

From: Raquel Hutson <Raquel.Hutson@fcc.gov>  
Subject: WOMM-LP, FAC ID 135702, APP #0000136032  
Date: April 19, 2021 at 12:20:24 PM PDT  
To: "admin@bigheavyworld.com" <admin@bigheavyworld.com>

Good afternoon, please amend the educational purpose and objective of this application to provide more detailed descriptions of the nature of the programming that will air on the station, including, if possible, proposed program schedules. Please also explain how the proposed programming will further The Big Heavy World, Inc.'s educational purpose.

Lastly, please clarify whether the assignment has already occurred and whether BHW has exercised control over the station.

Raquel D. Hutson  
Industry Analyst  
Federal Communications Commission  
Media Bureau, Audio Division  
202-418-2713

May 8, 2021  
P.O. Box 428  
Burlington, VT 05402-0428

Raquel D. Hutson  
Industry Analyst  
Federal Communications Commission  
Media Bureau, Audio Division  
Via email: [Raquel.Hutson@fcc.gov](mailto:Raquel.Hutson@fcc.gov)

Re: Amendment request of April 19, rec'd via email

Dear Raquel:

Thank you for your note; it's always a pleasure to share our mission, model, and projects. 105.9FM 'The Radiator' has been an especially fulfilling experience for our community-building organization.

The station has been broadcasting since the fall of 2007. It was established by the volunteers of Big Heavy World under the fiscal agency of The Peace & Justice Center. Big Heavy World eventually received independent 501(c)(3) recognition of exemption by the IRS. It has been operated with the same mission, by the same volunteers, since it was constructed. The letter below of February 11 provides detailed information.

The mission and programming objectives of the radio station have not changed since it was founded; it has functioned as a community radio station, welcoming volunteer programmers from the local community to host locally-generated shows that range from talk, current affairs, foreign language, diverse music genres, educational, and special topics like film or wildlife. It has strenuously avoided partisan political programming and has never broadcast syndicated programming; it is operated as a "hyper-local" community radio station.

Public service programming also originates as weather reports, announcements of public events, and messages that contribute to the wellbeing of the community relating to safety, school sports, mental health hotlines, etc.

During the COVID-19 pandemic, the station and its volunteers were responsive. Our Governor provided weekly COVID updates to the public that were carried live on the station. Public service announcements about safety protocols and mask wearing were added to automation and continue to play. Special programming was generated such as a collaborative virtual statewide high school prom — with content provided by public figures and national celebrities for the audience of Vermont's high schoolers who were all learning remotely — and was aired with local music and a celebrity host. The station invited our broadcast audience to submit messages of optimism and encouragement to the community and we put these into rotation. Our volunteers engineered live music broadcasts by musicians from their homes, broadcasting live music delivered safely via the internet.

The recent emergence to prominence of racial equity as a community concern affected our city strongly. The station responded with special event programs including a live New Years Eve talk show (hosted safely) that highlighted BIPOC and LGBTQ voices. We broadcast music from Vermont-based BIPOC artists once per hour, providing amplification of those marginalized communities. One of our programs is hosted by a new American who shares public health information with new American community members, speaking at least three different languages.

Our programmers (DJs) have come from every background: Old and young; new Americans; artists and professionals, etc. Our goal has been to reflect our local community and provide a platform for its interests that is, by policy, respectful of diversity and different opinions. This is a component of our 'new programmer orientation' presentation, held with each new program host. The deeply diverse programming of the station introduces the broadcast audience to facets of the community, arts, culture, and knowledge that it would not have access to without the infrastructure and organization that has been built to broadcast it. The programming schedule may be found at <https://bigheavyworld.com/1059fm-shows>.

The radio station has been integral to the organization's mission to "preserve the historical record of music originating in Vermont; to create economic opportunity for Vermont's musicians and the industries vital to them; to develop community among Vermont musicians and their patrons; and to accomplish this mission in a substance-free environment that empowers and educates youth." The station has been operated as an inclusive community resource, with programming described above. It also automates the playback of Vermont-made music between live hosts. Several shows present interviews and performances by local musicians. The radio station is, "a nonprofit listener-supported media center generating and transmitting programming that fosters community awareness and civic engagement; encourages and promotes the creative public of the Burlington area; and provides a platform for multigenerational technical education and personal empowerment."

Our organization is staffed by volunteers, most of whom are young adults exploring career interests and/or earning academic credit for the educational experience they gain in support of the organization's projects, including the radio station. Many of these volunteers arrive from local colleges and high schools in the region. Roles at the station in which mentorship is offered include live and studio broadcast engineering (sound mixing, amplification, recording, etc.); production of PSAs, show promos, and station I.D.s; underwriting script-writing; automation of program content; log-keeping and management of the public binder; broadcast studio use (operation of the soundboard and FCC expectations of hosts); and more. Many of our other programs — such as a tiny museum, music archive, public space, and music office initiatives — are the basis of educational tasks that crossover with the station, such as social media management, public outreach, and event planning. Our entire organization is structured to support the education of community members in all facets of our work, and we have put an extraordinary sum of effort into creating a broad and compelling environment for these experiences.

All of our programs together comprise an intensely unique educational environment that was recognized in 2020 by an international jury with a 'Best Global Music Office Award.' Our congressional delegation has been supportive as well as the general assembly of the state and our city council, who have sent resolutions and letters of commendation and support.

Thank you for your continued consideration of this Assignment of Authorization. We anticipated that it would be a formality and are grateful that it provided an opportunity to share our work with you and the FCC. We have been conscientious stewards of our frequency, respecting the public's trust and advancing our community's interest in LPFM. Your guidance and management of this opportunity is appreciated.

Sincerely,

A handwritten signature in blue ink, appearing to be 'Jim', with a horizontal line extending to the right.

James Lockridge, Executive Director  
The Big Heavy World Foundation, Inc.  
[jim@heavyworld.com](mailto:jim@heavyworld.com); (802) 373-2890

February 11, 2021  
P.O. Box 428  
Burlington, VT 05402-0428

Federal Communications Commission  
45 L Street NE  
Washington, DC 20554  
*Via upload to the FCC Licensing and Management System*

Dear Ms. or Sir:

Enclosed are attachments to an application for Assignment of Authorization from the Peace & Justice Center to The Big Heavy World Foundation, Inc. for WOMM-LP, Facility ID Number 135702.

The Big Heavy World Foundation, Inc. (Big Heavy World) is a 501(c)(3) educational nonprofit organization, EIN 01-0581546. When an application to establish the station was first submitted in 2000, and during construction under permit from 2004-2007 before our nonprofit status was recognized, our fiscal agent was the Peace and Justice Center, a 501(c)(3) educational nonprofit.

In 2012, Big Heavy World was recognized as a 501(c)(3) educational nonprofit organization by the IRS. Our organization is a platform for providing technical, professional, and soft skills-building to young adults. We have operated the radio station as an educational platform for multigenerational community members. The station, named 'The Radiator,' has been a robust success in serving our community, reflecting the diversity of its broadcast region through its programming and on-air hosts and providing technical education to hundreds of individuals.

The Peace and Justice Center formalized its recognition of our independence as a 501(c)(3) by transfer of assignment of the station to Big Heavy World in 2012, commemorated in the attached board minutes. From the time of application, through construction, and in the years since, the same team of Big Heavy World volunteers has been responsible for the radio station. This is seen in the attached correspondence between Big Heavy World and the FCC from this period. The transfer of assignment from the Peace and Justice Center to Big Heavy World was a formality and did not reflect any change at all in the leadership, programming, or structure of the station.

Thank you for your consideration of this Transfer of Assignment and for understanding that it does not reflect any change in the station mission, programming or management.

With warmest regards,



James Lockridge, Executive Director  
The Big Heavy World Foundation, Inc.  
[jim@heavyworld.com](mailto:jim@heavyworld.com); (802) 373-2890

INTERNAL REVENUE SERVICE  
P. O. BOX 2508  
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: **JUL 15 2010**

THE BIG HEAVY WORLD FOUNDATION INC  
C/O JAMES E LOCKRIDGE  
PO BOX 428  
BURLINGTON, VT 05401-8306

Employer Identification Number:  
01-0581546  
DLN:  
17053244361019  
Contact Person:  
JULIE CHEN ID# 31261  
Contact Telephone Number:  
(877) 829-5500  
Accounting Period Ending:  
December 31  
Public Charity Status:  
170(b)(1)(A)(vi)  
Form 990 Required:  
Yes  
Effective Date of Exemption:  
August 26, 2009  
Contribution Deductibility:  
Yes  
Addendum Applies:  
Yes

Dear Applicant:

We are pleased to inform you that upon review of your application for tax exempt status we have determined that you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code. Contributions to you are deductible under section 170 of the Code. You are also qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Organizations exempt under section 501(c)(3) of the Code are further classified as either public charities or private foundations. We determined that you are a public charity under the Code section(s) listed in the heading of this letter.

Please see enclosed Publication 4221-PC, Compliance Guide for 501(c)(3) Public Charities, for some helpful information about your responsibilities as an exempt organization.

Letter 947 (DO/CG)



*STATE OF VERMONT*

*OFFICE OF SECRETARY OF STATE*  
*Vermont Non-Profit Corporation Act (T.11B, Ch. 14)*

*The Office of Secretary of State hereby grants a*

*Certificate of Incorporation*

*to*

***THE BIG HEAVY WORLD FOUNDATION, INC.***

*a Vermont domestic non-profit corporation, effective on February 7, 2002.*

*February 11 2002*

*Given under my hand and the seal  
of the State of Vermont, at  
Montpelier, the State Capital*

A handwritten signature in black ink, appearing to read "Deborah L. Markowitz".

*Deborah L. Markowitz*  
*Secretary of State*





## **Asset Assignment and Transfer Agreement**

This Asset Assignment and Transfer Agreement is made on March 2, 2012 between Peace & Justice Center, a Vermont based non-profit, of 60 Lake Street 1C, Burlington, Vermont, 05401 ("PJC") and Big Heavy World, a Vermont based non-profit, of 215 College St., Burlington, Vermont, 05401 ("BHW").

IN CONSIDERATION of the mutual covenants and agreements hereinafter set forth the receipt and sufficiency of which is hereby acknowledged, the parties agree as follows:

1. Assignment and Transfer of Assets.

- a. PJC hereby assigns and transfers to BHW, and BHW hereby accepts such assignment and transfer from PJC, on the terms and conditions set forth in this contract, all of the fixtures, equipment, and other tangible and intangible assets related to the low-power FM facility identified as WOMM-LP, ID# 135702 ("Assets");
- b. This assignment and transfer of Assets shall be effective on the date that the FCC grants this assignment and transfer from PJC to BHW.

2. Representations by PJC. PJC covenants and represents:

- a. That PJC is the sole owner of the Assets with full right to dispose of it as PJC may choose, and no other person has any claim, right, title, interest, or lien in, to the Assets.
- b. That PJC has no undischarged obligations affecting the Assets being assigned and transferred under this contract.
- c. That there are presently and will be at the time of closing, no liens or security interests against the Assets being transferred herein.
- d. That it hereby assigns and transfers ultimate control over and use of all necessary physical property comprising of the Assets without reservation.
- e. That it hereby grants to BHW absolute and ultimate control over station programming without reservation.

3. Entire Agreement. This contract constitutes the sole and only agreement between PJC and BHW respecting the Assets or the assignment and transfer of them. This contract correctly sets forth the obligations of PJC



and BHW to each other as of its date. Any agreements or representations respecting the Assets or its sale to BHW not expressly set forth in this contract are null and void.

4. Conditions Precedent of BHW. The obligations of BHW hereunder are subject to the conditions that on or prior to the assignment and transfer date:

- a. Representations and Warranties True at Assignment and Transfer Date. The representations and warranties of PJC contained in the Agreement or any certificate or document delivered pursuant to the provisions hereof or in connection with the transactions contemplated hereby shall be true on and as of the assignment and transfer date as though such representations and warranties were made at and as of such date, except if such representations and warranties were made as of a specified date and such representations and warranties shall be true as of such date.
- b. PJC's Compliance with Agreement. PJC shall have performed and complied with all agreements and conditions required by this Agreement to be performed or complied with by it prior to or at the closing of the Agreement.
- c. Resolutions and PJC's Certificate. PJC shall have delivered to BHW copies of the resolutions of the board of directors of PJC authorizing the assignment and transfer contemplated herein.
- d. Injunction. On the assignment and transfer date, there shall be no effective injunction, writ, preliminary restraining order, or any order of any nature issued by a court of competent jurisdiction directing that the transactions provided for herein or any of them not be consummated as herein provided.
- e. Other Actions. All actions, proceedings, instruments, and documents required to carry out this Agreement, or incidental thereto, and all other related legal matters shall be attended to by PJC and BHW prior to the assignment and transfer date.

5. Miscellaneous Provisions.

- a. Applicable Law. This Agreement shall be construed under and in accordance with the laws of the State of Vermont.
- b. Parties Bound. This Agreement shall be binding on and inure to the benefit of the parties to this Agreement and their respective heirs,



executors, administrators, legal representatives, successors and assigns as permitted by this Agreement.

- c. Legal Construction. In the event any one or more of the provisions contained in this Agreement shall for any reason be held invalid, illegal, or unenforceable in any respect, that invalidity, illegality, or unenforceability shall not affect any other provision. This Agreement shall be construed as if the invalid, illegal, or unenforceable provision had never been contained in it.
- d. Amendments. This Agreement may be amended by the parties only by a written agreement signed by the parties.

IN WITNESS WHEREOF the parties hereto have executed this Agreement on the date first above mentioned.

Assignor:

Peace & Justice Center

By: Wendy Coe  
Date: 3/2/12

Wendy Coe

Assignee:

Big Heavy World

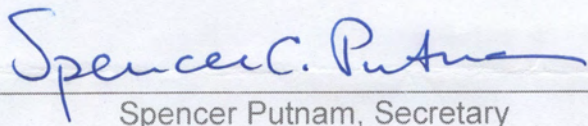
By: [Signature]  
Date: 3/2/2012

James Lockridge



MEETING OF THE BOARD OF DIRECTORS  
OF  
THE PEACE & JUSTICE CENTER

At a meeting of the Board of Directors of The Peace & Justice Center on March 1, 2012, a resolution was passed authorizing the Assignment and Transfer of WOMM-LP FM from The Peace & Justice Center to Big Heavy World.

A handwritten signature in blue ink, reading "Spencer C. Putnam", is written over a horizontal line.

Spencer Putnam, Secretary



Name of Licensee: PEACE AND JUSTICE CENTER

Station Location: VT-BURLINGTON

Frequency (MHz): 105.9

Channel: 290

Class: LP100

Hours of Operation: Unlimited

Transmitter: Type Certified. See Sections 73.1660, 73.1665 and 73.1670 of the Commission's Rules.

Transmitter output power: 0.25 kW

Antenna type: Non-Directional

Description: SHI 6812B

Antenna Coordinates: North Latitude: 44 deg 28 min 37 sec

West Longitude: 73 deg 12 min 41 sec

Effective radiated power (Watts): 100

Height of radiation center above ground (Meters): 17

Height of radiation center above mean sea level (Meters): 82

Height of radiation center above average terrain (Meters): 15

Antenna structure registration number: Not Required

Overall height of antenna structure above ground: 18 Meters

Obstruction marking and lighting specifications for antenna structure:

It is to be expressly understood that the issuance of these specifications is in no way to be considered as precluding additional or modified marking or lighting as may hereafter be required under the provisions of Section 303(q) of the Communications Act of 1934, as amended.

None Required

Special operating conditions or restrictions:

- 1 The permittee/licensee in coordination with other users of the site must reduce power or cease operation as necessary to protect persons having access to the site, tower or antenna from radiofrequency electromagnetic fields in excess of FCC guidelines.

\*\*\* END OF AUTHORIZATION \*\*\*





WWW.BIGHEAVYWORLD.COM

The Big Heavy World Foundation, Inc.  
(Fiscal Agent: The Peace & Justice Center)  
P.O. Box 428  
Burlington, VT 05402-0428

Peter Doyle, Chief  
Audio Services Division  
Media Bureau  
Federal Communications Commission  
445 12th Street, SW  
Washington, D.C. 20554

*(202) 418-2789*  
*peter.doyle@fcc.gov*



Re: WOMM-LP 105.9FM Construction Permit #BNPL-20010615BDI, request for extension of LPFM CP

Dear Mr. Doyle:

We have been working steadily and productively toward construction of our station, WOMM-LP 105.9FM in Burlington, Vermont. We've experienced intense support for our LPFM station from individuals who seek to participate, third sector allies, our city administration, congressional delegation, local press, and our community at large. We have gathered most of the material infrastructure of our production and broadcast studios, secured the transmitter location and begun receiving philanthropical funds in support of our capital effort to purchase our transmitter and Emergency Alert System Decoder equipment. Our decoder arrives next week and we'll be purchasing our antenna and EAS switch within 30 days. The station occupies studio space and is nearly constructed.

We're writing to request a waiver to delay the expiration of our construction permit. We are under pressure to found this station by our March 23 deadline, but we also have an extreme personal dedication to building this community resource to the best of our abilities and to standards that are high. We would like to create a sustainable, inclusive, model opportunity for our community and to do so with comfort would require only slightly more time than we officially have been given.

The station founders are each involved, in full-time capacities, with organizations that bring civic and cultural benefits to our city and state. We possess the credentials to establish this radio station and administrate it to worthy standards of community involvement and are seeking the opportunity to do so in a less restricted timeframe. We're hopeful you'll recognize that the public good - (the good of the community that we serve with personal dedication) - would be served by the waiver of this FCC rule and provision of an extension to our construction permit.

Thank you sincerely for considering this request. We appreciate and value the opportunity that's been given to us by your commission.

Respectfully,

James Lockridge, Executive Director  
The Big Heavy World Foundation, Inc.



WWW.BIGHEAVYWORLD.COM

WWW.VMLS.ORG

P.O. Box 428  
BURLINGTON, VT 05402-0428  
BIGHEAVY@BIGHEAVYWORLD.NET

(802) 865-1140



FEDERAL COMMUNICATIONS  
COMMISSION  
WASHINGTON, DC 20554

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COMMISSION  
PERMIT NO. G111

Postcard Reservation Acknowledgement

Date: 01/31/2005  
Call Sign Request #31033

This is to acknowledge the  
RESERVATION of call sign WOMM-LP for  
Station : 20010615BDI  
Location: BURLINGTON VT  
Facility Id: 135702  
that was made on 01/28/2005 by  
JAMES E. LOCKRIDGE  
THE BIG HEAVY WORLD FOUNDATION, INC.  
215 COLLEGE STREET, SUITE 301  
P.O. BOX 428  
BURLINGTON VT 05402-0428

JAMES E. LOCKRIDGE  
THE BIG HEAVY WORLD FOUNDATION, INC.  
215 COLLEGE STREET, SUITE 301  
P.O. BOX 428  
BURLINGTON VT 05402-0428

FCC 372B (02/00) NOTIFICATION





WWW.BIGHEAVYWORLD.COM

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WOMM-LP 105.9FM  
"THE RADIATOR"  
MISSION STATEMENT

(Accepted February 1, 2005)

"The Radiator" is a nonprofit listener-supported media center generating and transmitting programming that fosters community awareness and civic engagement; encourages and promotes the creative public of the Burlington area; and provides a platform for multigenerational technical education and empowerment of young adults.

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WWW.BIGHEAVYWORLD.COM

WWW.VERMONTMUSICSHOP.COM

P.O. Box 428  
BURLINGTON, VT 05402-0428  
REDSHIFT@BIGHEAVYWORLD.NET

(802) 865-1140



W W W . B I G H E A V Y W O R L D . C O M

MISSION STATEMENT

*of*

THE BIG HEAVY WORLD FOUNDATION, INC.

A NON-PROFIT CORPORATION

THE MISSION

Big Heavy World is established to preserve the historical record of music originating in Vermont; to create economic opportunity for Vermont's musicians and the industries vital to them; to develop community among Vermont musicians and their patrons; and to accomplish this mission in a substance-free environment that empowers and educates youth.

THE WORK

Toward this objective Big Heavy World undertakes the following activities:

MAINTAIN A MULTIMEDIA PUBLIC LISTENING LIBRARY of music written, performed, or conducted by Vermont musicians. Catalog this library according to Library of Congress standards (MARC) and integrate this catalog with Vermont's statewide library system. Develop a comprehensive database of recording information from album liner notes and artist interviews. Create an interactive public interface to this database that includes access to digital media. Develop this library to meet minimum standards for community-oriented public library service in Vermont. Participate in establishment and use of universal standards for digital cultural content.

MAINTAIN A RETAIL MUSIC SHOP to vend independently released Vermont recordings. Develop and promote this enterprise in partnership with other organizations and media that further Vermont commerce, products and culture. Invite volunteer and intern participation by students seeking experience in business, marketing, and community outreach.

MAINTAIN A DIGITAL VIDEOGRAPHY AND NON-LINEAR EDITING PROGRAM for creation of archival video artifacts preserving the personalities, sound and appearance of contemporary Vermont-made music of all genres. Distribute original programming to statewide community (P.E.G.) access cable television channels, via on-demand Internet streaming, and emerging distribution platforms. Create a vocational education environment for high school students to impart technical, professional, and social skills relevant to video program production and the broadcast industry.

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PRODUCE AND RELEASE COMPILATION RECORDINGS showcasing Vermont music of various genres. Generate revenue for Big Heavy World and humanitarian charities through sponsorship and sale of these compilations and related events. Expand regional network of wholesale product distribution and point-of-sale promotion of the products, their related causes and the organization. Pursue broad promotional exposure for compilation tracks and artists.

FUNCTION AS A CLEARINGHOUSE OF STATEWIDE MUSIC INDUSTRY INFORMATION. Publish directories of musical talent, performance venues, record stores and radio stations. Maintain these directories to standards of quality equivalent to state tourism or commerce department products. Develop these directories to meet Federal accessibility standards.

MAINTAIN A DIGITAL PHOTOJOURNALISM PROGRAM. Aggregate a photographic historical record of live music performances occurring in Vermont and publish in searchable format to the internet. Engage presenters of the visual arts to display images in galleries and public forums.

PRODUCE SPECIAL EVENTS AND ANNUAL MUSIC CONFERENCE to showcase Vermont artists in performance; create informational and career-improvement opportunities for musicians; generate exposure for artists and the organization; provide an educational platform for youth and volunteers in subjects of event production, promotion, and media technologies; and generate revenue for the organization. Collaborate with regional and national partners to augment the quality, diversity, uniqueness and scope of event projects.

WORK WITH COMMUNITY LEADERS to improve economic opportunities for Vermont musical artists and the industries and agencies that are vital to their success. Provide opportunities for civic engagement by youth and young adults in relation to advocacy of the arts and allied industries. Inculcate principles of participation and respect for a multicultural, democratic society.

MAINTAIN A COMMUNITY-BUILDING WEBSITE. Create opportunities for social and commercial interaction within the site. Publish the organization's research, collections, and catalogs to this site. Generate revenue for the organization via sponsorships of the site.

ESTABLISH INDEPENDENT STREAMING AND TRADITIONAL RADIO BROADCAST of Vermont-made music programming. Encourage community participation at broadcast studio. Transmit in accordance with contemporary regulation and oversight of streaming and broadcast transmissions (FCC, Library of Congress, performance rights organizations).

ESTABLISH AN ARTS-CENTRIC COMMUNITY CENTER (ATHENAEUM). Establish a multifunctional, multigenerational arts and culture-imbued community gathering place incorporating principles of inclusiveness, economic and ethnic diversity, tolerance, peace, participative democracy and intellectual freedom.

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Administrate and consolidate programs of the organization at this community center, expanding them as possible within the dedicated resource of a performance venue.

Program music, arts, social, culinary and educational events at the center through a broadly representative community programming committee and in partnership with other organizations.

Advocate and incorporate youth and teen volunteerism at the center. Maintain tobacco-free premises.

Make best use of the community center landscape in creation of garden environment for acoustic musical practice and performance. Develop proof-of-concept integration of wireless information technology with outdoor environment, establishing digital media access and academic research opportunities in a natural seasonal setting.

Prioritize preservation of the structure and its historic record during establishment of the center. Pursue multidisciplinary documentary efforts as educational programs for youth, teens and university students. Present the documentary productions to the public with accompanying principles of community enrichment via collaborative stewardship of the city's historic built and shared cultural environments. Seek independent alternative and renewable energy sources for the center. Establish an off-grid public communications infrastructure to create dual-use as public emergency information center.

#### THE PHILOSOPHY

Big Heavy World honors the lives and voices of Vermont's musical artists. Preservation of Vermont's traditional and contemporary cultural legacy, inclusively and without prejudice, is a meaningful concern of its citizens.

The potential of Vermont's youth is immeasurable. The values of self-worth, respect for diversity, positivity, civic participation, and independent thought are intuitively relevant to the well-being of young adults and worthy of fostering within an institution devoted to community-building and the art of this state.

**STATE OF VERMONT**  
**OFFICE OF SECRETARY OF STATE**

I, James C. Condos, Secretary of State of the State of Vermont, do hereby certify that the  
attached is a true copy of the

**CORPORATE DOCUMENTS**

For

**THE BIG HEAVY WORLD FOUNDATION, INC.**

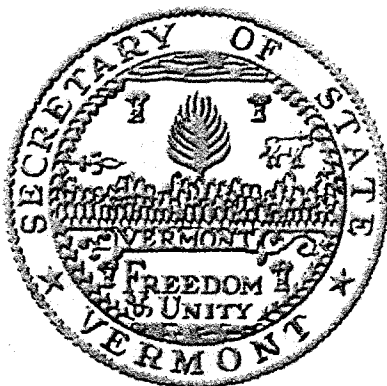
As filed in this department.

January 13, 2012

Given under my hand and the seal  
of the State of Vermont, at  
Montpelier, the State Capital

*James C. Condos*

James C. Condos  
Secretary of State



N 11391-0

VERMONT SECRETARY OF STATE

Location: Heritage 1 Bldg. Mail: 81 River Street, Drawer 09

Montpelier, VT 05609-1104 (802) 828-2386 Internet add: www.sec.state.vt.us

ARTICLES OF INCORPORATION (non-profits and cooperatives)

Corporate name THE BIG HEAVY WORLD FOUNDATION, INC.

(the name must end with one of these endings - corporation, incorporated, company, limited or (cooperative -if it is a cooperative)

Name of registered agent JAMES LOCKRIDGE

An agent is an individual or corporation, located in Vermont, who is authorized to receive legal notices, including service of process for the corporation. A corporation cannot be its own registered agent.

Address of registered office: (Street) 215 COLLEGE ST. (City) BURL. VT/zip 05401  
STE. 301

The period of duration shall be considered perpetual if not stated otherwise \_\_\_\_\_

Please (✓) one box that applies for this corporation:

- ☒ Public Benefit Non-profit corporation (T.11B)    ☐ Mutual Benefit Non-profit corporation (T.11B)  
☐ Worker Cooperative (T.11)    ☐ Housing Cooperative (T.11)    ☐ Marketing Cooperative (T.11)

Directors: Non-profit corps must have at least 3 directors. Marketing cooperatives have at least 5 directors.  
Worker cooperatives have 3 directors. Housing cooperatives have at least 3 directors.

Directors names and addresses:

1. JAMES LOCKRIDGE (SEE ATTACHED)
2. DAVID DONEGAN
3. SARAH K. LEIB

Members names and addresses (if there are members)

1. \_\_\_\_\_
2. \_\_\_\_\_

Officers: Unless provided in these articles a corporation shall have a president, secretary, treasurer, and any other officers appointed by the board of directors. The same individual may hold all offices except the office of pres & sec.

Number of shares authorized: (if statute allows) Non-profits governed by T.11B, cannot authorize shares.

COOPERATIVES need to refer to the appropriate statute to determine whether additional information is required to be included in your articles. Corporations wishing to obtain tax exempt status from the Internal Revenue Service may want to include certain provisions required by the IRS in their original articles.

VERMONT  
SECRETARY OF STATE  
2002 FEB -7 AM 10: 23



**Purposes:** Each corporation is considered to be doing any lawful purpose without being limited to any one or more of the following purposes: charitable; benevolent, eleemosynary; educational; civic; patriotic; political; religious, social; fraternal; literary; cultural; athletic, scientific; agricultural; horticultural; animal husbandry; and professional, commercial, industrial or trade association.

**Dissolution:** Set forth provisions not inconsistent with law regarding the distribution of assets upon dissolution.

**Reports:** Each non-profit corporation (T.11B) is required to file an initial biennial report the year following incorporation, and then every 2 years thereafter. The biennial report is required in order to update names of current officers and directors. The Secretary of State will send notice to the agent when it is due. Cooperatives chartered as profit are required to file annual reports at the close of each fiscal year.

One or more persons of majority age (18) may act as incorporator by signing below.

**Incorporators** printed name, signature and address: JAMES LOCKE 12/10/01  
215 COLLEGE ST. STE. 301, BURLINGTON, VT 05401

**FEES:** Non-profit corporation, T.11B (\$75.00); Worker Cooperative, T.11 (\$75.00)  
Cooperative Housing Ownership Act, T.11 (\$75.00); Cooperative Marketing Act, T.11 (\$20.00)

Please type or print and file in duplicate. If a delayed effective date is not specified, it is effective the date it is approved. A delayed effective date cannot be later than 90 days after the filing.

Delayed effective date (if applicable) 1/1/2002 fee enclosed \$75.



W W W . B I G H E A V Y W O R L D . C O M

ARTICLES OF INCORPORATION  
*of*  
THE BIG HEAVY WORLD FOUNDATION, INC.  
A NON-PROFIT CORPORATION

The undersigned incorporator, in order to form a non-profit public benefit corporation under the laws of the state of Vermont, adopt the following Articles of Incorporation:

ARTICLE ONE

The name of this corporation is The Big Heavy World Foundation, Inc.

ARTICLE TWO

The name and address of the registered agent of this corporation is: James E. Lockridge, 215 College Street, Suite 301, Burlington, VT 05401.

ARTICLE THREE

The specific purposes for which this corporation is organized are to preserve and promote the original music of the State of Vermont.

This corporation is organized exclusively for one or more of the purposes as specified in Section 501(c)(3) of the Internal Revenue Code, including, for such purposes, the making of distributions to organizations that qualify as exempt organizations under section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future federal tax code.

ARTICLE FOUR

The number of initial directors of this corporations is three. Their names and addresses are as follows:

James E. Lockridge  
215 College Street, Suite 301  
Burlington, VT 05401

David Donegan  
48 1/2 Marble Avenue  
Burlington, VT 05401

Sarah K. Leib  
31 St. Luke's Place  
Apartment 16  
Allston, MA 02134

VERMONT  
SECRETARY OF STATE

2002 FEB -7 AM 10: 23

#### ARTICLE FIVE

The name and address of the incorporator of this corporation is: James E. Lockridge, 215 College Street, Suite 301, Burlington, VT 05401

#### ARTICLE SIX

The period of duration of this corporation is perpetual.

#### ARTICLE SEVEN

The classes, rights, privileges, qualifications, and obligations of members of this corporation are as follows: As stated in the bylaws of this corporation.

#### ARTICLE EIGHT

Any additional provisions for the operation of the corporation are as follows:

Upon the dissolution of this corporation, its assets remaining after payment, or provision for payment, of all debts and liabilities of this corporation shall be distributed for one or more exempt purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code or shall be distributed to the federal government, or to a state or local government, for a public purpose.

No substantial part of the activities of this corporation shall consist of carrying on propaganda, or otherwise attempting to influence legislation (except as otherwise provided by Section 501(h) of the Internal Revenue Code), and this corporation shall not participate in, or intervene in (including the publishing or distribution of statements), any political campaign on behalf of, or in opposition to, any candidate for public office.

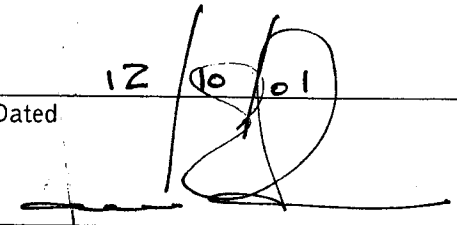
No part of the net earnings of this corporation shall inure to the benefit of, or be distributable to, its members, directors, officers, or other private persons, except that this corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in these Articles.

Notwithstanding any other provision of these Articles, this corporation shall not carry on any other activities not permitted to be carried on (1) by a corporation exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code or (2) by a corporation contributions to which are deductible under Section 170(c)(2) of the Internal Revenue Code.

In any taxable year in which this corporation is a private foundation as described in Section 509(a) of the Internal Revenue Code, the corporation 1) shall distribute its income for said period at such time and manner as not to subject it to tax under Section 4942 of the Internal Revenue Code; 2) shall not engage in any act of self-dealing as defined in Section 4941(d) of the Internal Revenue Code; 3) shall not retain any excess business holdings as defined in Section 4943(c) of the Internal Revenue Code; 4) shall not make any investments in such manner as to subject the corporation to tax under Section 4944 of the Internal Revenue Code; and 5) shall not make any taxable expenditures as defined in Section 4945(d) of the Internal Revenue Code.

The undersigned incorporators hereby declare under penalty of perjury that the statements made in the foregoing Articles of Incorporation are true.

Dated

12 / 10 / 01  
  
James E. Lockridge, Incorporator

# State of Vermont

## House of Representatives



Montpelier, Vermont

### Concurrent House Resolution

H.C.R. 286

House concurrent resolution honoring Big Heavy World and its volunteer staff for its significant 15-year contribution to music and the creative economy in Vermont

Offered by: Representatives Lorber of Burlington, Aswad of Burlington, Atkins of Winooski, Bissonnette of Winooski, Botzow of Pownal, Donovan of Burlington, Krowinski of Burlington, O'Sullivan of Burlington, Pearson of Burlington, Ram of Burlington, Taylor of Barre City, Wizowaty of Burlington and Wright of Burlington

Whereas, for 15 years, the Big Heavy World Foundation, Inc., known to friends and the community as "Big Heavy World," has fostered and cheered musicians of Vermont, and

Whereas, with an abundance of goodwill, Big Heavy World has traditionally opened its doors, at its expense, provided regional musicians free use of the foundation's resources, and gladly responded to inquiries from musicians and the public about the regional music industry, and

Whereas, since its establishment in 1996, Big Heavy World has published a free public directory of musical artists throughout the state, and has established and administers the free and public Vermont Music Library & Shop in which the cultural legacy of many of Vermont's musical artists is treasured and preserved, and

Whereas, Big Heavy World's musical heritage and showcase projects include educational events, compilation recordings, community discussions, broadcasts, and the distribution of multimedia programming, and

Whereas, the organization's volunteer staff, consisting primarily of young adults, devotes many hours to promoting and preserving Vermont's musical cultural heritage, and the staff members gain invaluable professional and civic engagement experience, and

Whereas, the offices of Big Heavy World provide an out-of-school environment where youths are encouraged to take pride in their personal accomplishments under the guidance of responsible adult role models, and

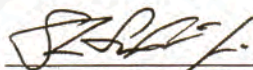
Whereas, Big Heavy World contributes to Vermont's much-admired, culturally centered, creative economy, both directly and in the development of the community assets of cultural and social capital, and

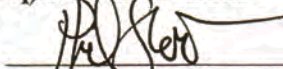
Whereas, Big Heavy World has earned praise from the private and public sectors for the worthiness of its goals, the success of its programs, and its promotion and preservation of musical life in Vermont, now therefore be it

Resolved by the Senate and House of Representatives:

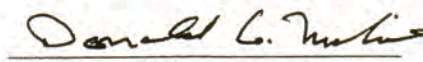
That the General Assembly honors Big Heavy World and its volunteer staff for its significant 15-year contribution to music and the creative economy in Vermont, and be it further

Resolved: That the Secretary of State be directed to send a copy of this resolution to Big Heavy World Executive Director James Lockridge in Burlington.

  
Shapleigh Smith, Jr.  
Speaker of the House

  
Philip B. Scott  
President of the Senate

Attested to:

  
Donald G. Milne  
Clerk, House of Representatives



BERNARD SANDERS  
MEMBER OF CONGRESS  
VERMONT, AT LARGE

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SUBCOMMITTEES  
RANKING MINORITY MEMBER:  
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NATIONAL SECURITY, EMERGING THREATS,  
AND INTERNATIONAL RELATIONS  
WELLNESS AND HUMAN RIGHTS

OFFICER:  
CONGRESSIONAL PROGRESSIVE CAUCUS

September 9, 2004

To Whom It Concerns:

In these times of ever increasing demands on the limited budgets of our shared government and community resources, and the critical importance of youth to the quality of our future, it gives me great comfort to know there are organizations like Big Heavy World and individuals like Jim Lockridge who work selflessly to assist youth in realizing the promise of that future.

Big Heavy World, in encouraging youth to dedicate themselves to the arts, technology and service to their community, is creating a beacon to move our young people along the right track.

It is a privilege to support the efforts of Big Heavy World, and I would strongly recommend that others do the same.

Thank you.



Bernard Sanders  
Member of Congress

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WASHINGTON, DC 20515-4501  
TELEPHONE: (202) 225-4115  
FAX: (202) 225-6790

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TELEPHONE: (802) 254-9732  
TOLL FREE: (800) 339-9834  
FAX: (802) 254-9207



**JAMES H. DOUGLAS**  
GOVERNOR



State of Vermont  
OFFICE OF THE GOVERNOR

April 4, 2006

Mr. James Lockridge, Executive Director  
The Big Heavy World Foundation Inc.  
P. O. Box 428  
Burlington, VT 05402-428

Dear Mr. Lockridge,

Congratulation on the 10<sup>th</sup> Anniversary of Big Heavy World's archiving of Vermont's music history.

Vermont's history is perpetuated in many ways, and the music of years past gives us a pleasant insight into the way-of-life that a history book might overlook. Your objectives in preserving and promoting Vermont-made music has certainly been accomplished, and we are all benefiting from the dedication and hard work that you, your staff, and your many volunteers have put into this most worthy project.

Again, congratulations, and best wishes as you continue your search and preservation of an important part of Vermont's culture.

Sincerely,

A handwritten signature in black ink, appearing to read "JH Douglas", written over a circular stamp.

James H. Douglas  
Governor

JHD/jb



## Resolution Relating to

RECOGNIZING BIG HEAVY WORLD'S CONTRIBUTIONS  
TO BURLINGTON'S MUSICAL HERITAGE

**RESOLUTION**  
Sponsor(s): Councilor Davis  
& Councilor Caldwell

Introduced: 03/22/10

Referred to: \_\_\_\_\_

Action: adopted

Date: 03/22/10

Signed by Mayor: 03/24/10

### CITY OF BURLINGTON

In the year Two Thousand Ten.....  
Resolved by the City Council of the City of Burlington, as follows:

That WHEREAS, Big Heavy World is a non-profit organization that, for years, has catalogued the work of Burlington's rich musical community; and

WHEREAS, Big Heavy World promotes Burlington's music throughout the region and beyond, gives younger Burlington residents a healthy place to pursue their musical and organizational talents, and brings together scores of community volunteers in order to ensure that Burlington's musical heritage will be passed on to future generations; and

WHEREAS, Big Heavy World is an independent organization whose activities serve as a good complement to Burlington City Arts' high quality visual, performance, and applied arts programming; and

WHEREAS, Big Heavy World intends to continue to be a non-City affiliated entity and to substantially raise its operating funds from private sources;

NOW, THEREFORE, BE IT RESOLVED that the City Council applauds the work and many accomplishments of Big Heavy World and its' founder Jim Lockridge.

lb/kas/c: Resolutions 2010/Big Heavy World – Contributions to City's Musical Heritage  
3/18/10; 3/22/10

correct transcript therefrom, and of the whole and every part thereof.



IN TESTIMONY WHEREOF, I hereunto affix the seal  
of said City and subscribe my name, at Burlington  
in said County and State, this 31st..... day  
of March....., 2010..

..... Asst. CAO.....  
/City Clerk/

## *Proclamation*

WHEREAS, through its website, its free directory of local musical talent, and its concert productions, Big Heavy World has encouraged and supported the musicians of Burlington for more than ten years; and

WHEREAS, Big Heavy World has been recognized by many in this community for opening doors to opportunities for local musicians and artists; and

WHEREAS, the offices and operations of Big Heavy World have provided meaningful educational and recreational opportunities for Burlington's youth, involving them in productive, state-of-the-art enterprises in support of the Burlington music scene; and

WHEREAS, the City of Burlington's reputation as one of America's most livable and culturally vibrant cities has been enhanced by the efforts of Big Heavy World; and

WHEREAS, the City of Burlington recognizes Big Heavy World's many contributions to our creative economy and our arts and culture;

NOW, THEREFORE, I, Peter Clavelle, by the powers vested in me, proclaim and name Big Heavy World as

## **BURLINGTON'S MUSIC EMBASSY**

and I thank BHW Executive Director Jim Lockridge and all who have been part of this effort over the years for their contributions to our city.



Peter Clavelle, Mayor



# Office of the Mayor



Room 34, City Hall  
Burlington, Vermont 05401  
tel. (802) 865-7272  
fax (802) 865-7270

TDD/Telecommunication for Deaf 865-7142

**Peter Clavelle**  
*Mayor*

September 1, 2004

Re: Big Heavy World Foundation, Inc.

To Whom It May Concern:

I am pleased to write in support of Big Heavy World, an organization that has been contributing to Burlington's youth and local arts scene for nearly ten years.

Big Heavy World brings a unique energy to our arts community by involving teenagers in projects that preserve Vermont's music history and help advance the careers of our independent musicians. Youth accomplish real work through Big Heavy World as volunteers in service to their community. Indeed, Big Heavy World provides a model for fostering youth empowerment and civic participation.

I've found Big Heavy World Director James Lockridge to be dedicated, creative, and industrious. He has consistently sought to develop working collaborations between his organization and the city, other nonprofits, and local businesses. He has successfully involved his young "crew" in a variety of accomplishments that are considerable in relation to the resources available.

I encourage you to support the efforts and projects of this organization. Thank you for your consideration.

Sincerely,

Peter Clavelle  
Mayor



## Vermont Council on Rural Development

43 State Street, PO Box 1384, Montpelier VT 05601-1384

tel: 802-223-6091 • email: [info@vtrural.org](mailto:info@vtrural.org) • website: [www.vtrural.org](http://www.vtrural.org)

*Helping rural Vermonters create a sustainable future through effective use of public and private resources*

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**Patricia Coates**

*Office of Congressman Welch*

**Jenny Nelson**

*Office of Senator Sanders*

**John Tracy**

*Office of Senator Leahy*

February 17, 2011

To whom it may concern:

Big Heavy World has established a unique model of working with youth for the benefit of our state's arts community and economy. I have so much respect for Jim and the crew--others talk about engaging youth, they do it.

Big Heavy World gives power, gives leadership, builds trust, builds confidence, with young people that many in our society do not know how to talk to. Jim has a gift and has turned a vision of youth leadership into an organization.

It has been a pleasure to see Big Heavy World grow through the years with projects that increase in scope and with widening recognition for their work. They've brought intense focus to their mission and have accomplished it with an entrepreneurial, youth-powered spirit that reflects well on Vermont and its citizens. We are glad they exist as an example of thoughtfully-combined programs that result in productive advancement for Vermont's young people and service to our community.

Beyond their leadership in youth programming, though, are the terrific products of Big Heavy World: The heritage recordings. The history that in some ways defines Vermont through musical media. The range and creativity of their cultural impact.

The Council on Rural Development recognizes that Big Heavy World is a very special entity that deserves support. Big Heavy World is a key player in Vermont's creative economy, and a visionary leader in connecting the cutting edge energy of youth with Vermont's music and cultural heritage. Their outstanding work deserves recognition, and will provide a solid return on investment.

Thank you for your consideration, and all the best,

Paul Costello  
Executive Director