

Purpose of Application

This application is filed in connection with the proposed assignment of the license of WQQQ(FM), Sharon, Connecticut from The Ridgefield Broadcasting Corporation (“Seller”) to WAMC, Inc. (“Buyer”). As of the date of filing of the instant application, a request for Commission approval of these assignments is pending (*see* LMS File No. 163495).

The instant application is being filed by Seller as an accommodation to Buyer. Seller’s certifications in the instant application, to the extent they relate to Buyer, are made in reliance on representations made by Buyer regarding those matters, and the exhibits to this application were prepared by Buyer.

Nature of Applicant and Noncommercial Qualification

The proposed assignee of WQQQ(FM), Sharon, Connecticut, in LMS File No. 163495, WAMC, Inc. (“WAMC”), is a nonprofit educational organization. The Commission has previously found that WAMC is a qualified noncommercial educational entity with a qualifying educational program, in connection with the licensing of WAMC’s existing noncommercial educational radio stations, namely WAMC(AM), Albany, NY, WAMC-FM, Albany, NY, WAMK(FM), Kingston, NY, WAMQ(FM), Great Barrington, MA, WANC(FM), Ticonderoga, NY, WANR(FM), Brewster, NY, WANZ(FM), Stamford, NY, WCAN(FM), Canajoharie, NY, WCEL(FM), Plattsburgh, NY, WOSR(FM), Middletown, NY, WRUN(FM), Remsen, NY, and WWES(FM), Mount Kisco, NY. *See, e.g.*, CDBS File No. BPED- 19970807MM.

WAMC-FM began broadcasting in the late 1950s and was one of NPR’s original charter member stations when the network was founded in 1970. Since that time, WAMC has grown into a regional public radio network serving not just the Albany, New York area but also portions of Vermont, Massachusetts, Connecticut, New Hampshire, New Jersey, and Pennsylvania. WAMC not only provides NPR programming to its audience but also has a commitment to locally and independently produced programming. Indeed, WAMC provides live and up-to-the-minute local, state and national election coverage, and has won numerous awards for its news programming. Since 2020, WAMC won four Excellence in Broadcasting awards from the New York State Broadcasters Association, a regional Edward R. Murrow award, a Massachusetts Broadcaster’s Association “Soundbites” award, and WAMC President and CEO Alan Chartock won the New York State Associated Press Association’s Lifetime Achievement Award

In addition to programming provided by NPR, WAMC is one of the most prolific producers of original public radio style programming in the country. WAMC produces and broadcasts nine locally aired programs, including The Roundtable, which is a multi-award winning, nationally recognized, eclectic talk program. Airing weekdays, the program features daily panel discussions, news, interviews, music and much more. Hosted by Joe Donahue and produced by Sarah LaDuke, The Roundtable tackles both serious and lighthearted subjects, looking to explore the many facets of the human condition with civility, respect and responsibility. Other locally aired programs include Live at The Linda, Medical Monday, The Hudson River Sampler, Mid-Day Magazine, Northeast Report, Tim Coakley’s Jazz Show, and Vox Pop.

WAMC also produces nine nationally-syndicated programs which are broadcast by hundreds of radio stations throughout North America and on Armed Forces Radio. Earth Wise is a two-minute segment designed to build environmental awareness by educating the general public about issues related to the changing environment. The Academic Minute, produced in partnership with Association of American Colleges & Universities, brings cutting edge academic research from around the world to a broad audience in an engaging, 2.5 minute segment. 51% is a thirty minute program that educates the general public about issues related to women and society on a variety of topics — the environment, healthcare, our children, politics, the arts — anything that concerns citizens of the global community. Other nationally syndicated programs include Person, Place, Thing, The Best of our Knowledge, The Book Show, The Capitol Connection, The Legislative Gazette, and The Media Project.

As a long-time licensee of several noncommercial educational television and radio stations, WAMC clearly has demonstrated its commitment and ability to provide educational broadcast programming. WAMC will continue to deliver the highest quality educational programming to its existing and proposed service areas and will use WQQQ(FM) to expand this service to additional radio listeners in the Sharon, Connecticut area. WAMC plans to broadcast national programs, such as the award-winning programs available through NPR, as well as high quality local programs addressing news, public affairs, performing arts, and other issues of concern and interest to residents of its listening areas.

NOTE: The failure to include an explanatory exhibit providing full particulars in connection with a "No" response may result in dismissal of the application. See Instructions, paragraph L for additional information regarding completion of explanatory exhibits.

Section II - Legal and Financial

1. **Certification.** Applicant certifies that it has answered each question in this application based on its review of the application instructions and worksheets. Applicant further certifies that where it has made an affirmative certification below, this certification constitutes its representation that the application satisfies each of the pertinent standards and criteria set forth in the application instructions and worksheets. ☐ Yes ☐ No

2. **Eligibility.** Each applicant must answer "Yes" to one and "No" to two of the three following certifications. An applicant should not submit an explanatory exhibit in connection with these Question 2 "No" responses.

The applicant certifies that it is:

- a. a nonprofit educational institution; or ☐ Yes ☐ No
- b. a governmental entity other than a school; or ☐ Yes ☐ No
- c. a nonprofit educational organization, other than described in a. or b. ☐ Yes ☐ No
3. For applicants checking "Yes" to question 2(c) and applying for a new noncommercial educational television station only, the applicant certifies that the applicant's officers, directors and members of its governing board are broadly representative of the educational, cultural, and civic segments of the principal community to be served. ☐ Yes ☐ No ☐ N/A

4. a. The applicant certifies that the Commission has previously granted a broadcast application identified here by file number that found this applicant qualified as a noncommercial educational entity with a qualifying educational program, and that the applicant will use the proposed station to advance a program similar to that the Commission has found qualifying in applicant's previous application. ☐ Yes ☐ No _____
FCC File Number

b. Applicants who answered "No" to Question 4(a), must include an exhibit that describes the applicant's educational objective and how the proposed station will be used to advance an educational program that will further that objective according to 47 C.F.R. Section 73.503 (for radio applicants) or 47 C.F.R. Section 73.621 (for television applicants).

Exhibit No.

5. The applicant certifies that its governing documents (e.g., articles of incorporation, by-laws, charter, enabling statute, and/or other pertinent organizational document) permit the applicant to advance an educational program and that there is no provision in any of those documents that would restrict the applicant from advancing an educational program or complying with any Commission rule, policy, or provision of the Communications Act of 1934, as amended. ☐ Yes ☐ No

6. a. **Parties to the Application.** List separately each party to the application including, as applicable, the applicant, its officers, directors, five percent or greater stockholders, non-insulated partners, members, and all other persons and entities with attributable interests. If another entity holds an attributable interest in the applicant, list separately, as applicable, its officers, directors, five percent or greater stockholders, non-insulated partners, and board members. Create a separate row for each individual or entity. Attach additional pages if necessary.

Name and Residence/Headquarters Address(es)	Citizenship	Positional Interest: Officer, director, investor/creditor attributable under the Commission's equity/debt plus standard, etc.	Director or Member of Governing Board		% of: Ownership (O) or Voting Stock (VS) or Membership (M)	% of: of Total Assets (equity plus debt)
			Yes	No		
			(d)			
(a)	(b)	(c)			(e)	(f)

- b. Applicant certifies that any equity and financial interests not set forth above are non-attributable pursuant to 47 C.F.R. Section 73.3555 and that there are no agreements or understandings with any non-party that would give influence over the applicant's programming, personnel, or finances to that non-party.

☐ Yes ☐ No

See Explanation
in Exhibit No.

7. **Other Authorizations.** List call signs, locations, and facility identifiers of all other broadcast stations in which applicant or any party to the application has an attributable interest pursuant to the notes to 47 C.F.R. Section 73.3555. Exhibit No, ☐ N/A
8. **Character Issues.** Applicant certifies that neither applicant nor any party to the application has or has had any interest in, or connection with: ☐ Yes ☐ No See Explanation in Exhibit No.
- a. any broadcast application in any proceeding where character issues were left unresolved or were resolved adversely against the applicant or party to the application; or
- b. any pending broadcast application in which character issues have been raised.
9. **Adverse Findings.** Applicant certifies that, with respect to the applicant, any party to the application, and any non-party equity owner in the applicant, no adverse finding has been made, nor has an adverse final action been taken by any court or administrative body in a civil or criminal proceeding brought under the provisions of any law related to the following: any felony; mass media-related antitrust or unfair competition; fraudulent statements to another governmental unit; or discrimination. ☐ Yes ☐ No See Explanation in Exhibit No.
- If the answer is "No," attach as an Exhibit a full disclosure concerning the persons and matters involved, including an identification of the court or administrative body and the proceeding (by dates and file numbers), and a description of the disposition of the matter. Where the requisite information has been earlier disclosed in connection with another application or as identification of that previous submission by reference to the file number in the case of an application, the call letters of the station regarding which the application or Section 1.65 information was filed, and the date of filing; and (ii) the disposition of the previously reported matter.
10. **Alien Ownership and Control.** Applicant certifies that it complies with the provisions of Section 310 of the Communications Act of 1934, as amended, relating to interests of aliens and foreign governments. ☐ Yes ☐ No See Explanation in Exhibit No.
11. **Program Service Certification.** Applicant certifies that it is cognizant of and will comply with its obligations as a Commission licensee to present a program service responsive to the issues of public concern facing the station's community of license and service area. ☐ Yes ☐ No
12. **Local Public Notice.** Applicant certifies compliance with the public notice requirements of 47 C.F.R. Section 73.3580. ☐ Yes ☐ No
13. **Anti-Drug Abuse Act Certification.** Applicant certifies that neither applicant nor any party to the application is subject to denial of federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. Section 862. ☐ Yes ☐ No
14. **Equal Employment Opportunity (EEO).** If the applicant proposes to employ five or more full-time employees, applicant certifies that it is filing simultaneously with this application a Model EEO Program Report on FCC Form 396-A. ☐ Yes ☐ No ☐ N/A

QUESTIONS 15,16 AND 17 APPLY ONLY TO APPLICATIONS FOR NEW STATIONS. OTHER APPLICANTS CAN PROCEED TO QUESTION 18.

15. **Financial.** The applicant certifies that sufficient net liquid assets are on hand or that sufficient funds are available from committed sources to construct and operate the requested facilities for three months without revenue. ☐ Yes ☐ No See Explanation in Exhibit No.

If "No" to 15., answer questions 16. and 17.

16. Is this application contingent upon receipt of a grant from the National Telecommunications and Information Administration? ☐ Yes ☐ No

17. Is this application contingent upon receipt of a grant from a charitable organization, the approval of the budget of a school or university, or an appropriation from a state, county, municipality or other political subdivision? ☐ Yes ☐ No

NOTE: If Yes to 16. or 17., the application cannot be granted unconditionally until all of the necessary funds are committed or appropriated. In the case of grants from the National Telecommunications and Information Administration, no further action on the applicant's part is required. If the applicant relies on funds from a source specified in Question 17., **the applicant must advise the Commission when the funds are committed or appropriated.** This should be accomplished by letter amendment to the application. Applicants should take note that the Commission's construction period is not considered "tolled" by funding difficulties and that any permit granted conditionally on funding will expire if the station is not constructed for any reason, including lack of funding.

QUESTIONS 18 AND 19 DO NOT APPLY TO APPLICATIONS FOR NEW STATIONS. APPLICANTS FOR NEW FM STATIONS CAN PROCEED TO SECTION 111. APPLICANTS FOR NEW TV STATIONS CAN PROCEED TO SECTION IV.

Holding Period.

18. Applicant certifies that this application does not propose a modification to an authorization that was awarded on the basis of a preference for fair distribution of service pursuant to 47 U.S.C. Section 307(b). ☐ Yes ☐ No

If "No," answer a. and b. below. If applicant answers "No" to 18. above and cannot answer "Yes" to either a. or b. below, the application is unacceptable.

a. Applicant certifies that the proposed modification will not downgrade service to the area on which the Section 307(b) preference was based. ☐ Yes ☐ No

b. Applicant certifies that although it proposes to downgrade service to the area on which the ☐ Yes ☐ No
Section 307(b)
for a period of four years of on-air operations.

19. Applicant certifies that this application does not propose a modification to an authorized station that received a credit for superior technical parameters under the point system selection method in 47 C.F.R. Section 73.7003. ☐ Yes ☐ No

If "No," applicant must be able to answer "Yes" to a. below or provide an exhibit that makes a compelling showing that the downgrade would be in the public interest.

a. Applicant certifies that the population and area within the proposed service contour (60 dBu (FM) or Grade B (TV)) are greater than or equivalent to those authorized. ☐ Yes ☐ No

Exhibit No.

Section III -- Fair Distribution of Service Pursuant to 47 U.S.C. Section 307(b) (New and Major Changes to FM Radio Only)
(Other

1. Applicant certifies that it provides a first aural (reception) service. Applicants answering "Yes" must provide an Exhibit. ☐ Yes ☐ No

Exhibit No.

2. Applicant certifies that (1) it is a Tribal Applicant, as defined in 47 C.F.R. Section 73.7000; (2) the facilities proposed in this Application will provide Tribal Coverage, as defined in 47 C.F.R. Section 73.7000, of Tribal Lands occupied by the applicant Tribe(s); (3) the proposed community of license is located on Tribal Lands, as defined in 47 C.F.R. Section 73.7000; and (4) the proposed facility would be the first local tribal-owned noncommercial educational transmission service at the proposed community of license. Applicants answering "Yes" must provide an Exhibit. ☐ Yes ☐ No

Exhibit No.

Derek Teslik Gray Miller Persh LLP	2233 Wisconsin Avenue NW Ste. 226 Washington, DC 20007 United States	+1 (202) 559- 7489	dteslik@graymillerpersh. com	Legal Representative
---	---	-----------------------	---------------------------------	-------------------------

Changes in
Interest (0)

Party Name	Citizenship	Address	Phone	Email	Interest Before Assignment	Interest After Assignment
Empty						

Changes in
Interest
Certification

Question	Response
Applicant certifies that equity and financial interests not set forth by the assignee are nonattributable.	

Parties to the
Application (21)

Party Name	Citizenship	Address	Phone	Email	Positional Interest
Malcolm Nance <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465- 5233	dteslik@graymillerpersh. com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
Sandy Waxgiser <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465- 5233	dteslik@graymillerpersh. com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
Roger Phillips <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465- 5233	dteslik@graymillerpersh. com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
Kevin O'Connor <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465- 5233	dteslik@graymillerpersh. com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%

Robert Norris <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
David Ford <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
Anthony Capece , Jr. . <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
Gerald Benjamin <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
Dan Bazile <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%

Mary Ballou <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
Will Little <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
JP Miller <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
Blair Horner <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%

Carmi Rapport <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
Ira Fusfeld <i>Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Director Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
Alan Chartock <i>President & CEO, Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Officer Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
Janet Axelrod <i>Treasurer</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Officer Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
Joseph Browdy <i>Secretary, Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Officer Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%

Dottie Reynolds <i>Chair, Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Officer Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
---	---------------	---	-------------------	-----------------------------	---

Anne Erickson <i>Vice-Chair, Trustee</i>	United States	PO Box 66600 Albany, NY 12206 United States	+1 (518) 465-5233	dteslik@graymillerpersh.com	Positional Interest: Officer Citizenship: United States Percentage of Votes: 5.0% Percentage of Total Assets: 0%
--	---------------	---	-------------------	-----------------------------	---

Parties to the Application Certification

Question	Response
Applicant certifies that equity and financial interests not set forth by the assignee are nonattributable.	Yes

Assignee Legal Certifications

Section	Question	Response
Agreements for Sale	Assignee certifies that: (a) the written agreements in the Assignee's public inspection file and submitted to the Commission embody the complete and final agreement for the sale or transfer of the station(s); and (b) these agreements comply fully with the Commission's rules and policies.	No
Other Authorizations	Please upload an attachment detailing the call signs, locations, and facility identifiers of all other broadcast stations in which Assignee or any party to the application has an attributable interest.	
Broadcast Incubator Program	Is the proposed facility the subject of an incubation proposal or a 'reward' waiver request under the Commission's Broadcast Incubator Program?	No
Multiple Ownership	Is the assignee or any party to the application the holder of an attributable radio or television joint sales agreement or an attributable radio or television time brokerage agreement with the station(s) subject to this application or with any other station in the same market as the station(s) subject to this application?	No
	Assignee certifies that the proposed assignment complies with the Commission's multiple ownership rules and cross-ownership rules.	Yes

WAMC, Inc.
WQQQ Assignment Application

Other Media Interests

WAMC, Inc. is the licensee of the following full-power broadcast stations:

WAMC(AM), Albany, NY
WAMC-FM, Albany, NY
WAMK(FM), Kingston, NY
WAMQ(FM), Great Barrington, MA
WANC(FM), Ticonderoga, NY
WANR(FM), Brewster, NY
WANZ(FM), Stamford, NY
WCAN(FM), Canajoharie, NY
WCEL(FM), Plattsburgh, NY
WOSR(FM), Middletown, NY
WRUN(FM), Remsen, NY
WWES(FM), Mount Kisco, NY