section 73.503 (for radio applicants) or 47 C.F.R. Section 73.621 (for television applicants).

Exhibit No.

5. The applicant certifies that its governing documents (e.g., articles of incorporation, by-laws, charter, enabling statute, and/or other pertinent organizational document) permit the applicant to advance an educational program and that there is no provision in any of those documents that would restrict the applicant from advancing an educational program or complying with any Commission rule, policy, or provision of the Communications Act of 1934, as amended. ☐ Yes ☐ No

6. a. **Parties to the Application.** List separately each party to the application including, as applicable, the applicant, its officers, directors, five percent or greater stockholders, non-insulated partners, members, and all other persons and entities with attributable interests. If another entity holds an attributable interest in the applicant, list separately, as applicable, its officers, directors, five percent or greater stockholders, non-insulated partners, and board members. Create a separate row for each individual or entity. Attach additional pages if necessary.

Name and Residence/Headquarters Address(es) (a)	Citizenship (b)	Positional Interest: Officer, director, investor/creditor attributable under the Commission's equity/debt plus standard, etc. (c)	Director or Member of Governing Board		% of: Ownership (O) or Voting Stock (VS) or Membership (M) (e)	% of: of Total Assets (equity plus debt) (f)
			Yes	No		
			(d)			
Please refer to the attached list of attributable parties extracted from the pending and related assignment application LMS 0000237787						

- b. Applicant certifies that any equity and financial interests not set forth above are non-attributable pursuant to 47 C.F.R. Section 73.3555 and that there are no agreements or understandings with any non-party that would give influence over the applicant's programming, personnel, or finances to that non-party.

☐ Yes ☐ No

See Explanation
in Exhibit No.

Changes in Interest (0)

Party Name	Citizenship	Address	Phone	Email	Interest Before Assignment	Interest After Assignment
Empty						

Changes in Interest Certification

Question	Response
Applicant certifies that equity and financial interests not set forth by the assignee are nonattributable.	

Parties to the Application (21)

Party Name	Citizenship	Address	Phone	Email	Positional Interest
John Sommerville University of Northwestern - St. Paul	United States	5501 Dewey Hill Rd #323 Edina, MN 55439 United States	+1 (651) 631-5009	sajones@unwsp.edu	Positional Interest: Officer Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 0%
Lauren Libby University of Northwestern - St. Paul	United States	214 Hanover Place Cary, NC 27511 United States	+1 (651) 631-5009	sajones@unwsp.edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Sue Wilson University of Northwestern - St. Paul	United States	150 Hickory Lane NE Owatonna, MN 55060 United States	+1 (651) 631-5009	sajones@unwsp.edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Terri Williams University of Northwestern - St. Paul	United States	8 Bald Eagle Point White Bear Lake, MN 55110 United States	+1 (651) 631-5009	sajones@unwsp.edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%

Philip Vierling University of Northwestern - St. Paul	United States	6393 Oakgreen Ave S Hastings, MN 55033 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Stewart Van Duzer University of Northwestern - St. Paul	United States	539 Osprey Way Chattanooga, TN 37419 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Tom Thompson University of Northwestern - St. Paul	United States	36615 165th Ave Forest City, IA 50436 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Grover Sayre , III . University of Northwestern - St. Paul	United States	5 Willow Road North Oaks, MN 55127 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Robert Richert University of Northwestern - St. Paul	United States	7 E Pleasant Lake Rd North Oaks, MN 55127 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%

Neal Rich University of Northwestern - St. Paul	United States	8901 Vincent Place Bloomington, MN 55431 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Ramon Pastrano University of Northwestern - St. Paul	United States	12549 95th Place N Maple Grove, MN 55369 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Mark Johnson University of Northwestern - St. Paul	United States	19725 Sweetwater Curve Excelsior, MN 55331 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Deborah Hedlund University of Northwestern - St. Paul	United States	2650 Sunlight Beach Clinton, WA 98236 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Leigh Harrison University of Northwestern - St. Paul	United States	8800 Windsor Terrace Brooklyn Park, MN 55433 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%

John Fuller University of Northwestern - St. Paul	United States	2616 Fairmount St Colorado Springs, CO 80909 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Ginger Ewing University of Northwestern - St. Paul	United States	12325 Cobblestone Ct Rosemount, MN 55068 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Megan Doyle University of Northwestern - St. Paul	United States	9924 Dell Road Eden Prairie, MN 55347 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Margaret Diddams University of Northwestern - St. Paul	United States	7343 Keen Way N Seattle, WA 98103 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
Corbin Hoornbeek <i>President</i> University of Northwestern - St. Paul	United States	3003 Snelling Ave N Unit 105 St. Paul, MN 55133 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%

Paul Bierhaus University of Northwestern - St. Paul	United States	12236 48th Place N Plymouth, MN 55442 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.26% Percentage of Total Assets: 0%
University of Northwestern - St. Paul	United States	3003 Snelling Ave N St. Paul, MN 55133 United States	+1 (651) 631- 5009	sajones@unwsp. edu	Positional Interest: Proposed Assignee Citizenship: United States Percentage of Votes: 0% Percentage of Total Assets: 100%

Parties to the
Application
Certification

Question	Response
Applicant certifies that equity and financial interests not set forth by the assignee are nonattributable.	Yes

Assignee Legal
Certifications

Section	Question	Response
Agreements for Sale	Assignee certifies that: (a) the written agreements in the Assignee's public inspection file and submitted to the Commission embody the complete and final agreement for the sale or transfer of the station(s); and (b) these agreements comply fully with the Commission's rules and policies.	Yes
Other Authorizations	Please upload an attachment detailing the call signs, locations, and facility identifiers of all other broadcast stations in which Assignee or any party to the application has an attributable interest.	
Broadcast Incubator Program	Is the proposed facility the subject of an incubation proposal or a 'reward' waiver request under the Commission's Broadcast Incubator Program?	No
Multiple Ownership	Is the assignee or any party to the application the holder of an attributable radio joint sales agreement or an attributable radio or television time brokerage agreement with the station (s) subject to this application or with any other station in the same market as the station(s) subject to this application?	No
	Assignee certifies that the proposed assignment complies with the Commission's multiple ownership rules.	Yes